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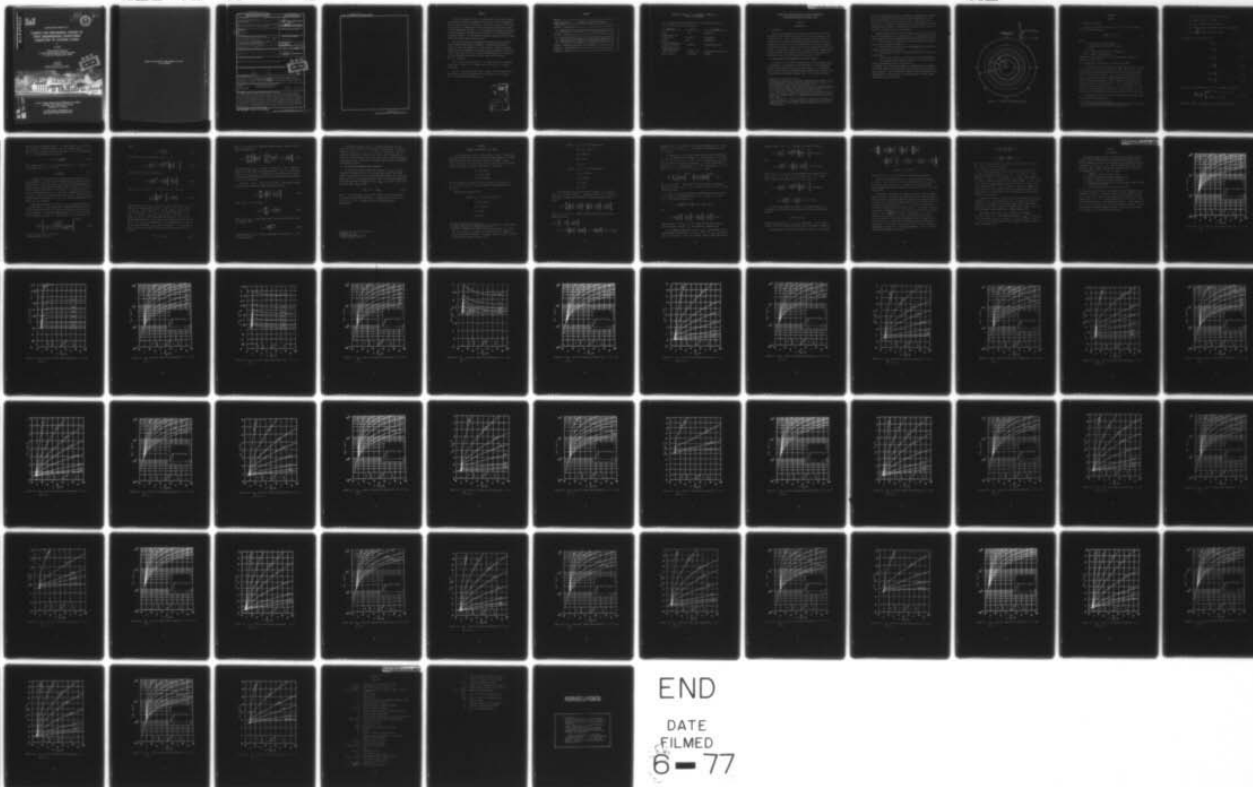
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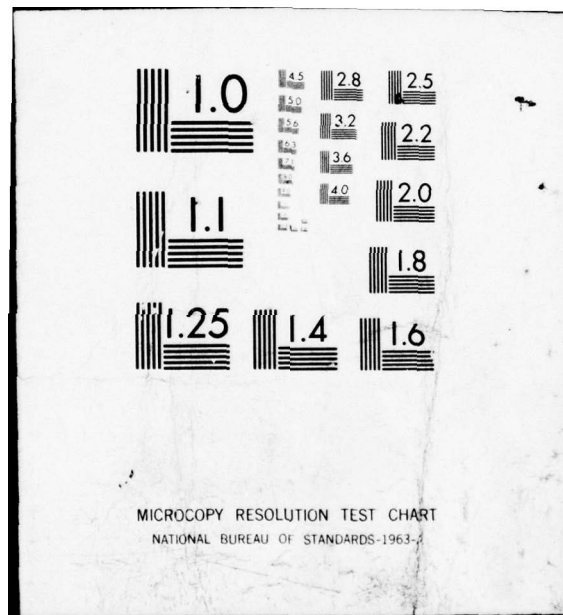
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# CHARTS FOR PRELIMINARY DESIGN OF DEEP UNDERGROUND STRUCTURES SUBJECTED TO DYNAMIC LOADS

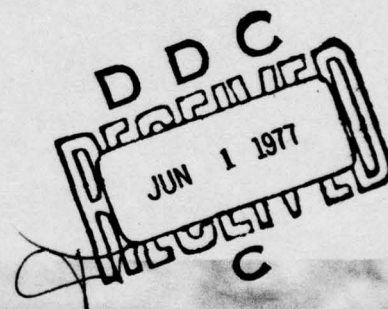
by

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April 1977  
Final Report

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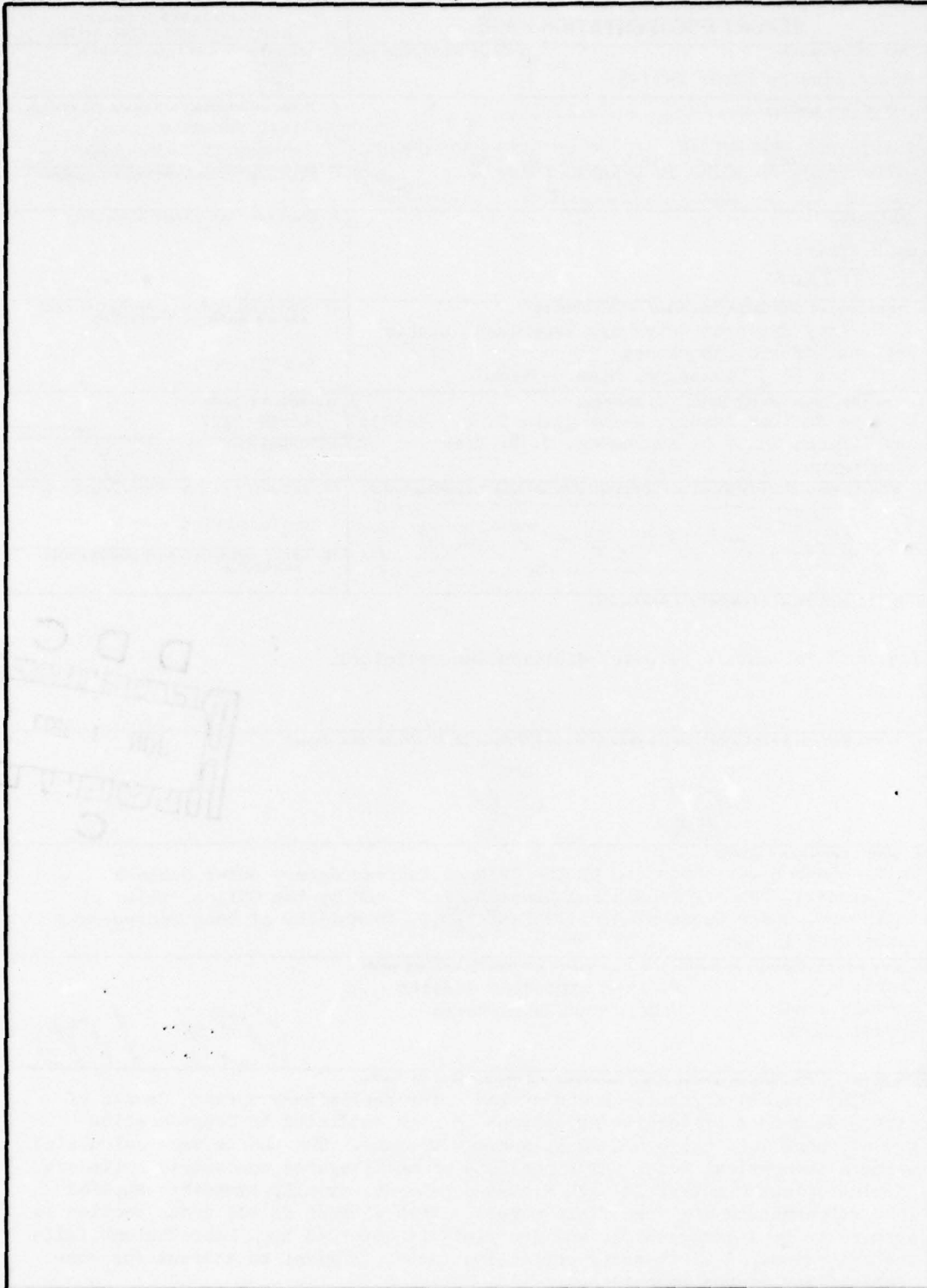
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## PREFACE

This report presents results of a theoretical study which evaluates the response of hardened underground facilities in rock to dynamically applied loads produced by explosions. The salient features of the theoretical model are summarized in charts suitable for preliminary design of deep underground structures. This research was conducted by personnel of the Phenomenology and Effects Division (PED) of the Weapons Effects Laboratory (WEL), U. S. Army Engineer Waterways Experiment Station (WES), during the period January 1975-June 1976.

The primary analytical development was sponsored by the Defense Nuclear Agency under Subtask J34CAXSX311, "Underground Structures Studies," under the guidance of Dr. Kent Goering. Computer parameter studies and the preparation of this report were sponsored by the Office, Chief of Engineers (OCE), under Subtask 4A762719AT40/A1/017, "Stability of Deep Underground Structures in Rock," which was monitored by Mr. D. S. Reynolds.

This report was written by Mr. J. R. Britt, PED, under the general supervision of Messrs. L. F. Ingram, Chief, PED, and W. J. Flathau, Chief, WEL.

COL G. H. Hilt, CE, and COL John L. Cannon, CE, were Directors of WES during the study and preparation and publication of this report. Mr. F. R. Brown was Technical Director.

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## CONTENTS

PREFACE-----	1
CONVERSION FACTORS, U. S. CUSTOMARY TO METRIC (SI) UNITS OF MEASUREMENT-----	3
CHAPTER 1 INTRODUCTION-----	5
1.1 Background-----	5
1.2 Applications and Limitations of the Design Charts-----	5
CHAPTER 2 THEORY-----	8
2.1 Problem Formulation-----	8
2.2 Application of the Analysis to Obtain Design Charts-----	8
2.3 Determining Chart Parameters from Material Properties-----	10
2.4 A First-Order Compressibility Correction-----	15
CHAPTER 3 EXAMPLE APPLICATIONS OF THE CHARTS-----	16
APPENDIX A DESIGN CHARTS-----	23
APPENDIX B NOTATION-----	73



CONVERSION FACTORS, U. S. CUSTOMARY TO METRIC (SI)  
UNITS OF MEASUREMENT

U. S. customary units of measurement used in this report can be converted to metric (SI) units as follows:

<u>Multiply</u>	<u>By</u>	<u>To Obtain</u>
inches	2.54	centimetres
pounds (force) per square inch	6.894757	kilopascals
kilobars	100.0	megapascals
pounds (force) per square inch-second <sup>2</sup>	6.894757	kilopascals-second <sup>2</sup>
pounds (force) per square inch-second <sup>2</sup> per square inch	$1.06869 \times 10^7$	kilograms per cubic metre
degrees (angle)	0.01745329	radians



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CHARTS FOR PRELIMINARY DESIGN OF DEEP UNDERGROUND  
STRUCTURES SUBJECTED TO DYNAMIC LOADS

CHAPTER 1

INTRODUCTION

1.1 BACKGROUND

Design of deep underground structures to resist the shock levels induced by nuclear weapons must take into account the strength of the surrounding rock. Hence, conventional, dynamic structural design procedures<sup>1</sup> are not adequate for designing these facilities, and design engineers have resorted to static methods such as outlined by Newmark<sup>2</sup> and Hendron and Aiyer.<sup>3</sup> Drake and Britt<sup>4</sup> have extended these static procedures to accommodate dynamic loads. The present report summarizes the salient features of this dynamic design method in charts suitable for preliminary design of deep underground structures in rock.

1.2 APPLICATIONS AND LIMITATIONS OF THE DESIGN CHARTS

The charts of this report are applicable to the preliminary design of cylindrical, lined tunnels in rock subjected to the long-duration ground shock loadings produced by nuclear weapons. (It is suggested that the final design be confirmed by more sophisticated techniques such as nonlinear finite element computer codes.) The theoretical model

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<sup>1</sup> Headquarters, Department of the Army; "Engineering and Design: Design of Structures to Resist the Effects of Atomic Weapons"; Technical Manual TM 5-856-3, 15 March 1957; Washington, D. C.

<sup>2</sup> N. M. Newmark; "Design of Rock Silo and Rock Cavity Linings"; Technical Report TR 70-114, August 1969; Space and Missiles Systems Organization, Air Force Systems Command, Norton Air Force Base, Calif.

<sup>3</sup> A. J. Hendron and A. K. Aiyer; "Stresses and Strains Around a Cylindrical Tunnel in an Elasto-Plastic Material with Dilatancy"; Technical Report 10, September 1972; U. S. Army Engineer District, Omaha, CE, Omaha, Nebr.

<sup>4</sup> J. L. Drake and J. R. Britt; "A Method for Designing Deep Underground Structures Subjected to Dynamic Loads"; Technical Report N-76-9; September 1976; U. S. Army Engineer Waterways Experiment Station, CE, Vicksburg, Miss.

consists of multilayered concentric cylinders of elastoplastic materials with a time-dependent, axially symmetric load representing the free-field stress applied to the exterior boundary. Each element in the cross section is assumed incompressible and its yield governed by a Mohr-Coulomb failure criterion. A first-order correction factor is given to account for compressibility of the materials.

Experience has shown that the present model can be a useful design tool if the assumptions of the theory are met. In particular, the following conditions should be approximated before using the design charts:

1. The structure is composed of concentric cylinders of circular cross section as shown in Figure 1.1.
2. The yield of the structure and surrounding rock is governed by the Mohr-Coulomb failure criterion.
3. The expected deformation (especially compaction) of liner and rock is small.
4. The ultimate loading of the structure is nearly axisymmetric.
5. The duration of the loading is at least 5 to 10 times the response and engulfment times of the structure.

For example, use of the charts appears to be appropriate for design of cylindrical tunnels with concrete and steel liners sited in hard rock such as granite. But the charts will provide only a conservative lower bound estimate of the response of structures located in weak, highly compactible rocks such as tuff.

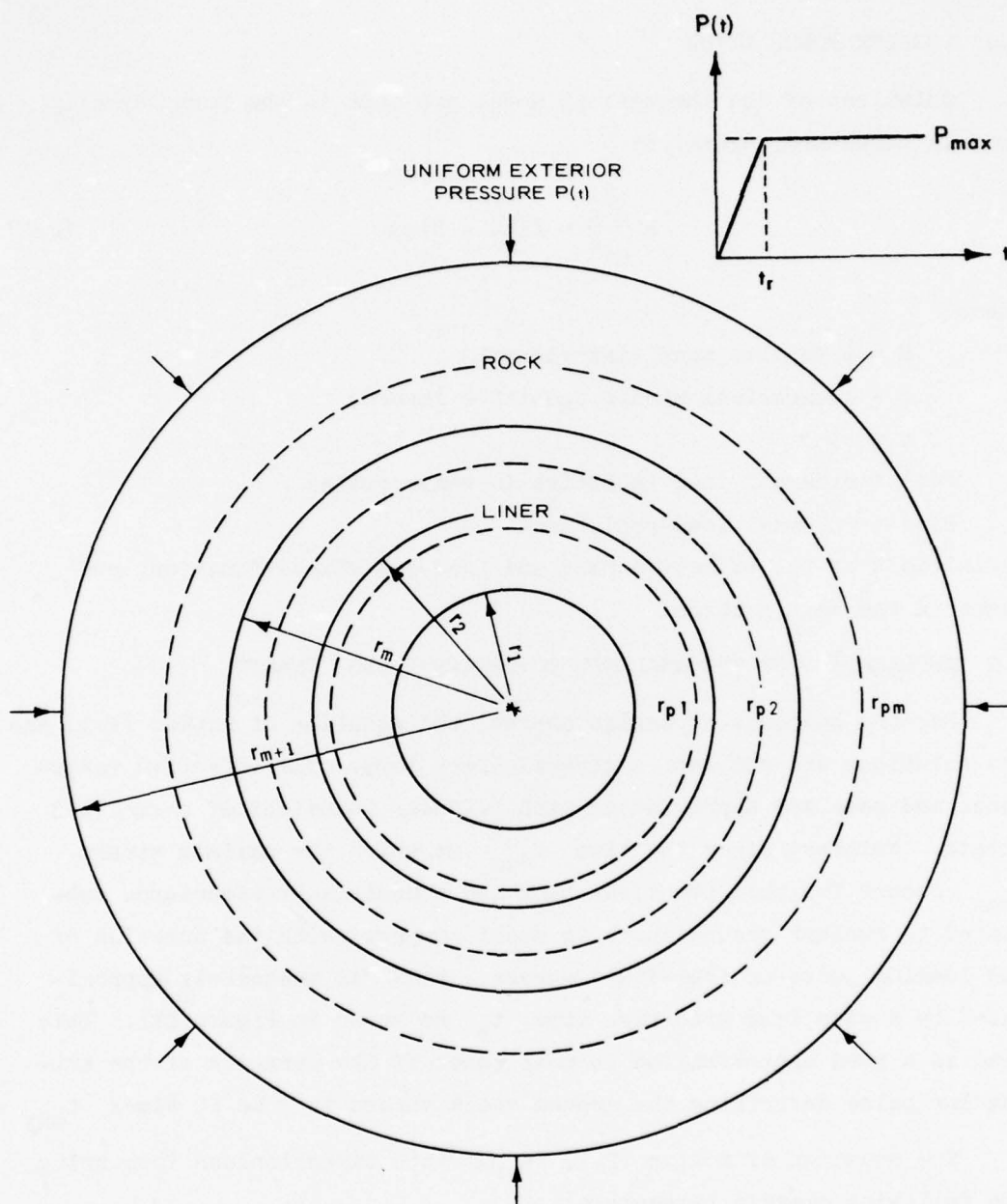


Figure 1.1. Geometry of rock/liner system.

## CHAPTER 2

### THEORY

#### 2.1 PROBLEM FORMULATION

Solutions of the theoretical model are cast in the form normally used in structural dynamics:

$$M \frac{d^2 \epsilon}{dt^2} = P(t) - R(\epsilon) \quad (2.1)$$

where:<sup>1</sup>

M = effective mass (mass/length)

$\epsilon$  = diametrical strain (positive inward)

t = time

P(t) = external load (positive in compression)

R( $\epsilon$ ) = internal load-resistance

Definitions of the effective mass and load-resistance functions are given in the next section.

#### 2.2 APPLICATION OF THE ANALYSIS TO OBTAIN DESIGN CHARTS

For the purposes of design charts, the equation of motion (2.1) and its solutions are put into a dimensionless form. The effective resistance and mass are approximated with bilinear functions of normalized strain. Further, since the time  $t_{\max}$  at which the maximum strain  $\epsilon_{\max}$  occurs for most practical hardened tunnel-liner structures subjected to nuclear ground shock is small compared with the duration of the loading pulse or free-field stress, P(t) is adequately approximated by a step load with rise time  $t_r$  as shown in Figure 1.1. This load is a good approximation in most cases if the duration of the triangular pulse describing the ground shock stress is 5 to 10 times  $t_{\max}$ .

The equation of motion (2.1) is put into dimensionless form using the following elastic parameters:

---

<sup>1</sup> For convenience, symbols and unusual abbreviations used in this report are listed and defined in the Notation (Appendix B).



$\epsilon_e$  = elastic limit or maximum elastic strain

$K_e$  = effective elastic stiffness

$R_e = K_e \epsilon_e$  = maximum elastic resistance

$M_e$  = effective mass (mass/length) in elastic deformation

$T_e = 2\pi \sqrt{\frac{M_e}{K_e}}$  = effective elastic period

The dimensionless variables are then

$$\bar{\epsilon} = \frac{\epsilon}{\epsilon_e} \quad (2.2)$$

$$\bar{t} = \frac{t}{T_e} \quad (2.3)$$

$$\bar{t}_r = \frac{t_r}{T_e} \quad (2.4)$$

$$A = \frac{P_{\max}}{R_e} \quad (2.5)$$

$$\bar{R}(\bar{\epsilon}) = \frac{R(\epsilon)}{R_e} \quad (2.6)$$

$$\bar{M}(\bar{\epsilon}) = \frac{M}{M_e} \quad (2.7)$$

where  $P_{\max}$  is the peak value of  $P(t)$ . Equation 2.1 becomes

$$\frac{\bar{M}(\bar{\epsilon})}{(2\pi)^2} \frac{d^2 \bar{\epsilon}}{d\bar{t}^2} = \begin{cases} A\bar{t}/\bar{t}_r - \bar{R}(\bar{\epsilon}) & \text{for } 0 \leq \bar{t} < \bar{t}_r \\ A - \bar{R}(\bar{\epsilon}) & \text{for } \bar{t} \geq \bar{t}_r \end{cases} \quad (2.8)$$

where  $\bar{R}(\bar{\epsilon}) = \bar{M}(\bar{\epsilon})$  are expressed as the bilinear functions



$$\bar{R}(\bar{\epsilon}) = \begin{cases} \bar{\epsilon} & \text{for } \bar{\epsilon} \leq 1 \\ 1 + B(\bar{\epsilon} - 1) & \text{for } \bar{\epsilon} > 1 \end{cases} \quad (2.9)$$

$$\bar{M}(\bar{\epsilon}) = \begin{cases} 1 & \text{for } \bar{\epsilon} \leq 1 \\ 1 + C(\bar{\epsilon} - 1) & \text{for } \bar{\epsilon} > 1 \end{cases} \quad (2.10)$$

and

$$B = \frac{K_p}{K_e} \quad (2.11)$$

$$K_p = \frac{\Delta R}{\Delta \epsilon} \quad \text{for } \epsilon > \epsilon_e (\bar{\epsilon} > 1) \quad (2.12)$$

$$C = \frac{\epsilon_e}{M_e} \frac{\Delta M}{\Delta \epsilon} \quad \text{for } \epsilon > \epsilon_e (\bar{\epsilon} > 1) \quad (2.13)$$

Examples of bilinear fits to  $R(\epsilon)$  and  $M(\epsilon)$  curves are shown in Figure 2.1. The lines 1 are better approximations for  $\epsilon < 2$  percent, but lines 2 would be used if strains up to 4 or 5 percent were of interest.

The charts, Figures A.1-A.48, summarize the maximum values of the normalized strain  $\bar{\epsilon}_{\max} = \epsilon_{\max}/\epsilon_e$ , and dimensionless time  $\bar{t}_{\max} = t_{\max}/T_e$ , at which  $\bar{\epsilon}_{\max}$  occurs for the parameters  $A$ ,  $B$ , and  $C$ . Note that  $A$  is a measure of the magnitude of the applied load or free-field stress,  $B$  is a measure of the stiffness of the rock-liner structure in plastic deformation, and  $C$  is a measure of the change in effective mass or density as the structure yields. The determination of  $B$  and  $C$  and the elastic normalizing parameters from material properties is discussed in the next section.

### 2.3 DETERMINING CHART PARAMETERS FROM MATERIAL PROPERTIES

The geometry of the rock-liner system is shown in Figure 1.1. The number of concentric material layers of the system is denoted by  $m$ . Generally, the liner is represented by  $m-1$  layers and the rock by the single  $m^{\text{th}}$  layer. Each material denoted by the subscript  $i$  is

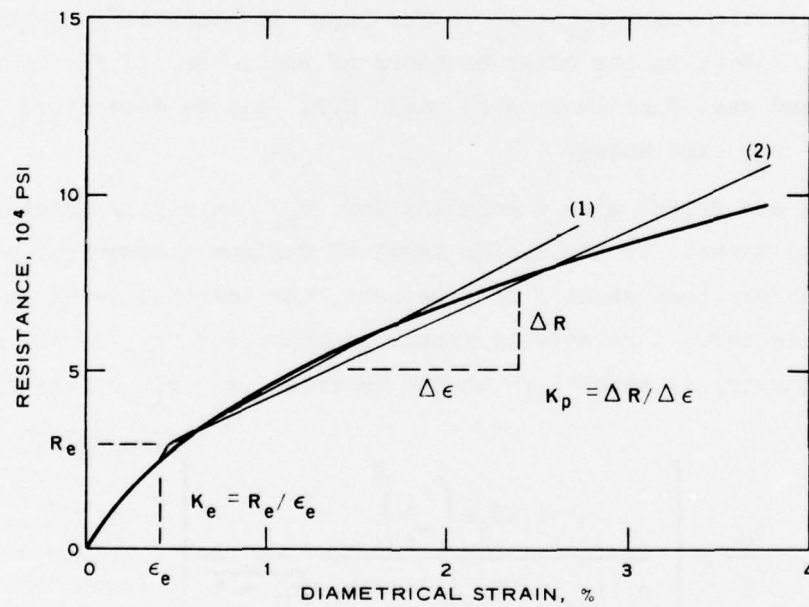
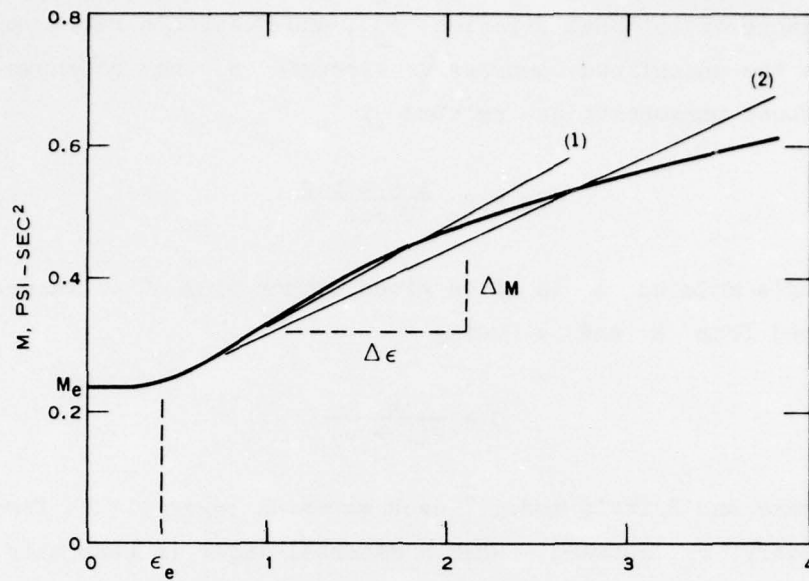


Figure 2.1. Examples of bilinear fits to effective resistance and mass functions.

described by the properties density  $\rho_i$ , shear modulus  $G_i$ , cohesion  $q_i$ , the angle of internal friction  $\phi_i$ , and Poisson's ratio  $\nu_i$ . In some cases the unconfined compressive strength  $\sigma_u$  may be known instead of  $q$ . These parameters are related by

$$q = \sigma_u \frac{1 - \sin \phi}{2 \cos \phi} \quad (2.14)$$

Also, Young's modulus  $E$  is often given rather than  $G$ . Values of  $G$  are obtained from  $E$  and  $\nu$  using

$$G = \frac{E}{2(1 + \nu)} \quad (2.15)$$

In Drake and Britt's model,<sup>2</sup> each material layer yields from its inner boundary  $r_i$  outward. When a material layer is partially plastic and partially elastic, the radius  $r_{pi}$  denotes the boundary between the interior plastic region and the exterior elastic region. These boundaries are denoted by dashed lines in Figure 1.1. A material layer is entirely elastic when  $r_{pi} = r_i$ . The layer is completely plastic when  $r_{pi} = r_{i+1}$  which is the outer boundary of the layer. The effective resistance and mass functions  $R(\epsilon)$  and  $M(\epsilon)$  can be determined when the values of  $r_{pi}$  are known.

Drake and Britt<sup>2</sup> give a relation for  $r_{pi}$  involving acceleration or inertial terms. If the design level of maximum diametrical strain is not much larger than about 2 to 5 percent, the inertial terms in  $r_{pi}$  can be neglected. A relatively simple equation for  $r_{pi}/r_i$  as a function of diametrical strain  $\epsilon$  at the interior  $r = r_i$  of the liner is

$$\frac{r_{pi}}{r_i} = \left[ \frac{4G_i \epsilon \left( \frac{r_i}{r_i} \right)^2}{n_i (Y_{i-1} + K_{i-1} \epsilon) + 2q_i \sqrt{n_i + 1}} \right]^{1/(n_i + 2)} \quad (2.16)$$

---

<sup>2</sup> Drake and Britt, op. cit.

where

$$n_i = \frac{2 \sin \phi_i}{1 - \sin \phi_i} \quad (2.17)$$

the effective plastic resistance of layer  $i$  is

$$Y_i = Y_{i-1} \left( \frac{r_{pi}}{r_i} \right)^{n_i} + \frac{2q_i \sqrt{n_i + 1}}{n_i} \left[ \left( \frac{r_{pi}}{r_i} \right)^{n_i} - 1 \right] \quad (2.18)$$

and the effective elastic stiffness is

$$K_i = K_{i-1} \left( \frac{r_{pi}}{r_i} \right)^{n_i} + 2G_i \left( \frac{r_i}{r_{pi}} \right)^2 \left[ 1 - \left( \frac{r_i}{r_{pi}} \right)^2 \right] \quad (2.19)$$

where  $Y_0 = 0$  and  $K_0 = 0$ . In the case  $n_i = 0$ , ( $\phi_i = 0$ ),

$$\lim_{n_i \rightarrow 0} \frac{1}{n_i} \left[ \left( \frac{r_{pi}}{r_i} \right)^{n_i} - 1 \right] = \ln \left( \frac{r_{pi}}{r_i} \right) \quad (2.20)$$

Whenever Equation 2.16 gives a value of  $r_{pi}/r_i$  greater than  $r_{i+1}/r_i$ , the layer is completely plastic and  $r_{pi}/r_i = r_{i+1}/r_i$  must be used. To calculate  $r_{pi}/r_i$ , begin at  $i = 1$ , the inside boundary of the liner. In this case  $Y_{i-1}$  and  $K_{i-1}$  are zero. Then with  $r_{pl}/r_1$  known,  $Y_1$  and  $K_1$  can be calculated and hence also  $r_{p2}/r_2$ . Continuing this process out to the rock ( $i = m$ ) surrounding the liner, all the  $Y_i$  and  $K_i$  can be determined. In the equations for  $Y_i$  and  $K_i$ , the outside rock boundary  $Y_{m+1}$  should be taken as  $\infty$  in the case of nuclear ground shock loading. The total resistance function  $R(\epsilon)$  is given by

$$R(\epsilon) = K_m \epsilon + Y_m \quad (2.21)$$



where  $Y_m$  is zero if the liner and rock are elastic, and the effective mass is obtained from

$$M = r_1^2 \sum_{j=1}^m \left\{ \frac{\rho_j}{n_j} \left[ \left( \frac{r_{pj}}{r_j} \right)^{n_j} - 1 \right] \prod_{k=j+1}^m \left( \frac{r_{pk}}{r_k} \right)^{n_k} + \rho_j \ln \left( \frac{r_{j+1}}{r_{pj}} \right) \right\} \quad (2.22)$$

Here we cannot let  $r_{m+1} \rightarrow \infty$  since  $M$  would be  $\infty$  also. Parametric studies have shown that an appropriate value of  $r_{m+1}$  to use in calculating  $M$  is  $r_{m+1} \approx 3r_m$ . Equations 2.21 and 2.22 supply the effective resistance and mass functions needed in determining the parameters  $B$  and  $C$  of the charts.

To obtain  $B$  and  $C$  from  $R(\epsilon)$  and  $M(\epsilon)$ , the elastic normalizing constant must first be computed from the relations

$$K_e = \sum_{j=1}^m 2G_j \left[ \left( \frac{r_1}{r_j} \right)^2 - \left( \frac{r_1}{r_{j+1}} \right)^2 \right] \quad (2.23)$$

where  $r_{m+1} \rightarrow \infty$  and  $r_1/r_{m+1} \rightarrow 0$ .

$$M_e = r_1^2 \sum_{j=1}^m \rho_j \ln \left( \frac{r_{j+1}}{r_j} \right) \quad (2.24)$$

where  $r_{m+1} \approx 3r_m$ . If the inner layer of the liner fails first, then the elastic limit is

$$\epsilon_e = \frac{q_1 \sqrt{n_1 + 1}}{2G_1} \quad (2.25)$$

Using Equations 2.11, 2.13, and 2.16 through 2.25, values of  $B$  and  $C$  can be calculated.



In practice one may not use  $\epsilon_e$  as given in Equation 2.25 but rather fit  $R(\epsilon)$  and  $M(\epsilon)$  to the "best" bilinear curves. In this way effective values of  $K_e$ ,  $M_e$ ,  $\epsilon_e$ , and  $R_e$  are obtained. Usually, plotting a few points of the  $R(\epsilon)$  and  $M(\epsilon)$  curves is adequate to allow one to draw accurate visual fits to the curves. Static resistance-strain curves,  $R(\epsilon)$ , can also be obtained using the charts of Newmark,<sup>3</sup> and Hendron and Aiyer.<sup>4</sup>

#### 2.4 A FIRST-ORDER COMPRESSIBILITY CORRECTION

The equations described above and the charts of  $\bar{t}_{\max}$  and  $\bar{\epsilon}_{\max}$  (Figures A.1 through A.48) are for incompressible media. The first-order correction factor given in Drake and Britt<sup>5</sup> may be used to approximately account for the compressibility of the liner and surrounding rock. The corrected normalized maximum strain,  $\bar{\epsilon}_{\max}^c$ , is related to the incompressible value  $\bar{\epsilon}_{\max}$  through

$$\bar{\epsilon}_{\max}^c = 2(1 - \nu)\bar{\epsilon}_{\max} \quad (2.26)$$

where  $\nu$  is an average of the Poisson's ratios of the liner and rock. For common rock and liner materials,  $\nu$  ranges from about 0.2 to 0.3 and  $2(1 - \nu) = 1.5$  is adequate for most preliminary design calculations.

---

<sup>3</sup> Newmark, op. cit.

<sup>4</sup> Hendron and Aiyer, op. cit.

<sup>5</sup> Drake and Britt, op. cit.

## CHAPTER 3

### EXAMPLE APPLICATIONS OF THE CHARTS

To illustrate the use of the design charts, consider the following steel and concrete liner sited in a relatively weak granite. The inner liner is steel which has an inside diameter of 48 inches<sup>1</sup> (radius = 24 inches) and is 1.5 inches thick. Between the steel and the granite there is 11 inches of concrete. The radii  $r_i$  are then

$$r_1 = 24 \text{ inches}$$

$$r_2 = 25.5 \text{ inches}$$

$$r_3 = 36.5 \text{ inches}$$

For the resistance calculation, take the outside rock radius to be  $r_4 = \infty$  so that  $1/r_4 = 0$ . For the effective mass calculation use  $r_4 = 3r_3$ .

The material properties<sup>2</sup> are

$$\text{Steel: } \rho_1 = 7.5 \times 10^{-4} \text{ psi-sec}^2/\text{in}^2$$

$$G_1 = 12 \times 10^6 \text{ psi}$$

$$q_1 = 27,500 \text{ psi}$$

$$\phi_1 = 0 \text{ deg}$$

$$v_1 = 0.27$$

---

<sup>1</sup> A table of factors for converting U. S. customary units of measurement to metric (SI) units is presented on page 3.

<sup>2</sup> The units of density were chosen to be consistent with  $P_{\max}$  in psi and  $r_i$  in inches. To convert unit weight in  $\text{lb/ft}^3$  to density in  $\text{psi-sec}^2/\text{in}^2$  divide by 667,000. To convert density in  $\text{kg/m}^3$  to density in  $\text{psi-sec}^2/\text{in}^2$  multiply by  $9.36 \times 10^{-8}$ .

$$\text{Concrete: } \rho_2 = 2.25 \times 10^{-4} \text{ psi-sec}^2/\text{in}^2$$

$$G_2 = 2.13 \times 10^6 \text{ psi}$$

$$q_2 = 2,000 \text{ psi}$$

$$\phi_2 = 37 \text{ deg}$$

$$\nu_2 = 0.25$$

$$\text{Granite: } \rho_3 = 2.5 \times 10^{-4} \text{ psi-sec}^2/\text{in}^2$$

$$G_3 = 4 \times 10^6 \text{ psi}$$

$$q_3 = 2,900 \text{ psi}$$

$$\phi_3 = 30 \text{ deg}$$

$$\nu_3 = 0.25$$

First determine the effective elastic stiffness  $K_e$ , effective mass  $M_e$ , and elastic limit  $\epsilon_e$  using Equations 2.23, 2.24, and 2.25. Expanding the summation in Equation 2.23 for three material layers, obtain

$$K_e = 2 \left\{ G_1 \left[ \left( \frac{r_1}{r_1} \right)^2 - \left( \frac{r_1}{r_2} \right)^2 \right] + G_2 \left[ \left( \frac{r_1}{r_2} \right)^2 - \left( \frac{r_1}{r_3} \right)^2 \right] + G_3 \left( \frac{r_1}{r_3} \right)^2 \right\}$$

where  $r_1/r_4 \approx r_1/\infty = 0$ . Substituting the numerical values of the parameters yields

$$K_e = 2 \left\{ 12 \times 10^6 \left[ 1 - \left( \frac{24}{25.5} \right)^2 \right] + 2.13 \times 10^6 \left[ \left( \frac{24}{25.5} \right)^2 - \left( \frac{24}{36.5} \right)^2 \right] + 4 \times 10^6 \left( \frac{24}{36.5} \right)^2 \right\} = 8.13 \times 10^6 \text{ psi}$$

Similarly,  $M_e = 0.231 \text{ psi-sec}^2$  is obtained from Equation 2.24. Using Equation 2.17, the value  $n_1 = 0$  is obtained, and from Equation 2.25  $\epsilon_e = 0.00115$ .

To determine resistance points in the region of plastic deformation ( $\epsilon > \epsilon_e$ ), use Equations 2.16 through 2.22. From Equation 2.17 calculate  $n_1 = 0$ ,  $n_2 = 3.02$ , and  $n_3 = 2.00$ . Next, using Equation 2.16 determine the ratios of the plastic boundaries  $r_{pi}$  to the inside radii  $r_i$  starting at  $i = 1$  and working up to  $i = 3$ . Calculate for  $\epsilon = 0.01$ . When  $i = 1$ ,  $Y_{i-1} = Y_0 = 0$ ,  $K_{i-1} = K_0 = 0$ ; hence,

$$\frac{r_{p1}}{r_1} = \left( \frac{4G_1\epsilon}{2q_1\sqrt{n_1+1}} \right)^{1/n_1+2} = \left[ \frac{4(12 \times 10^6)0.01}{2(27,500)\sqrt{0+1}} \right]^{1/0+2} = 2.95$$

But  $r_2/r_1 = 1.0625$ . This means that the steel layer is completely plastic, and hence,  $r_{p1}/r_1 = r_2/r_1 = 1.0625$  must be used for  $\epsilon = 0.01$  and larger strains.

$Y_1$  and  $K_1$  can now be evaluated using Equations 2.18, 2.19, and 2.20. Equation 2.20 applies because  $n_1 = 0$ . Since  $Y_0 = 0$  and  $K_0 = 0$ , the equations reduce to

$$Y_1 = 2q_1\sqrt{n_1+1} \ln \frac{r_{p1}}{r_1} = 2q_1 \ln \frac{r_2}{r_1} = 3334 \text{ psi}$$

and

$$K_1 = 2G_1 \left( \frac{r_1}{r_2} \right)^2 \left[ 1 - \left( \frac{r_2}{r_{p1}} \right)^2 \right] = 2G_1 \left( \frac{r_1}{r_2} \right)^2 \left[ 1 - \left( \frac{r_2}{r_2} \right)^2 \right] = 0 \text{ psi}$$

These values of  $Y_1$  and  $K_1$  do not change for increasing strain  $\epsilon$  since the plastic boundary  $r_{p1}$  has reached its maximum value.

All information needed to calculate  $r_{p2}/r_2$  from Equation 2.16 is now available, and the result is  $r_{p2}/r_2 = 1.33$  and  $r_{p2} = 33.9$  inches. Thus, at the strain  $\epsilon = 0.01$  the concrete layer is about half plastic



and half elastic. For  $i = 2$ , Equations 2.18 and 2.19 become

$$Y_2 = Y_1 \left( \frac{r_{p2}}{r_2} \right)^{n_2} + \frac{2q_2 \sqrt{n_2 + 1}}{n_2} \left[ \left( \frac{r_{p2}}{r_2} \right)^{n_2} - 1 \right] = 11,520 \text{ psi}$$

and

$$K_2 = K_1 \left( \frac{r_{p2}}{r_2} \right)^{n_2} + 2G_2 \left[ \left( \frac{r_1}{r_{p2}} \right)^2 - \left( \frac{r_1}{r_3} \right)^2 \right] = 2.93 \times 10^5 \text{ psi}$$

These values of  $Y_2$  and  $K_2$  can be substituted into Equation 2.16 to obtain  $r_{p3}/r_3 = 1.15$  and  $r_{p3} = 42.0$  inches. For  $i = 3$ , Equations 2.18 and 2.19 become, with  $r_4 = \infty$  and  $r_1/r_4 = 0$ ,

$$Y_3 = Y_2 \left( \frac{r_{p3}}{r_3} \right)^{n_3} + \frac{2q_3 \sqrt{n_3 + 1}}{n_3} \left[ \left( \frac{r_{p3}}{r_3} \right)^{n_3} - 1 \right] = 16,900 \text{ psi}$$

and

$$K_3 = K_2 \left( \frac{r_{p3}}{r_3} \right)^{n_3} + 2G_3 \left( \frac{r_1}{r_{p3}} \right)^2 = 3.00 \times 10^6 \text{ psi}$$

For the present example the number  $m$  of material layers is 3. Thus Equation 2.21 for the total resistance of the liner-rock system becomes

$$R(\epsilon) = K_3 \epsilon + Y_3$$

Substituting the values of  $K_3$  and  $Y_3$  above and  $\epsilon = 0.01$  yields  $R(0.01) = 47,000 \text{ psi}$ , which is plotted on the lower graph of Figure 2.1.

From Equations 2.22 and 2.20 the effective mass  $M$  is calculated:



$$M = r_1^2 \left( \left[ \rho_1 \ln \left( \frac{r_{p1}}{r_1} \right) \right] \left( \frac{r_{p2}}{r_2} \right)^{n_2} + \frac{\rho_2}{n_2} \left[ \left( \frac{r_{p2}}{r_2} \right)^{n_2} - 1 \right] \right) \left( \frac{r_{p3}}{r_3} \right)^{n_3} \\ + \frac{\rho_3}{n_3} \left[ \left( \frac{r_{p3}}{r_3} \right)^{n_3} - 1 \right] + \rho_1 \ln \left( \frac{r_2}{r_{p1}} \right) + \rho_2 \ln \left( \frac{r_3}{r_{p2}} \right) + \rho_3 \ln \left( \frac{r_4}{r_{p3}} \right)$$

$$M(0.01) = 0.33 \text{ psi-sec}^2$$

where the value  $r_4 = 3r_3$  has been used as suggested previously.

Using the same procedure as for  $\epsilon = 0.01$ , additional points at  $\epsilon = 0.005$ ,  $0.02$ , and  $0.03$  were calculated and plotted, along with the elastic values, in Figure 2.1. Smooth curves were then drawn through these points and the bilinear fits denoted (1) and (2) were visually produced.

From previous experience with similar structures it was determined that the liner in the example could safely take about 2 percent strain without buckling. This figure was then taken as the design criterion for the structure. To apply the dynamic design charts, the bilinear fits (1) in Figure 2.1, which are good up to 2 to 2.5 percent, should be used. From these curves the effective elastic limit is  $\epsilon_e = 0.004$ , the effective elastic stiffness is  $K_e = 7.5 \times 10^6$  psi, and the effective elastic period is  $T_e = 2\pi\sqrt{M_e/K_e} = 1.1$  msec. These values of  $\epsilon_e$  and  $K_e$  should be used instead of the results obtained earlier from Equations 2.23 and 2.25. The effective maximum elastic resistance from the fit (1) is then  $R_e = 30,000$  psi, the effective plastic stiffness is  $K_p = \Delta R/\Delta \epsilon \approx 2.5 \times 10^6$  psi, and the slope of the  $M$  curve in plastic deformation is  $\Delta M/\Delta \epsilon \approx 15$ . The chart parameters  $B$  and  $C$  are then obtained from Equations 2.11 and 2.13:

$$B = \frac{K_p}{K_e} \approx \frac{2.5 \times 10^6}{7.5 \times 10^6} \approx 0.33$$

$$C = \frac{\epsilon_e}{M_e} \frac{\Delta M}{\Delta \epsilon} = \frac{0.004}{0.213} 15 \approx 0.26$$

The  $B = 0.3$  curve of Figures A.17 and A.18 should be used to obtain  $\bar{\epsilon}_{\max} = \epsilon_{\max}/\epsilon_e$  and  $\bar{t}_{\max} = t_{\max}/T_e$ .

For a peak free-field stress  $P_{\max} = 43,500$  psi (3 kilobars),  $A = P_{\max}/R_e = 43,500/30,000 = 1.45$ . For  $\bar{t}_r = 0$  Figure A.17 gives  $\bar{\epsilon}_{\max} \approx 7$  and Figure A.18 gives  $\bar{t}_{\max} \approx 1.1$ . Hence,  $\epsilon_{\max} = \epsilon_e \bar{\epsilon}_{\max} \approx 0.004 \times 7 = 0.028$  or 2.8 percent and  $t_{\max} = T_e \bar{t}_{\max} \approx 1.1 \text{ msec} \times 1.1 \approx 1.2 \text{ msec}$ . Using the average value of Poisson's ratio  $\nu = 0.25$ , Equation 2.26 gives a compressibility correction factor of 1.5 and a corrected maximum strain of  $\epsilon_{\max}^c = 4.2$  percent. Thus for a suddenly applied load ( $\bar{t}_r = 0$ ) and  $P_{\max} = 43,500$  psi, the dynamic strain is more than double the 2 percent design level of maximum strain that has been assumed for the structure.

Figures A.19 through A.24 would be used to determine the structural response if  $P(t)$  had a nonzero rise time. In Figures A.23 and A.24 for  $\bar{t}_r = 5$  the response is essentially quasi-static. These charts show that for the present example the maximum static strain is less than half the dynamic strain produced by a suddenly applied load.

At the lower stress level  $P_{\max} = 29,000$  psi (2 kilobars),  $A = 0.97$ . With  $\bar{t}_r = 0$  the charts give values of  $\bar{\epsilon}_{\max} \approx 3$  and  $\bar{t}_{\max} \approx 0.8$  which yield  $\epsilon_{\max} \approx 1.2$  percent and  $t_{\max} \approx 0.9 \text{ msec}$ . Using  $\nu = 0.25$ , the corrected maximum strain is  $\epsilon_{\max}^c \approx 1.8$  percent, which is within the design level.

## APPENDIX A

## DESIGN CHARTS

The maximum dynamic response of cylindrical underground structures subjected to the long-duration ground shock loading of nuclear explosions is summarized in Figures A.1 through A.48. The maximum normalized diametrical strain  $\bar{\epsilon}_{\max} = \epsilon_{\max}/\epsilon_e$  and the dimensionless time of maximum strain  $\bar{t}_{\max} = t_{\max}/T_e$  are given in the charts for the characteristic parameters A, B, C, and  $\bar{t}_r$  where:

$$T_e = 2\pi\sqrt{M_e/K_e} = \text{effective elastic period}$$

$$A = P_{\max}/R_e = \text{dimensionless maximum applied load}$$

$$B = K_p/K_e = \text{dimensionless plastic stiffness}$$

$$C = (\epsilon_e/M_e)(\Delta M/\Delta \epsilon) = \text{dimensionless rate of change of effective mass in plastic deformation}$$

$$\bar{t}_r = t_r/T_e = \text{normalized rise time of applied load}$$

The material properties needed to determine the chart parameters are illustrated in Figure 2.1; an example using the charts is given in Chapter 3. Charts are included in this appendix for normalized rise times ranging from  $\bar{t}_r = 0$  for a suddenly applied load to  $\bar{t}_r = 2$  or 5 where the structural response is essentially quasi-static. On the figures for  $\bar{t}_r = 2$  or 5 some of the  $\bar{t}_{\max}$  curves for the larger values of B have been smoothed to produce readable "average" curves which deviate from the original ones by less than about one fourth.

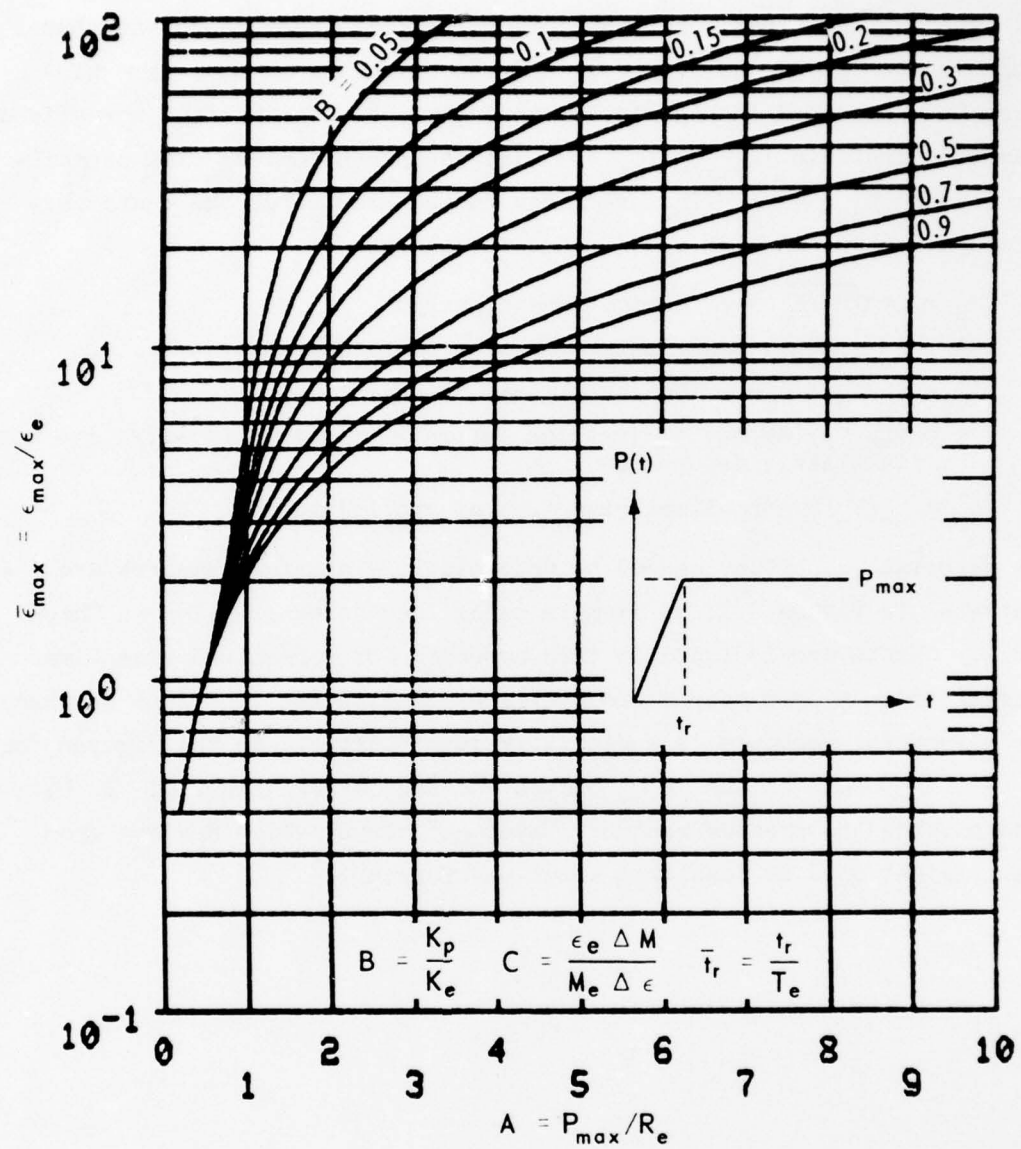


Figure A.1.  $\bar{\epsilon}_{\max}$  curves for tunnel-liner systems with  $C = 0$  and  $\bar{t}_r = 0$ .



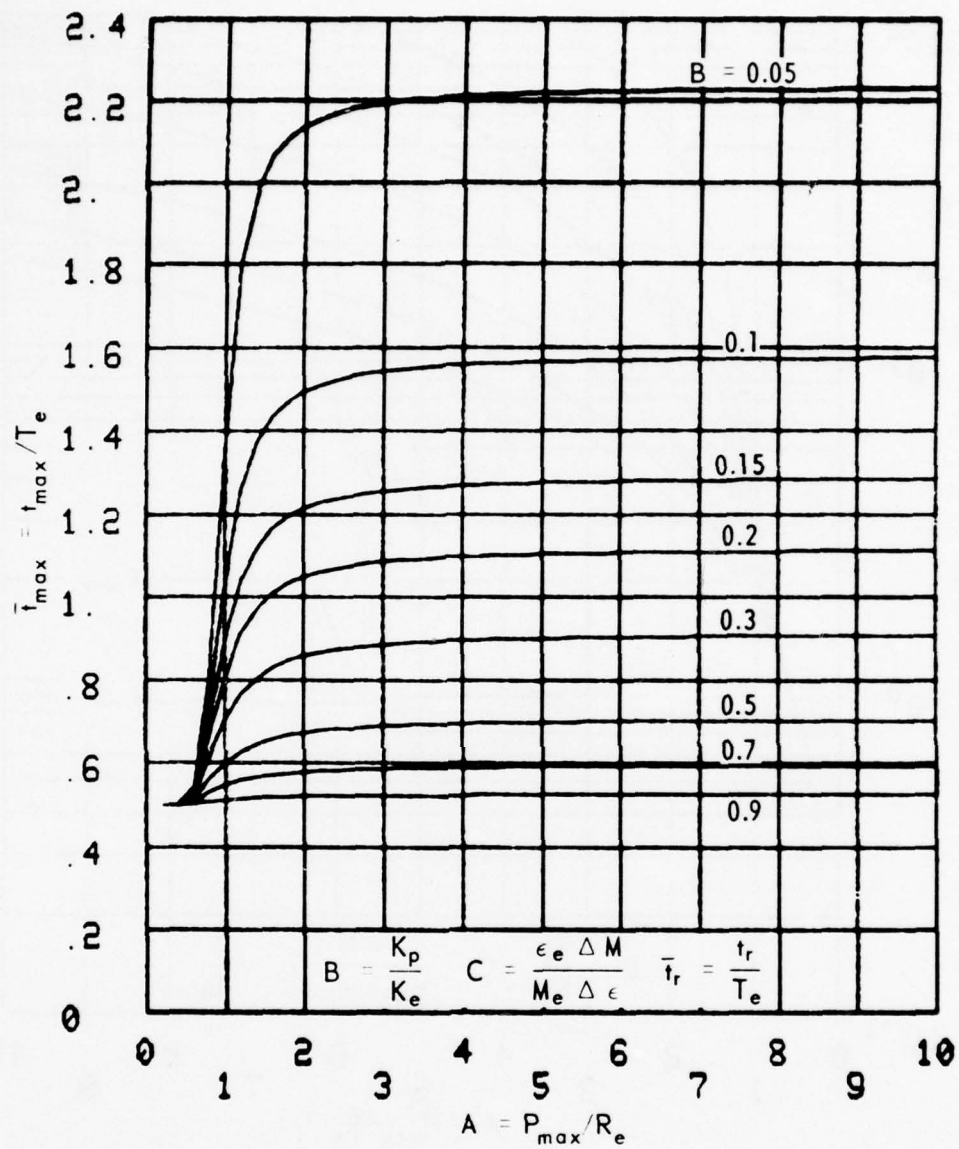


Figure A.2.  $\bar{t}_{\max}$  curves for tunnel-liner systems with  $C = 0$  and  $\bar{t}_r = 0$ .

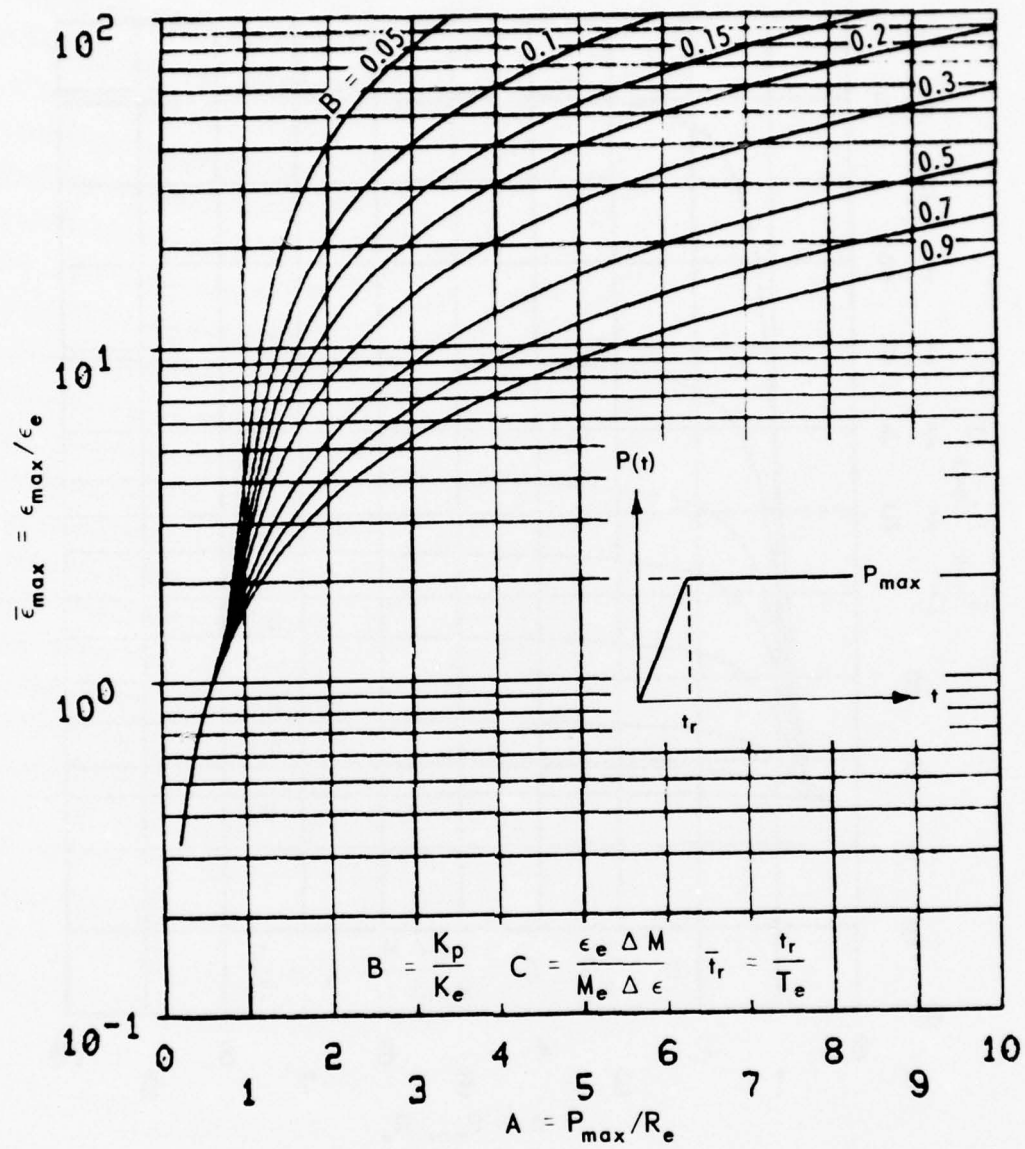


Figure A.3.  $\bar{\epsilon}_{\max}$  curves for tunnel-liner systems with  $C = 0$  and  $\bar{t}_r = 0.5$ .

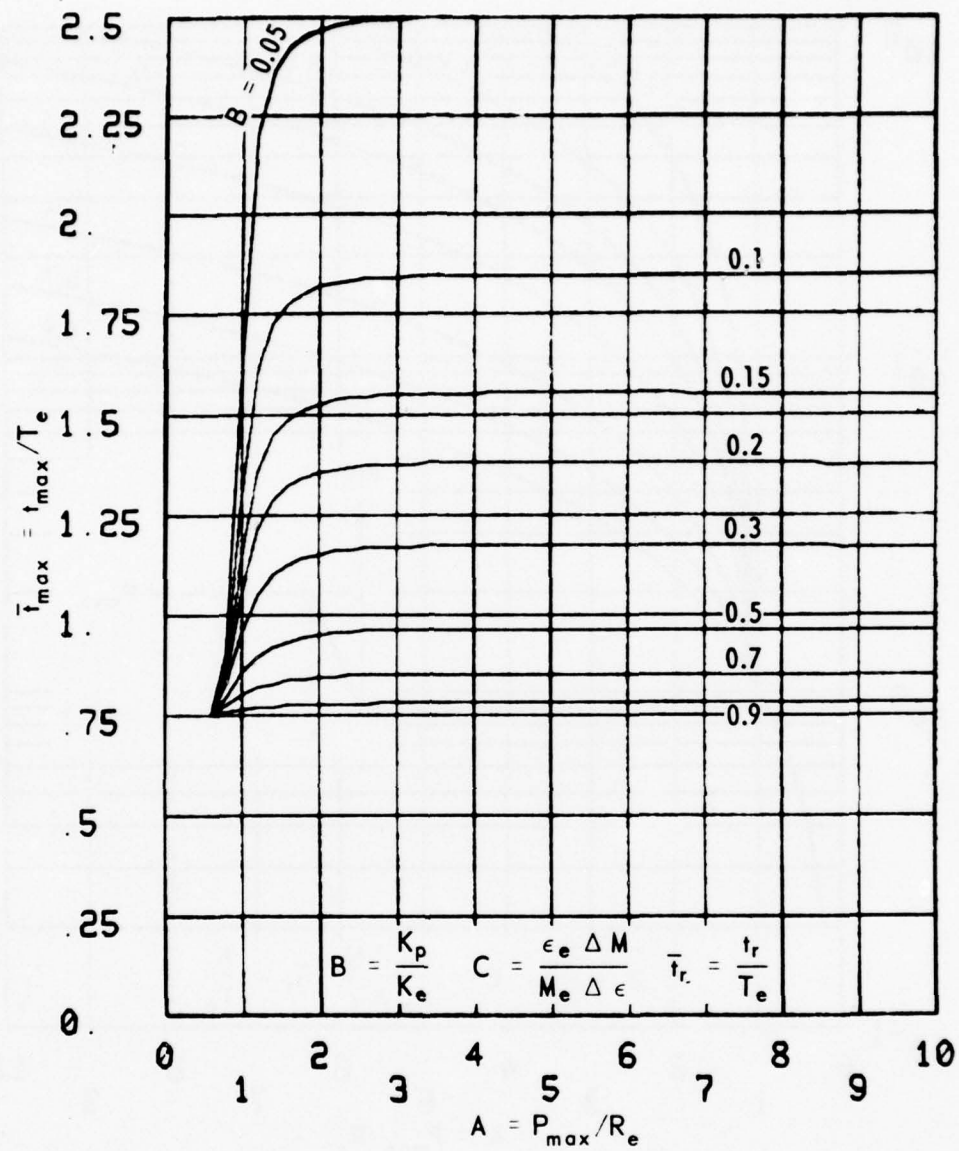


Figure A.4.  $\bar{t}_{\max}$  curves for tunnel-liner systems with  $C = 0$  and  $\bar{t}_r = 0.5$ .

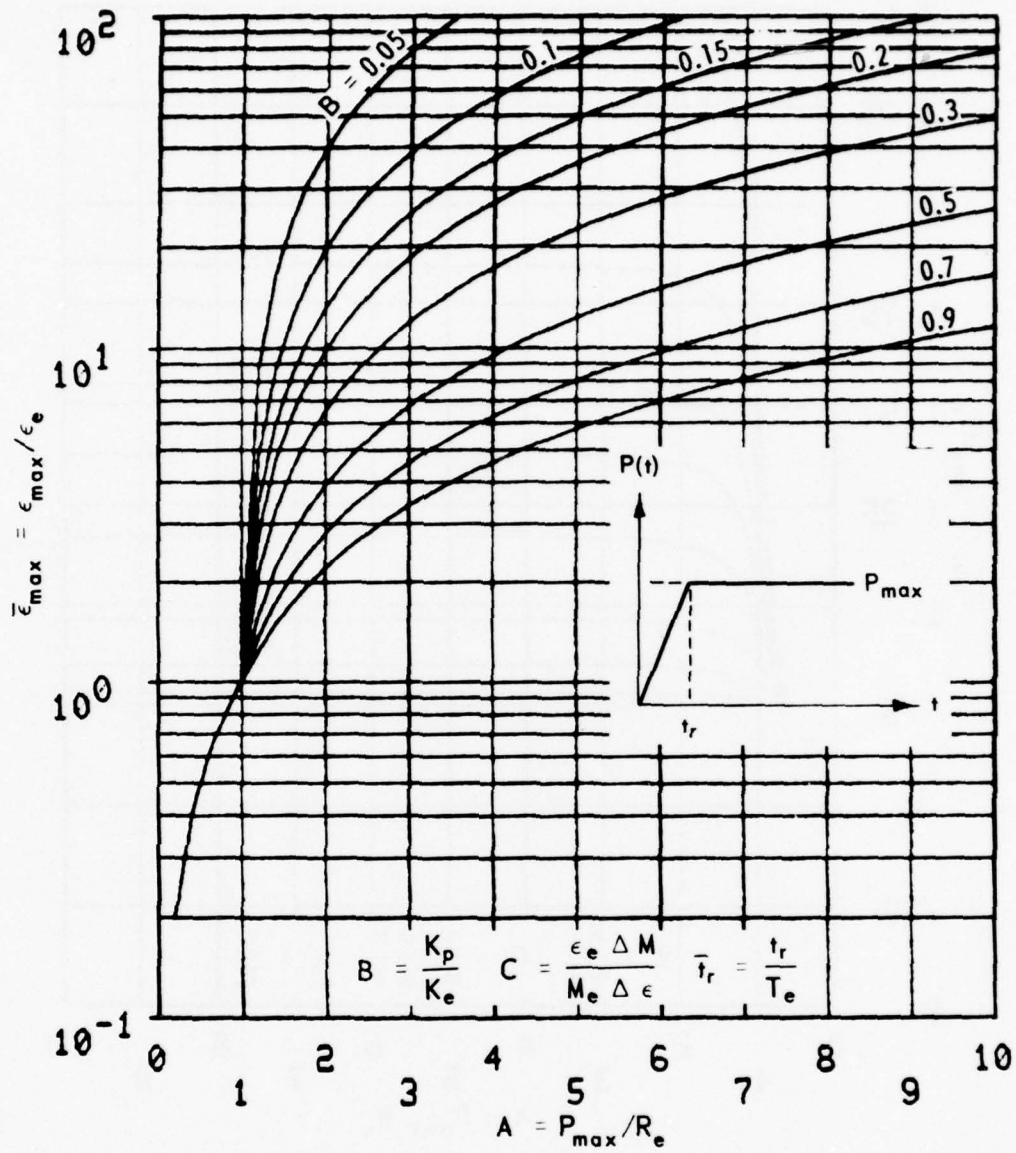


Figure A.5.  $\bar{\epsilon}_{\max}$  curves for tunnel-liner systems with  $C = 0$  and  $\bar{t}_r = 1$ .



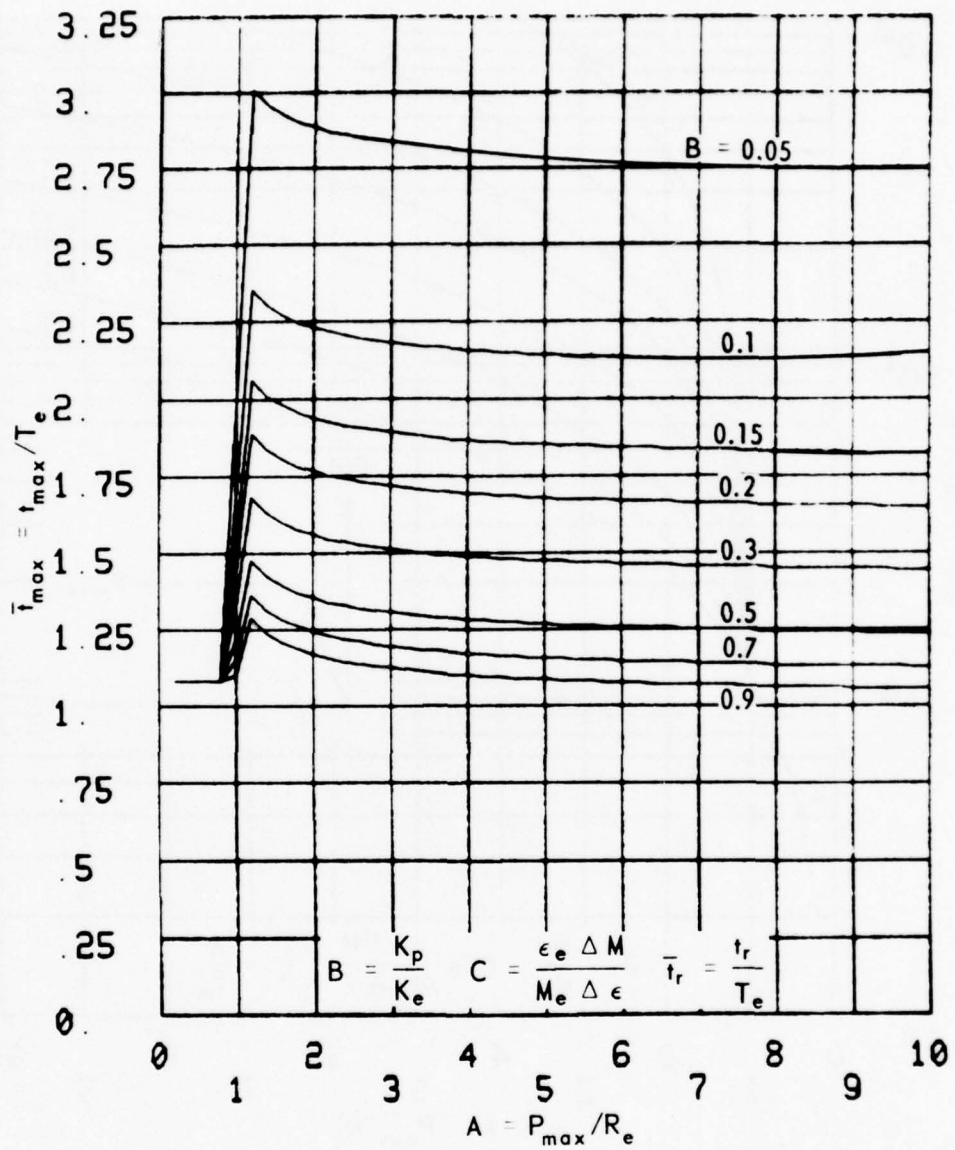


Figure A.6.  $\bar{t}_{\max}$  curves for tunnel-liner systems with  $C = 0$  and  $\bar{t}_r = 1$ .

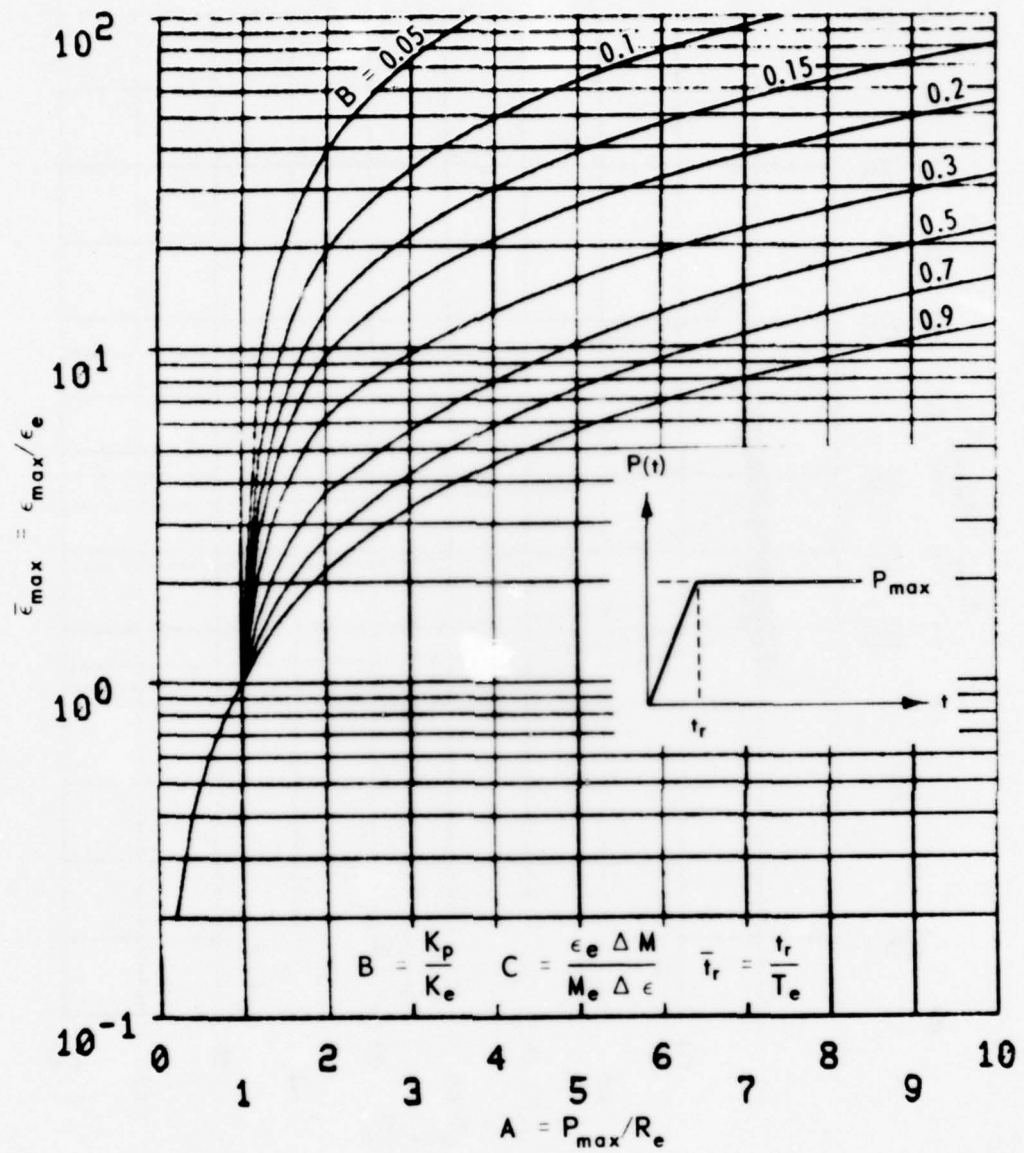


Figure A.7.  $\bar{\epsilon}_{\max}$  curves for tunnel-liner systems with  $C = 0$  and  $\bar{t}_r = 2$ .

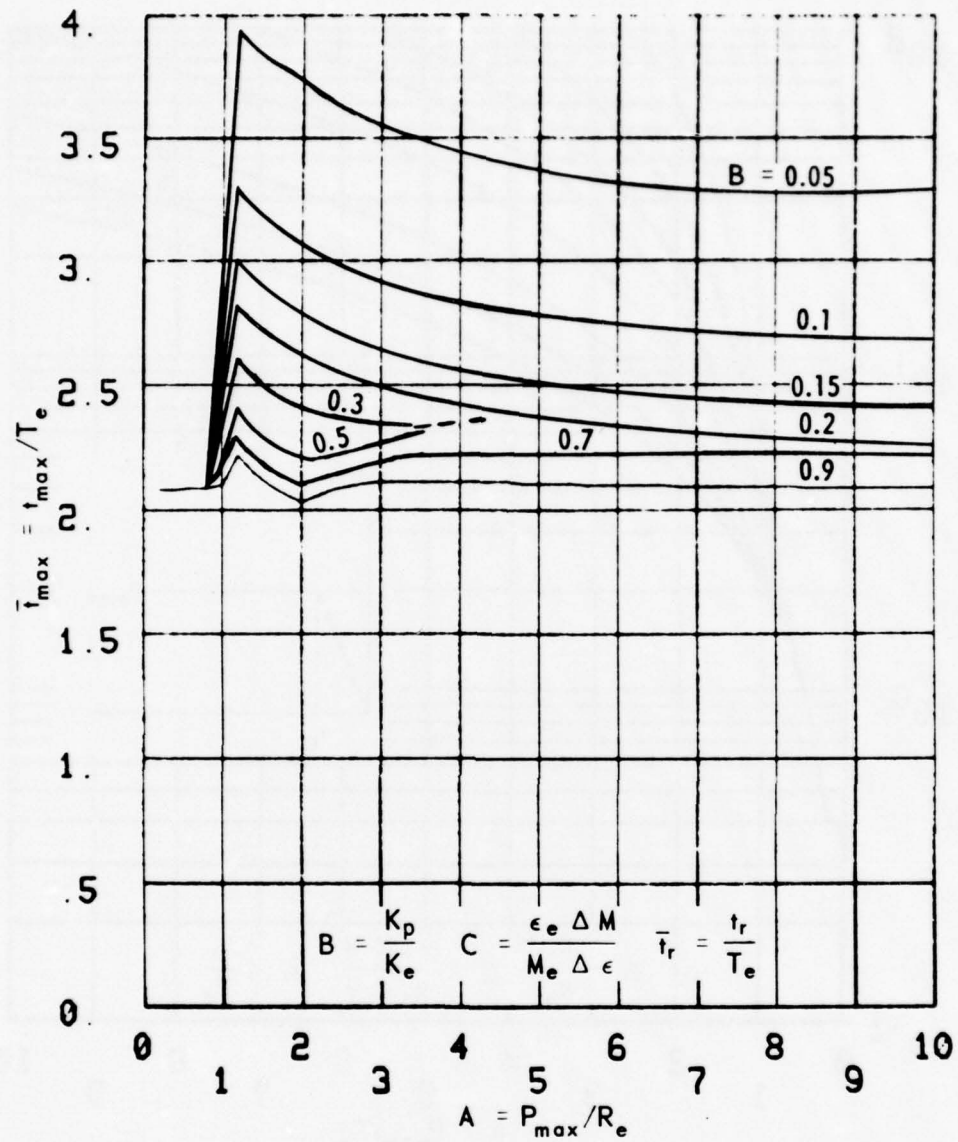


Figure A.8.  $\bar{t}_{\max}$  curves for tunnel-liner systems with  $C = 0$  and  $\bar{t}_r = 2$ .

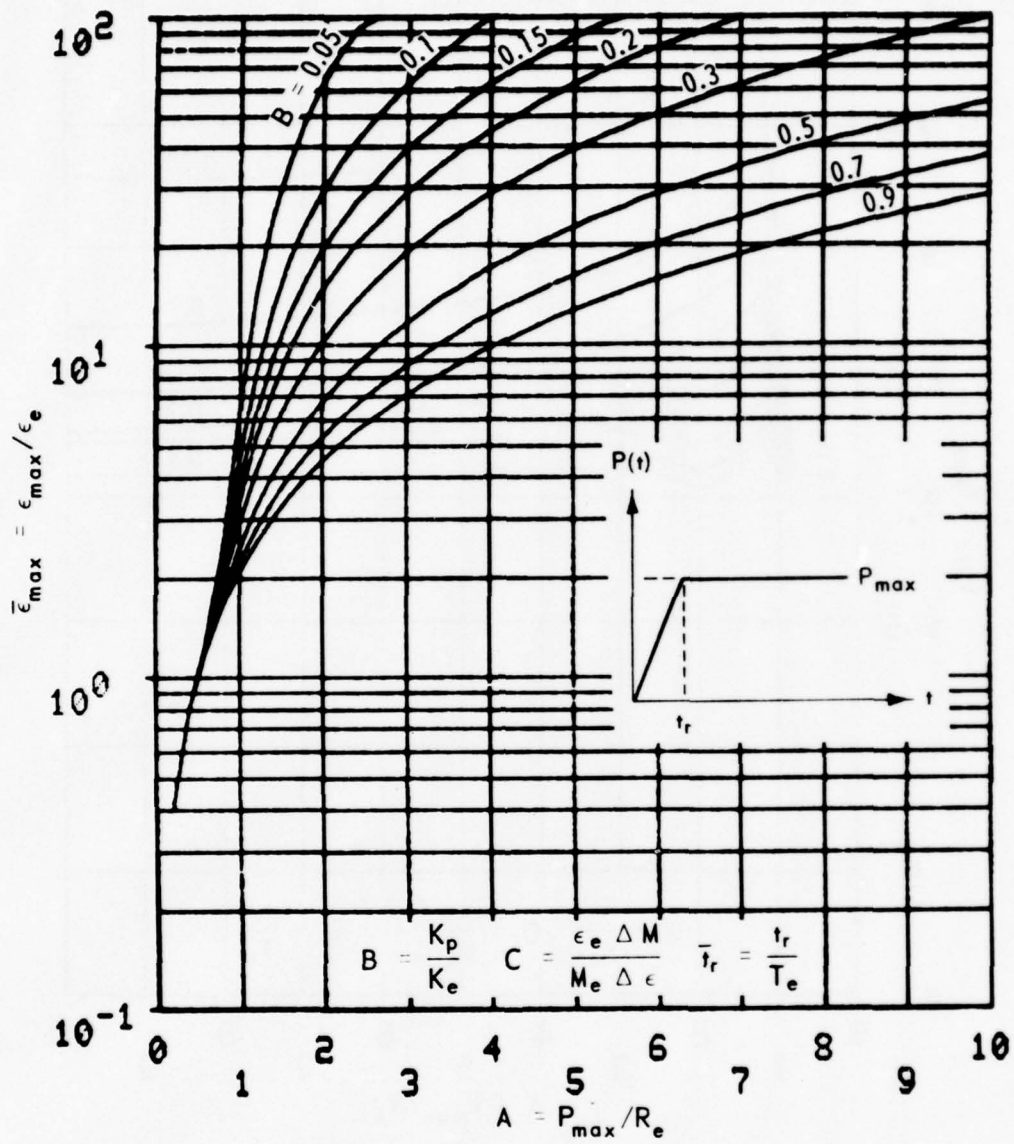


Figure A.9.  $\bar{\epsilon}_{max}$  curves for tunnel-liner systems with  $C = 0.1$  and  $\bar{t}_r = 0$ .



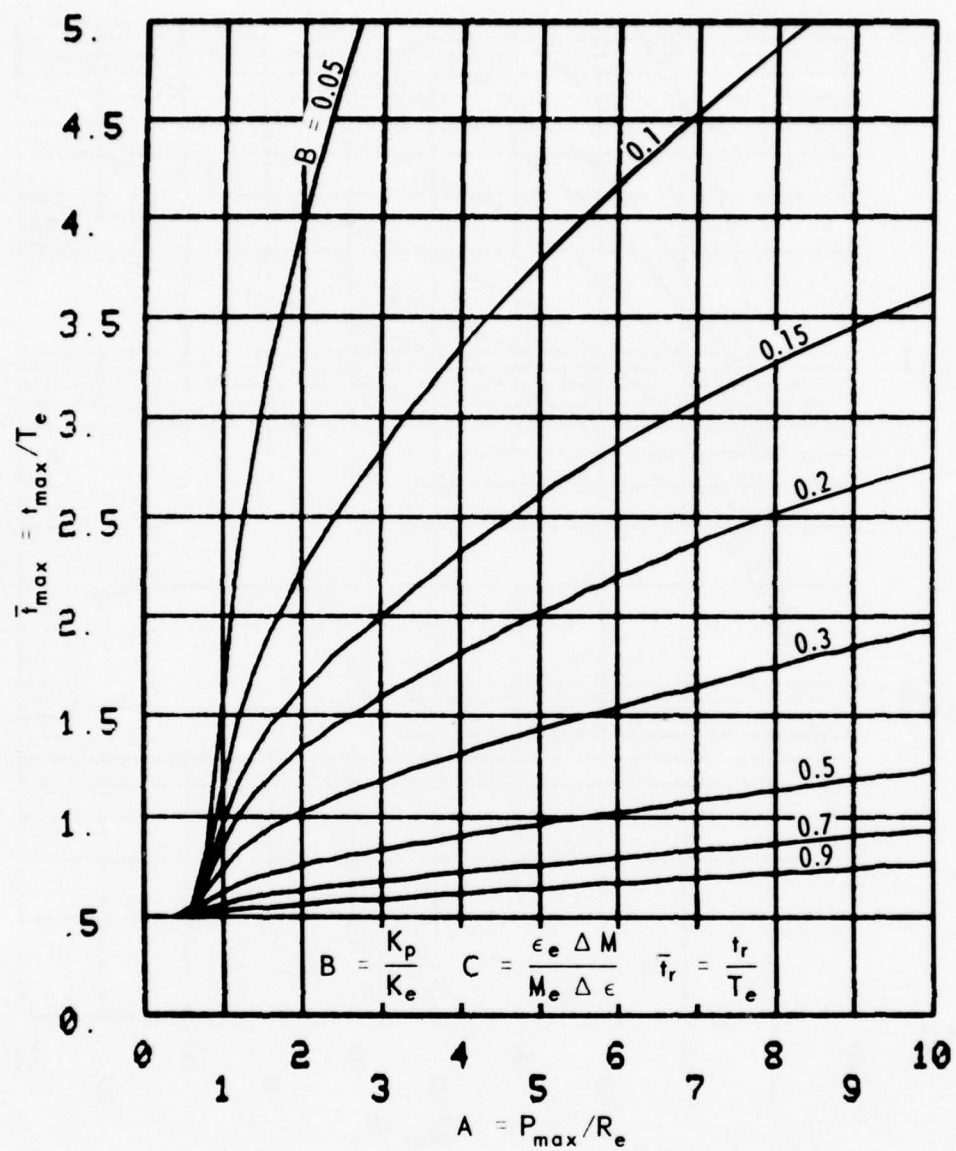


Figure A.10.  $\bar{t}_{\max}$  curves for tunnel-liner systems with  $C = 0.1$  and  $\bar{t}_r = 0$ .

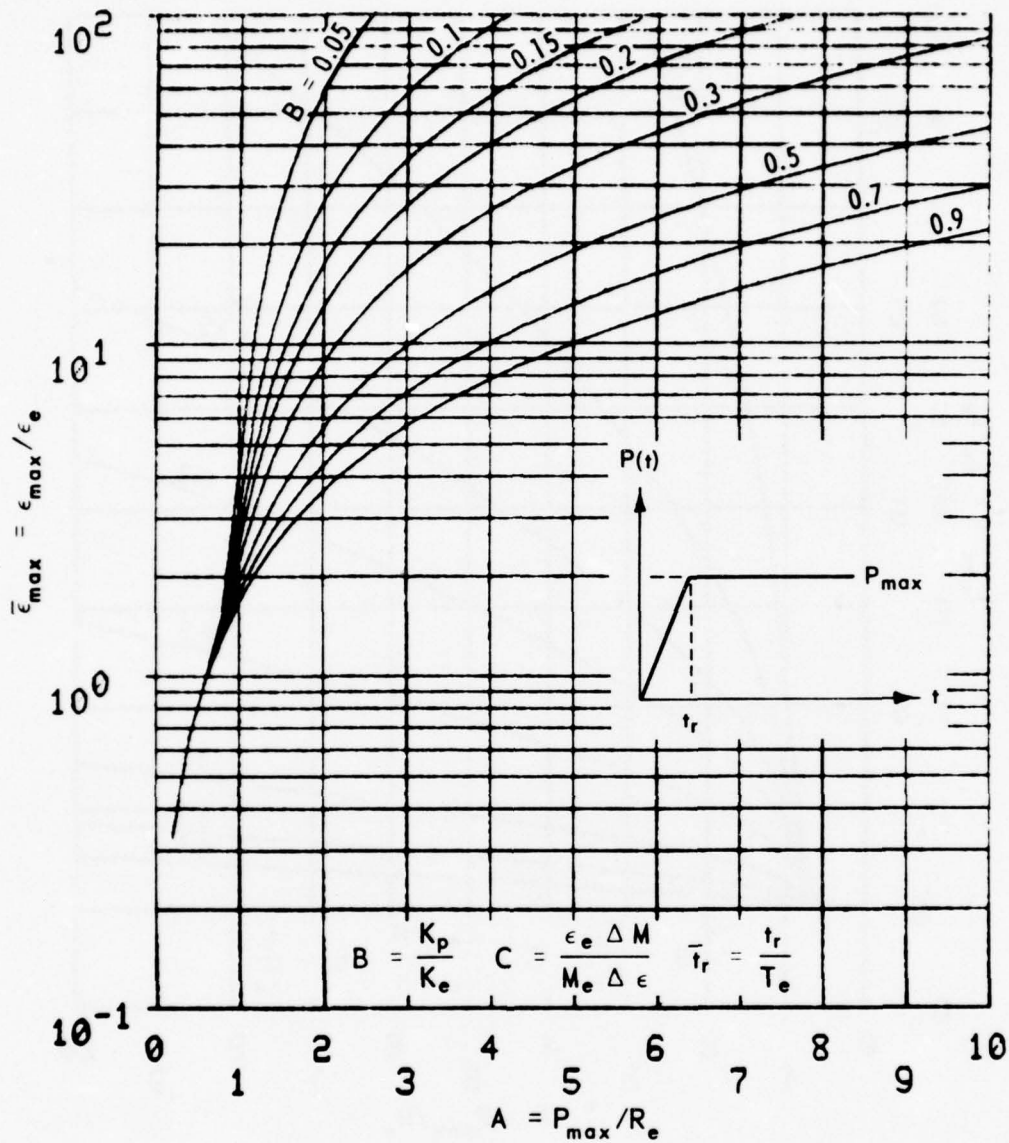


Figure A.11.  $\bar{\epsilon}_{\max}$  curves for tunnel-liner systems with  $C = 0.1$  and  $\bar{t}_r = 0.5$ .

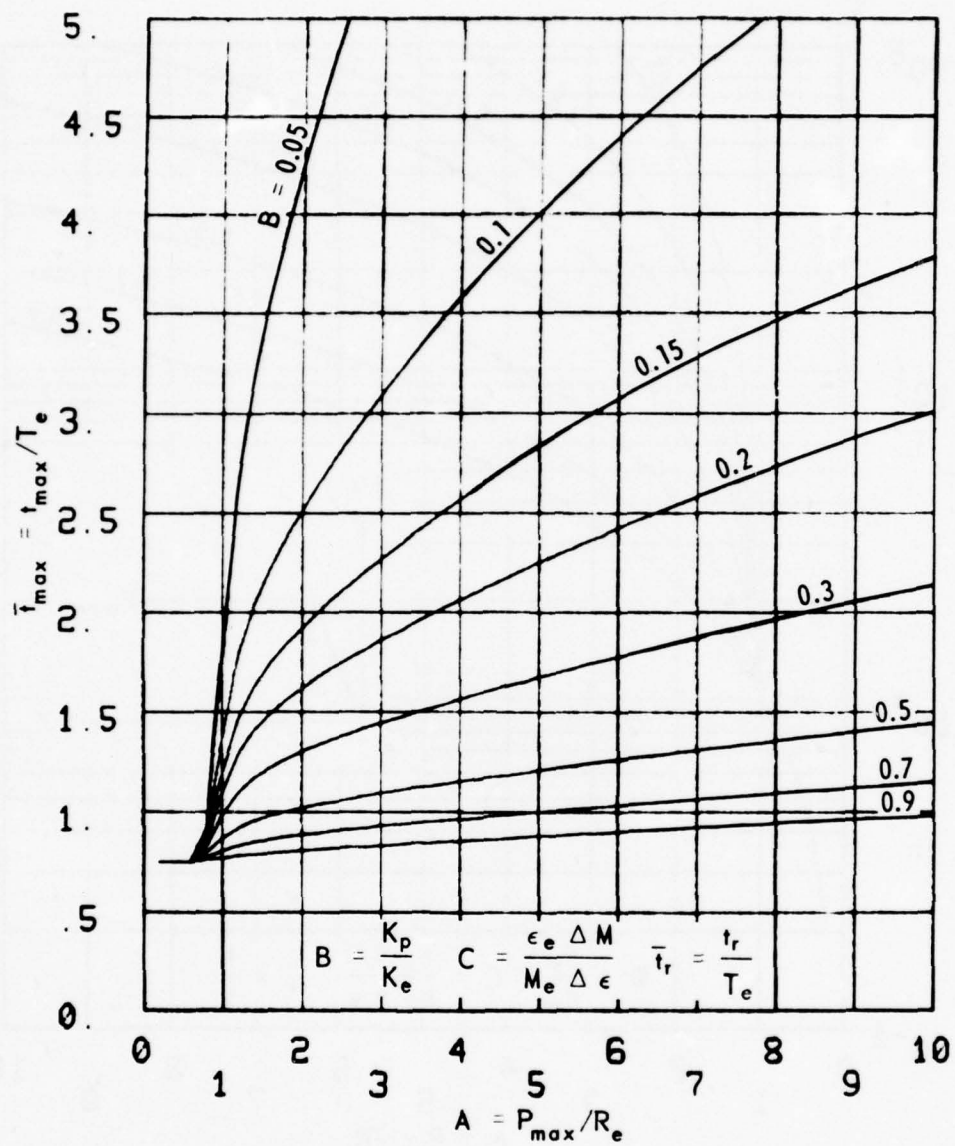


Figure A.12.  $\bar{t}_{\max}$  curves for tunnel-liner systems with  $C = 0.1$  and  $\bar{t}_r = 0.5$ .

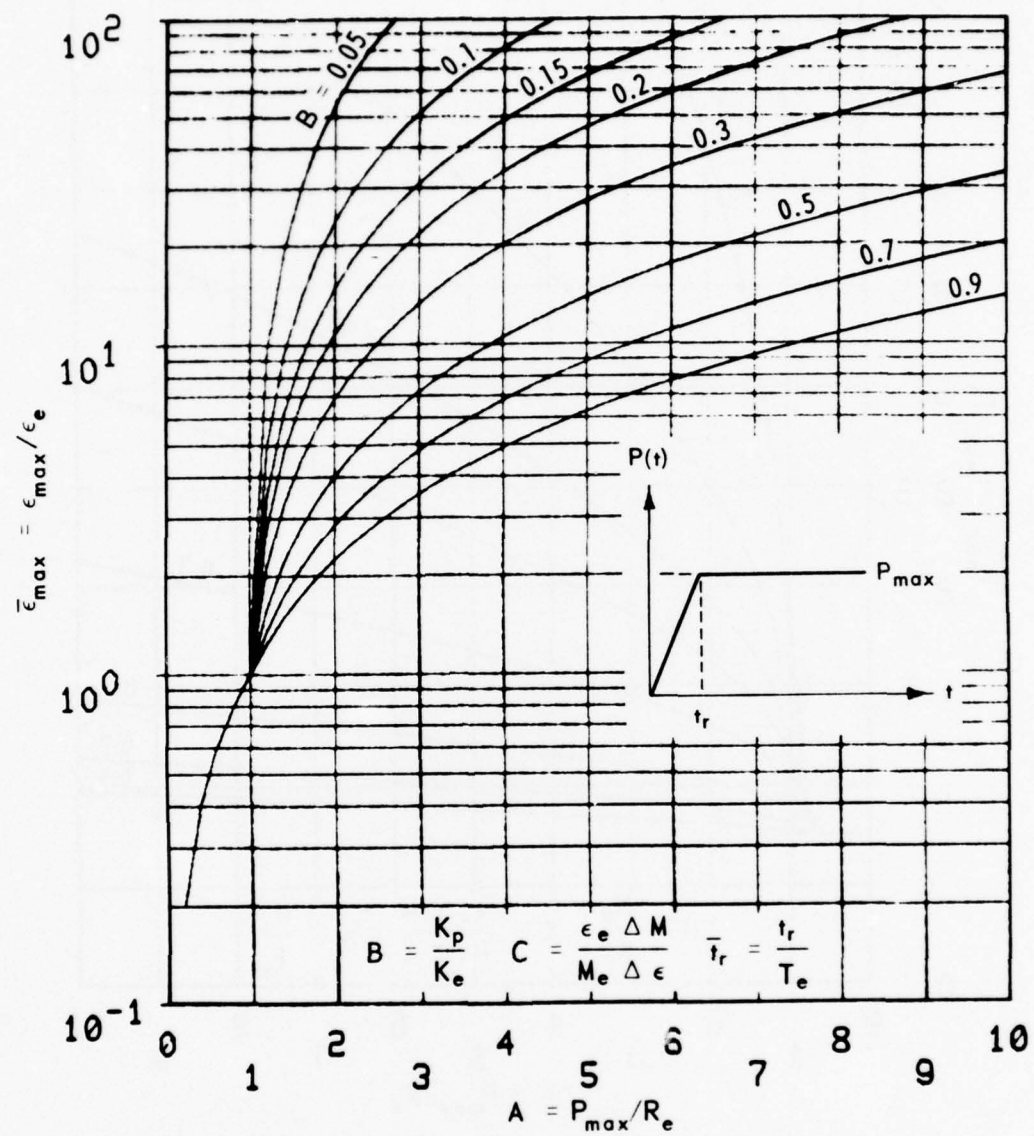


Figure A.13.  $\bar{\epsilon}_{\max}$  curves for tunnel-liner systems with  $C = 0.1$  and  $\bar{t}_r = 1$ .



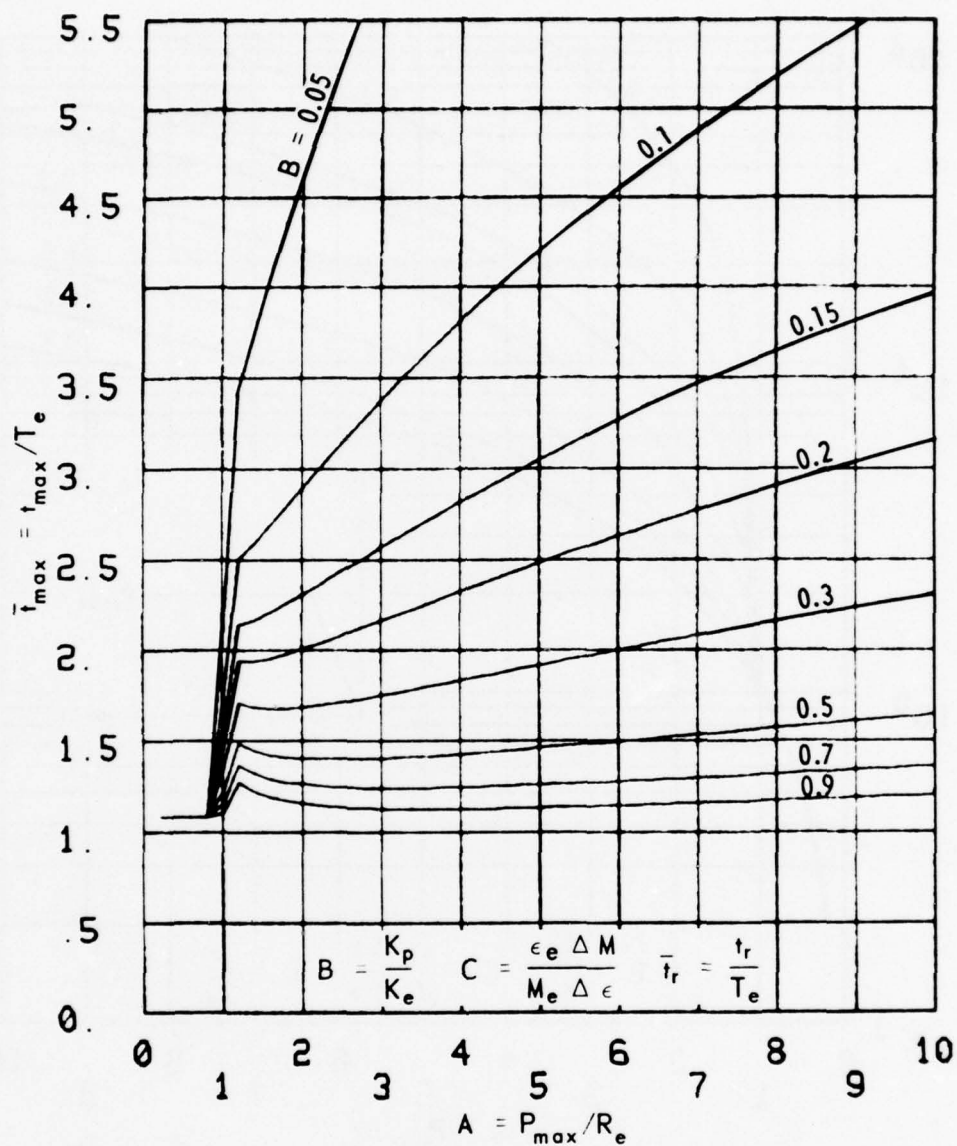


Figure A.14.  $\bar{t}_{\max}$  curves for tunnel-liner systems with  $C = 0.1$  and  $\bar{t}_r = 1$ .

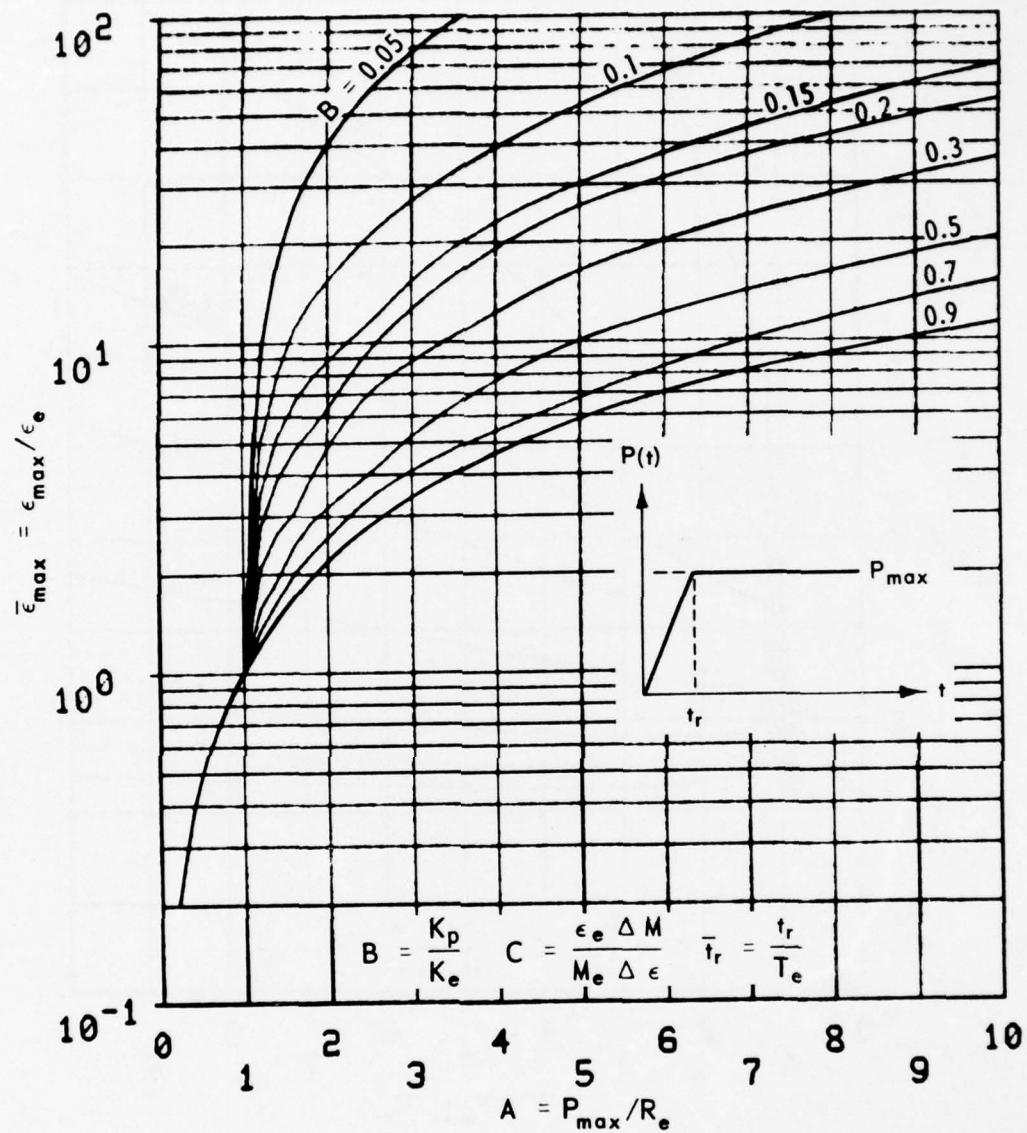


Figure A.15.  $\bar{\epsilon}_{\max}$  curves for tunnel-liner systems with  $C = 0.1$  and  $\bar{t}_r = 5$ .

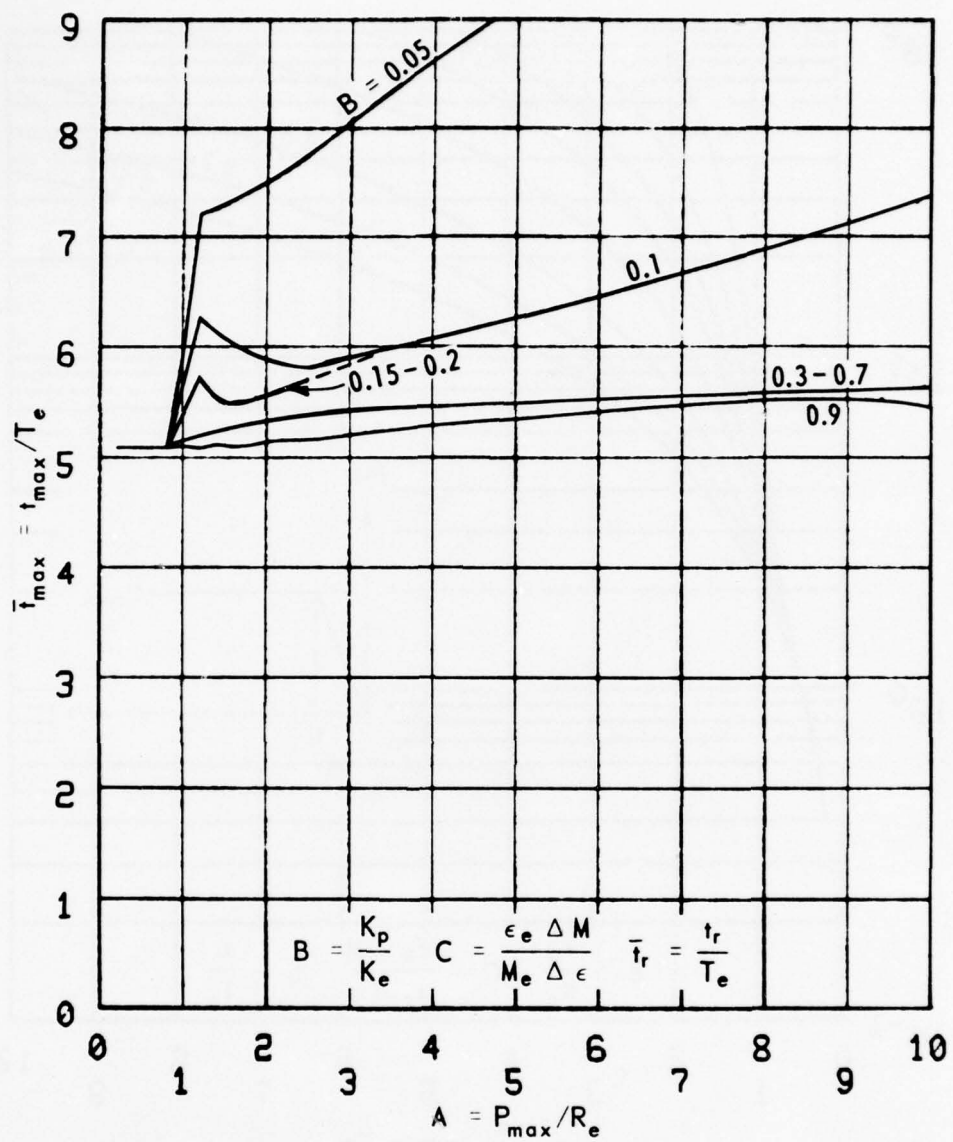


Figure A.16.  $\bar{t}_{\max}$  curves for tunnel-liner systems with  $C = 0.1$  and  $\bar{t}_r = 5$ .

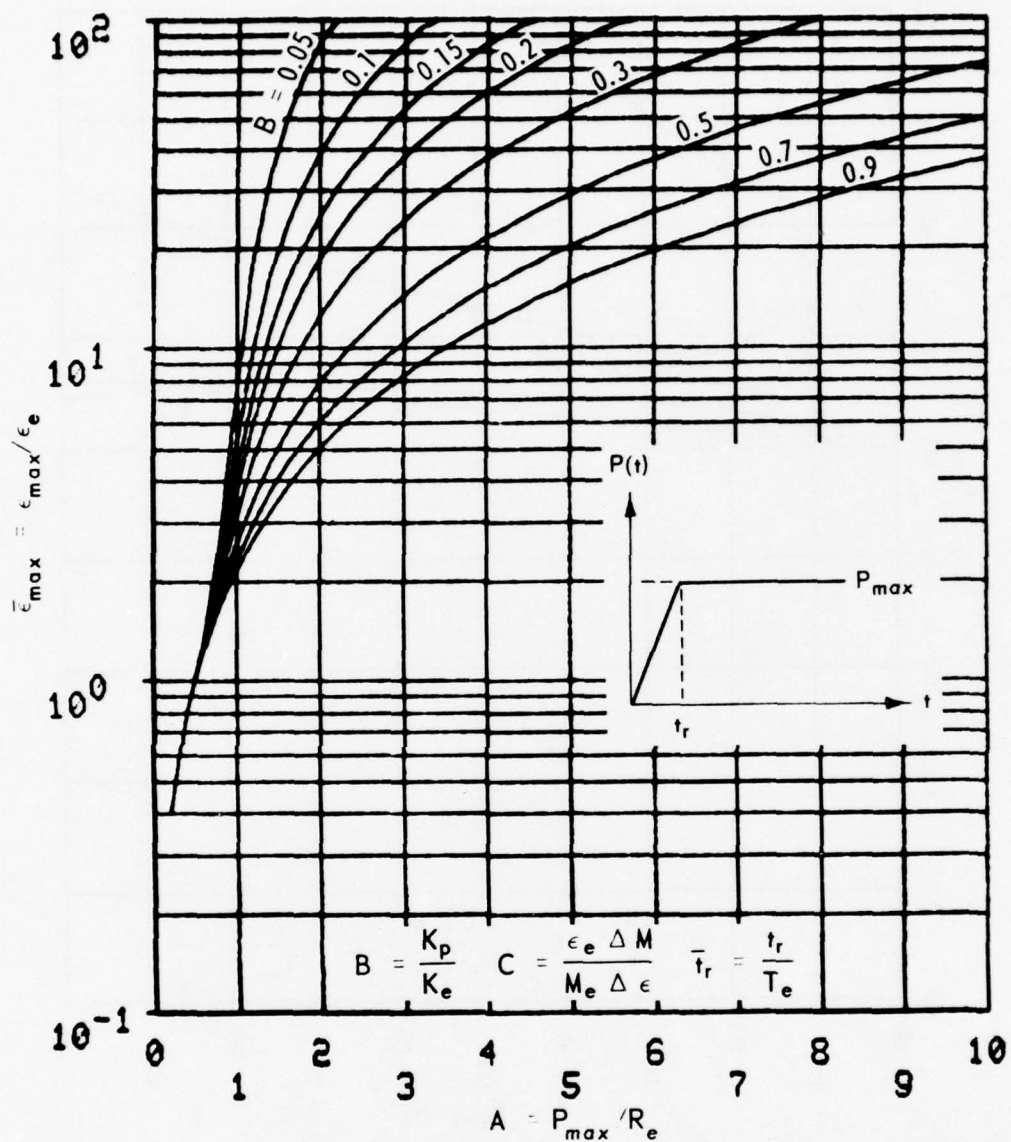


Figure A.17.  $\bar{\epsilon}_{max}$  curves for tunnel-liner systems with  $C = 0.3$  and  $\bar{t}_r = 0$ .



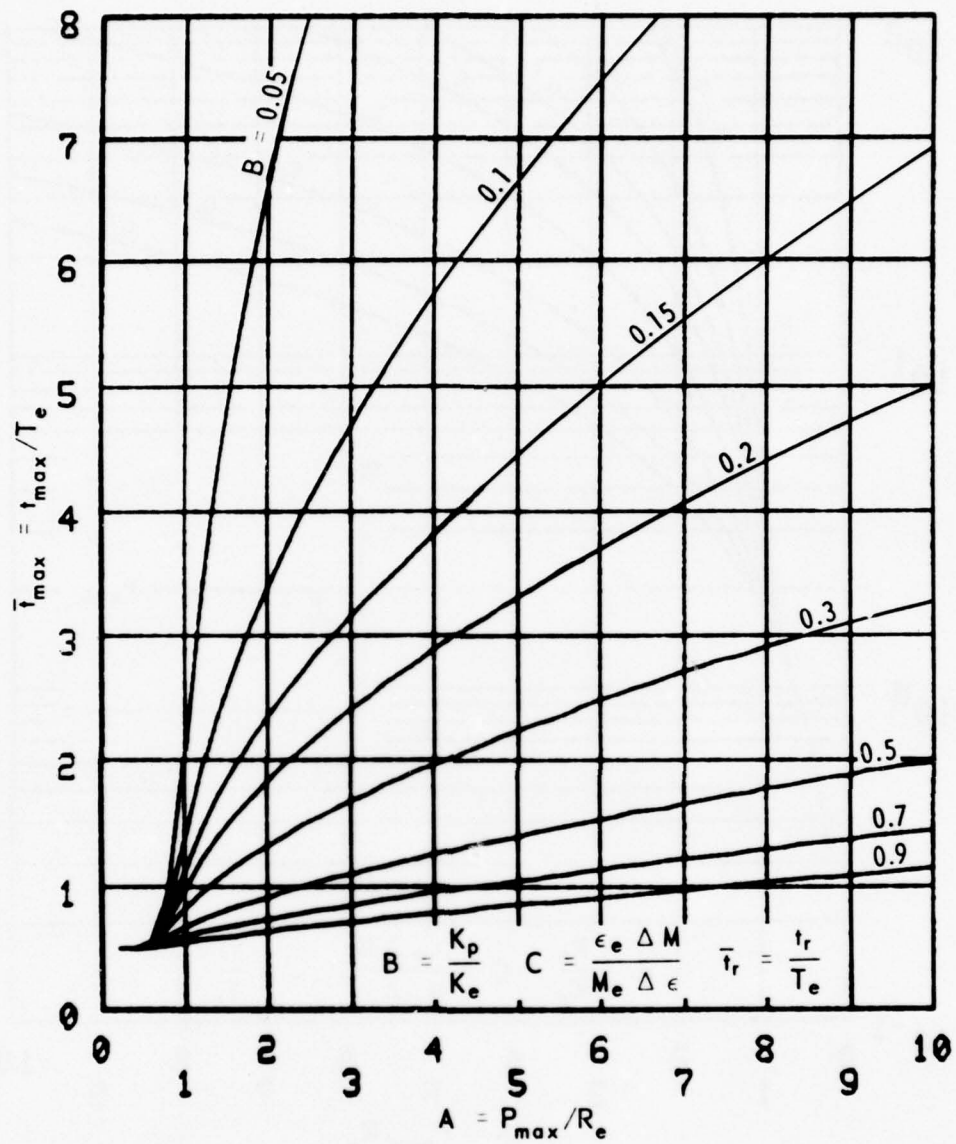


Figure A.18.  $\bar{t}_{\max}$  curves for tunnel-liner systems with  $C = 0.3$  and  $\bar{t}_r = 0$ .

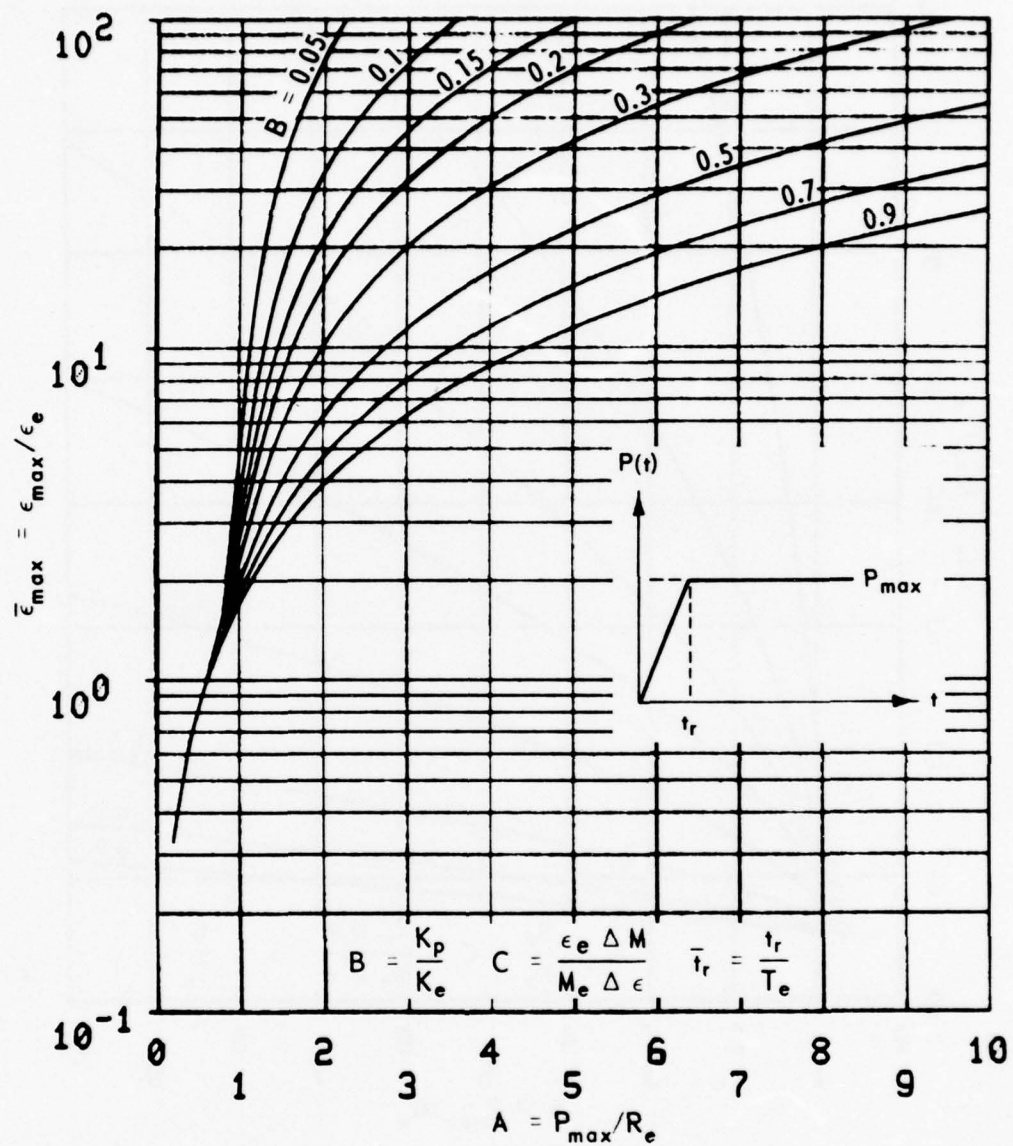


Figure A.19.  $\bar{\epsilon}_{max}$  curves for tunnel-liner systems with  $C = 0.3$  and  $\bar{t}_r = 0.5$ .

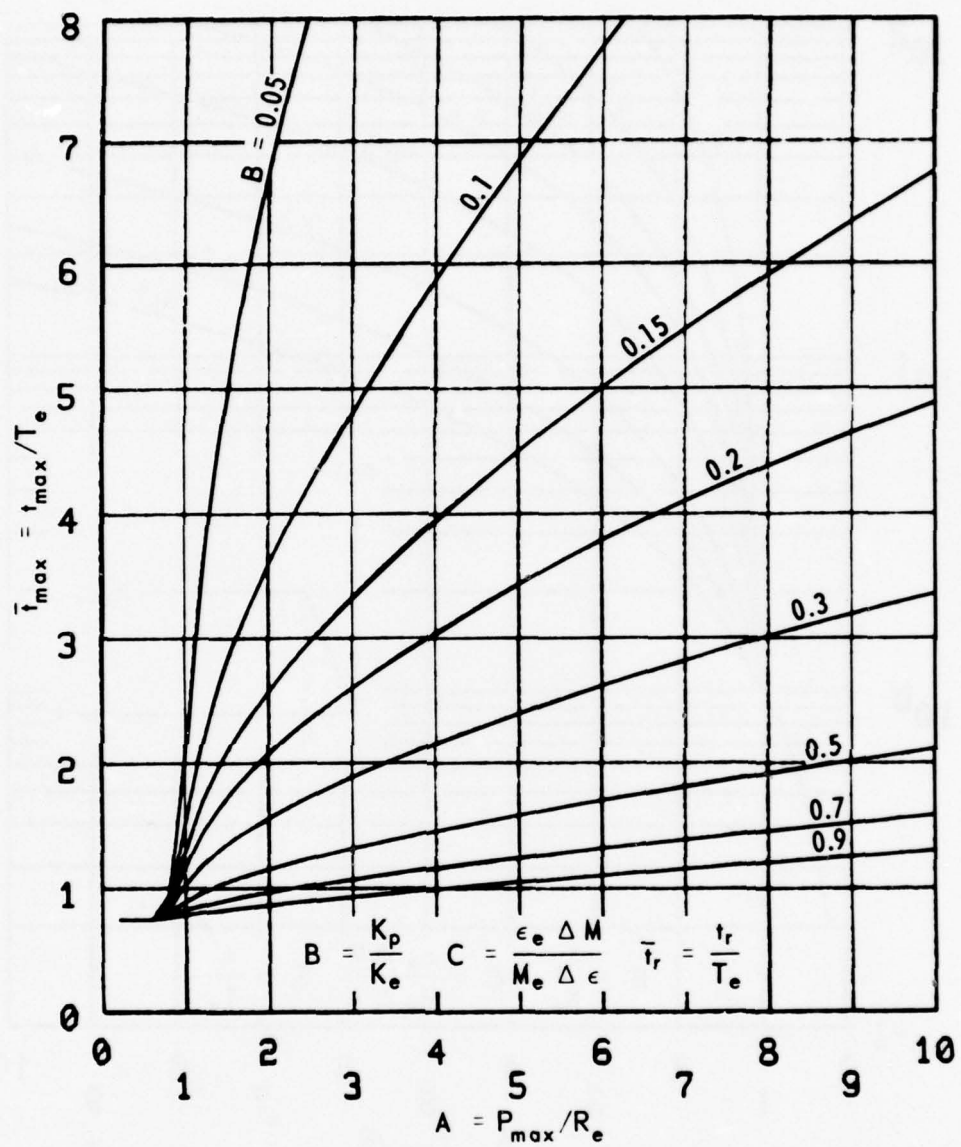


Figure A.20.  $\bar{t}_{\max}$  curves for tunnel-liner systems with  $C = 0.3$  and  $\bar{t}_r = 0.5$ .

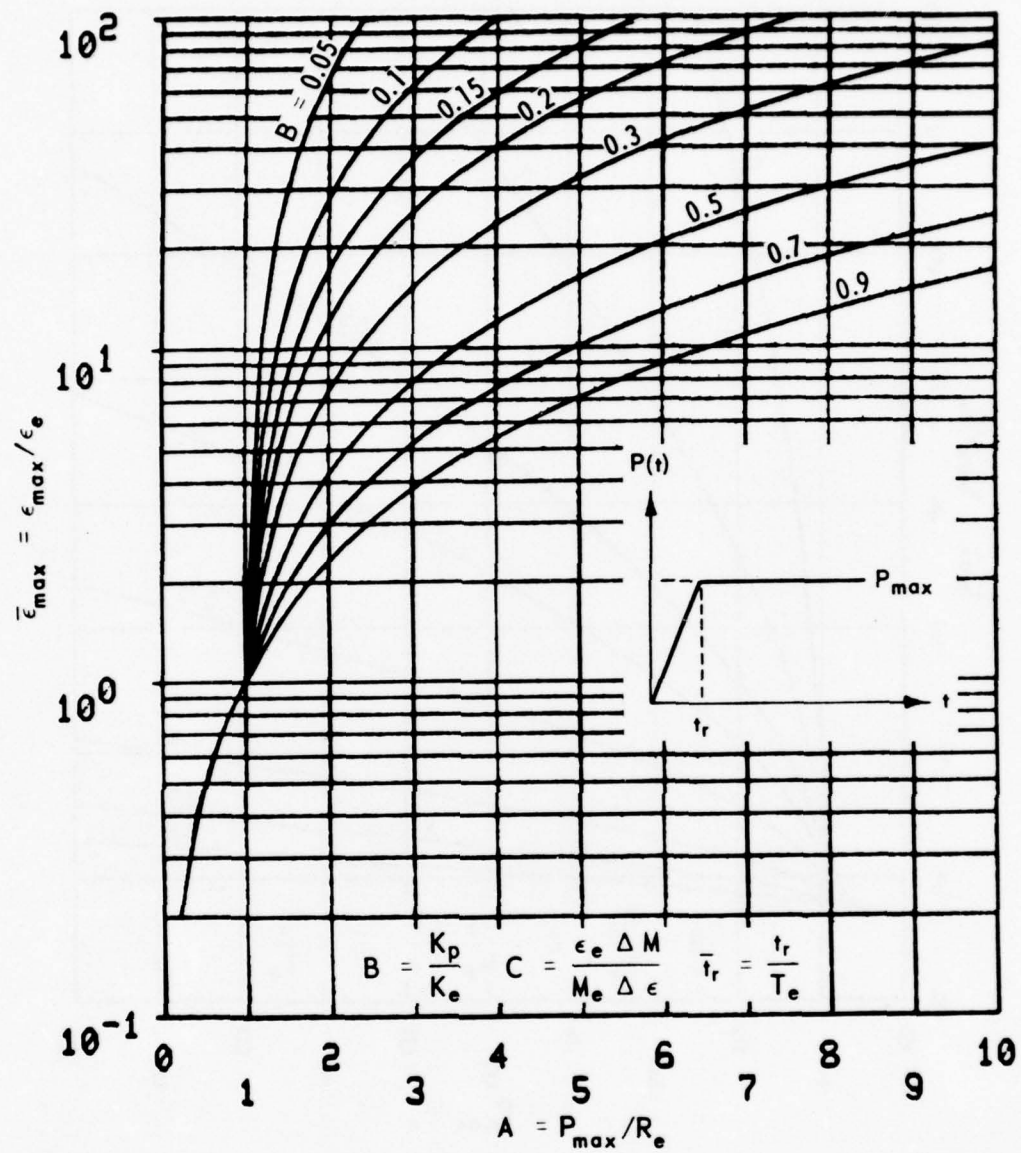


Figure A.21.  $\bar{\epsilon}_{\max}$  curves for tunnel-liner systems with  $C = 0.3$  and  $\bar{t}_r = 1$ .



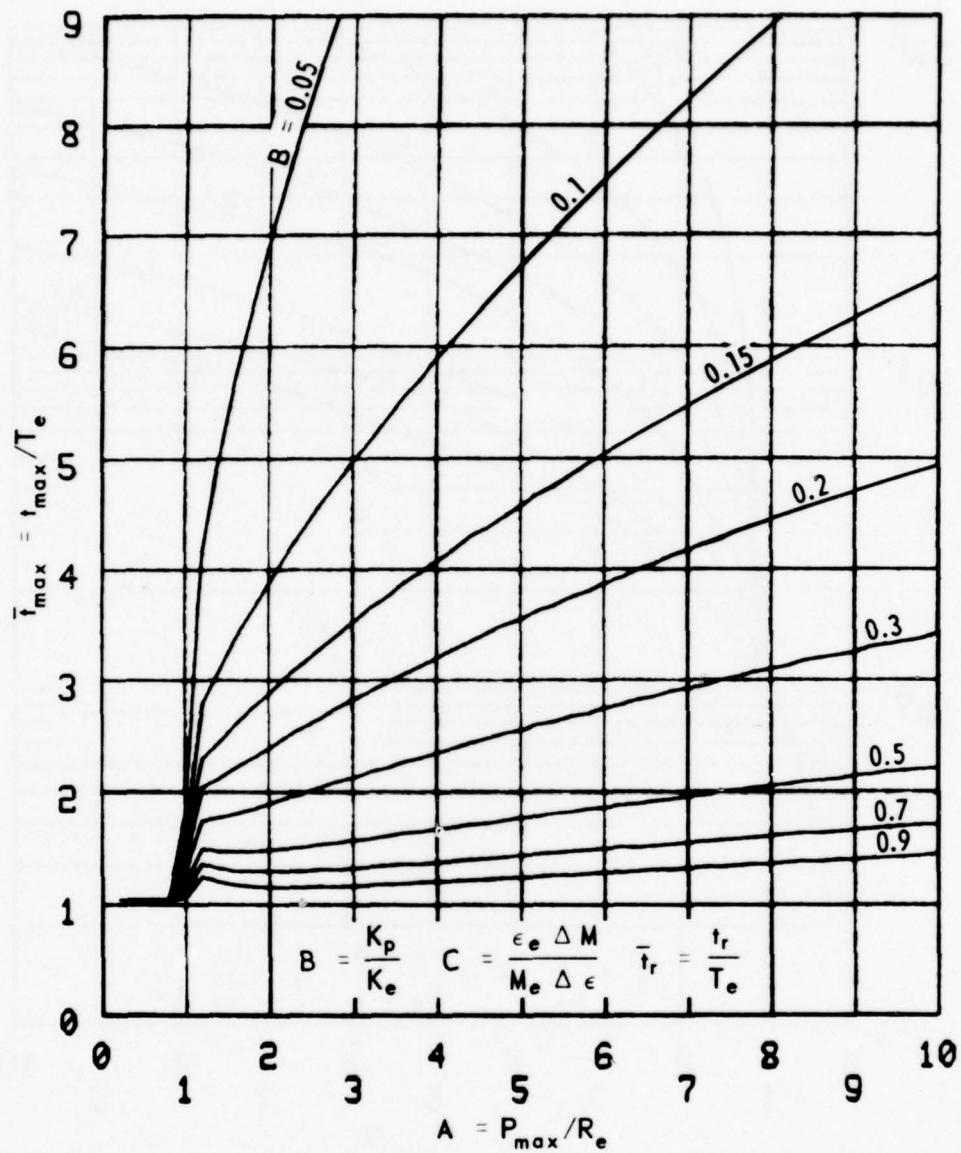


Figure A.22.  $\bar{t}_{\max}$  curves for tunnel-liner systems with  $C = 0.3$  and  $\bar{t}_r = 1$ .

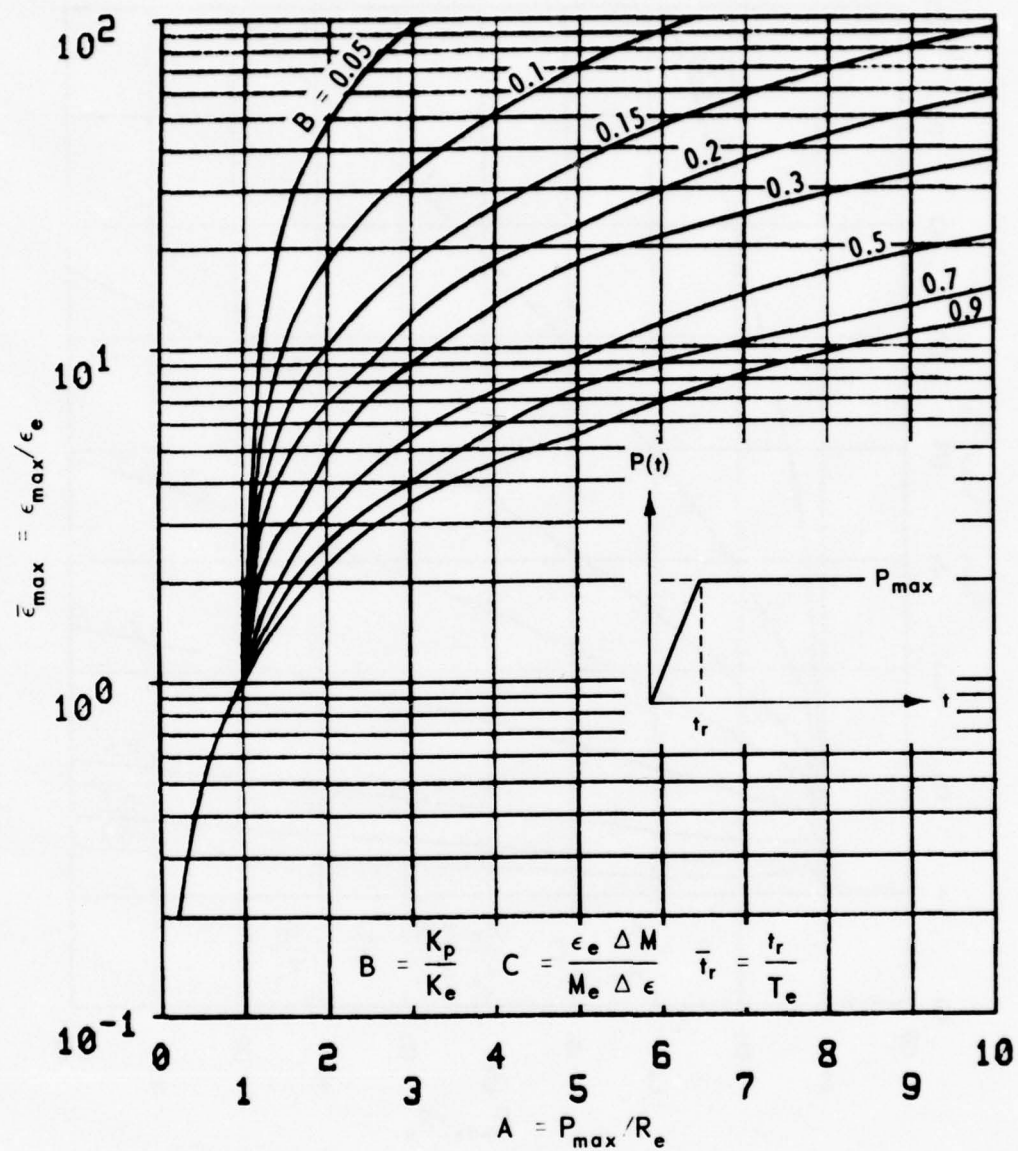


Figure A.23.  $\bar{\epsilon}_{\max}$  curves for tunnel-liner systems with  $C = 0.3$  and  $\bar{t}_r = 5$ .

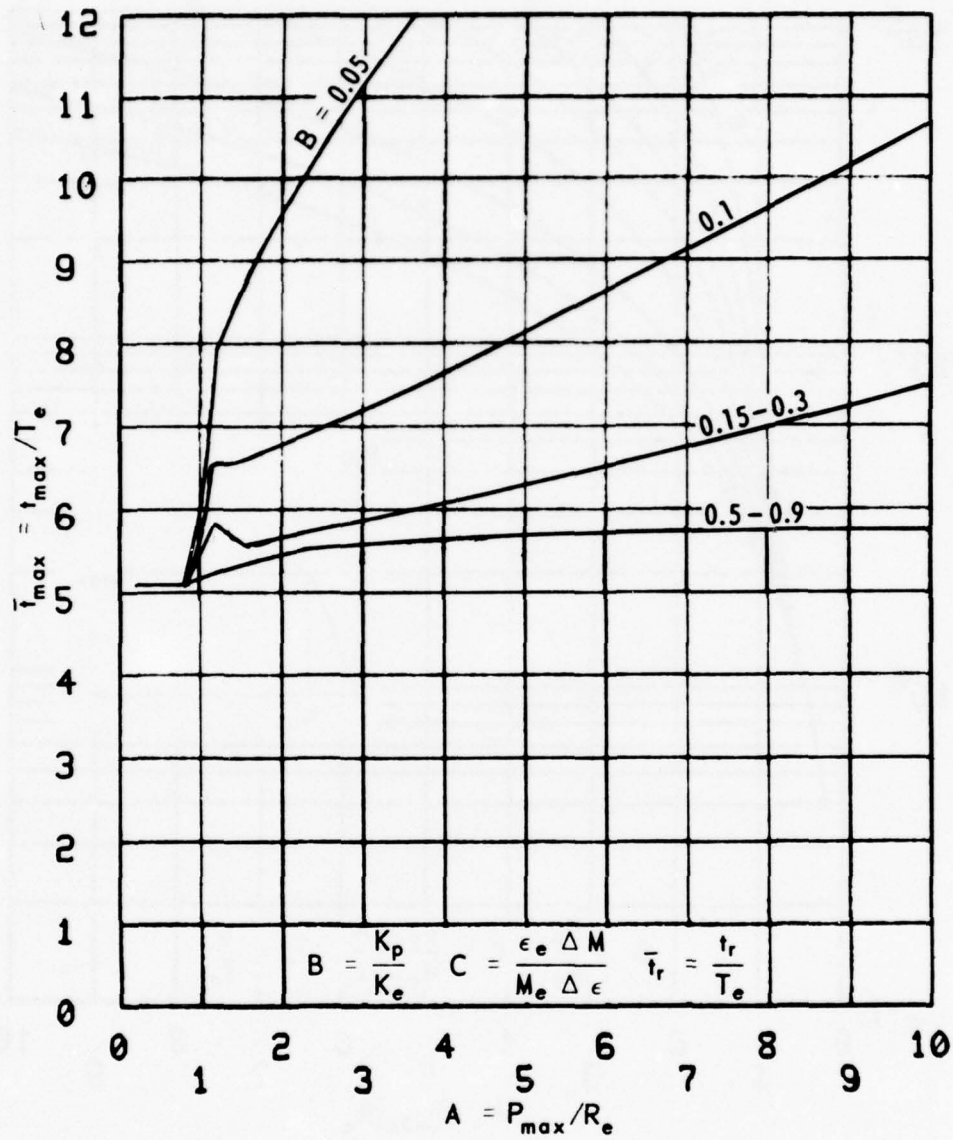


Figure A.24.  $\bar{t}_{\max}$  curves for tunnel-liner systems with  $C = 0.3$  and  $\bar{t}_r = 5$ .

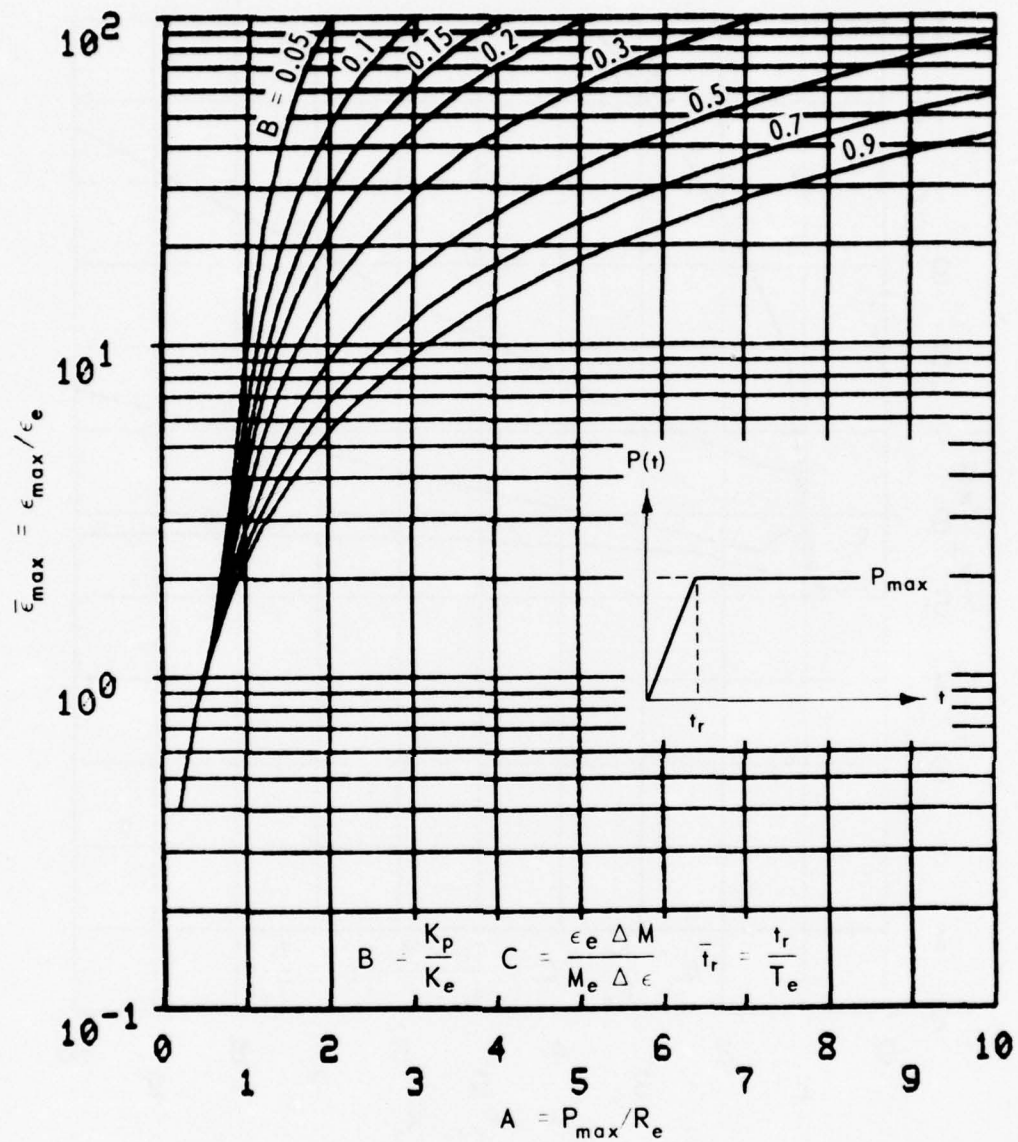


Figure A.25.  $\bar{\epsilon}_{\max}$  curves for tunnel-liner systems with  $C = 0.5$  and  $\bar{t}_r = 0$ .



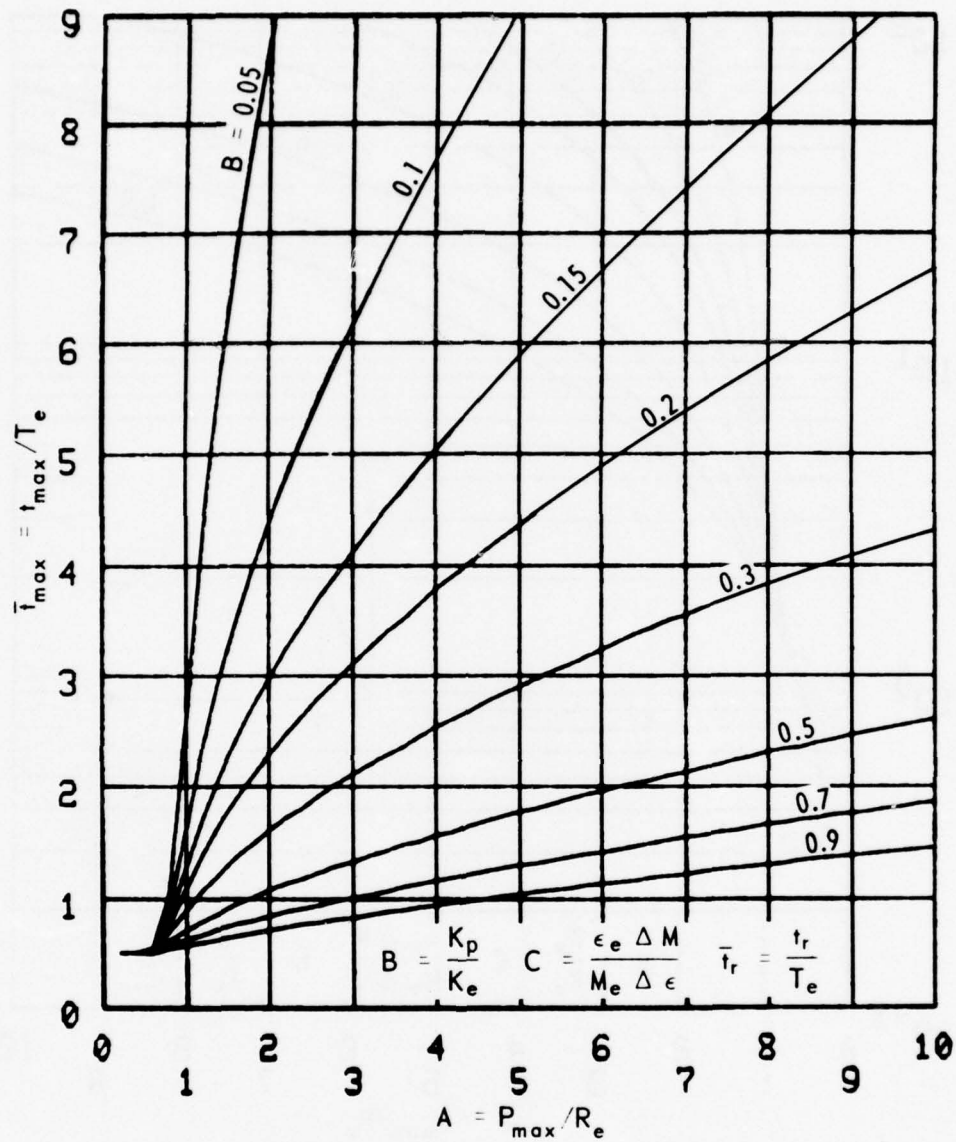


Figure A.26.  $\bar{t}_{\max}$  curves for tunnel-liner systems with  $C = 0.5$  and  $\bar{t}_r = 0$ .

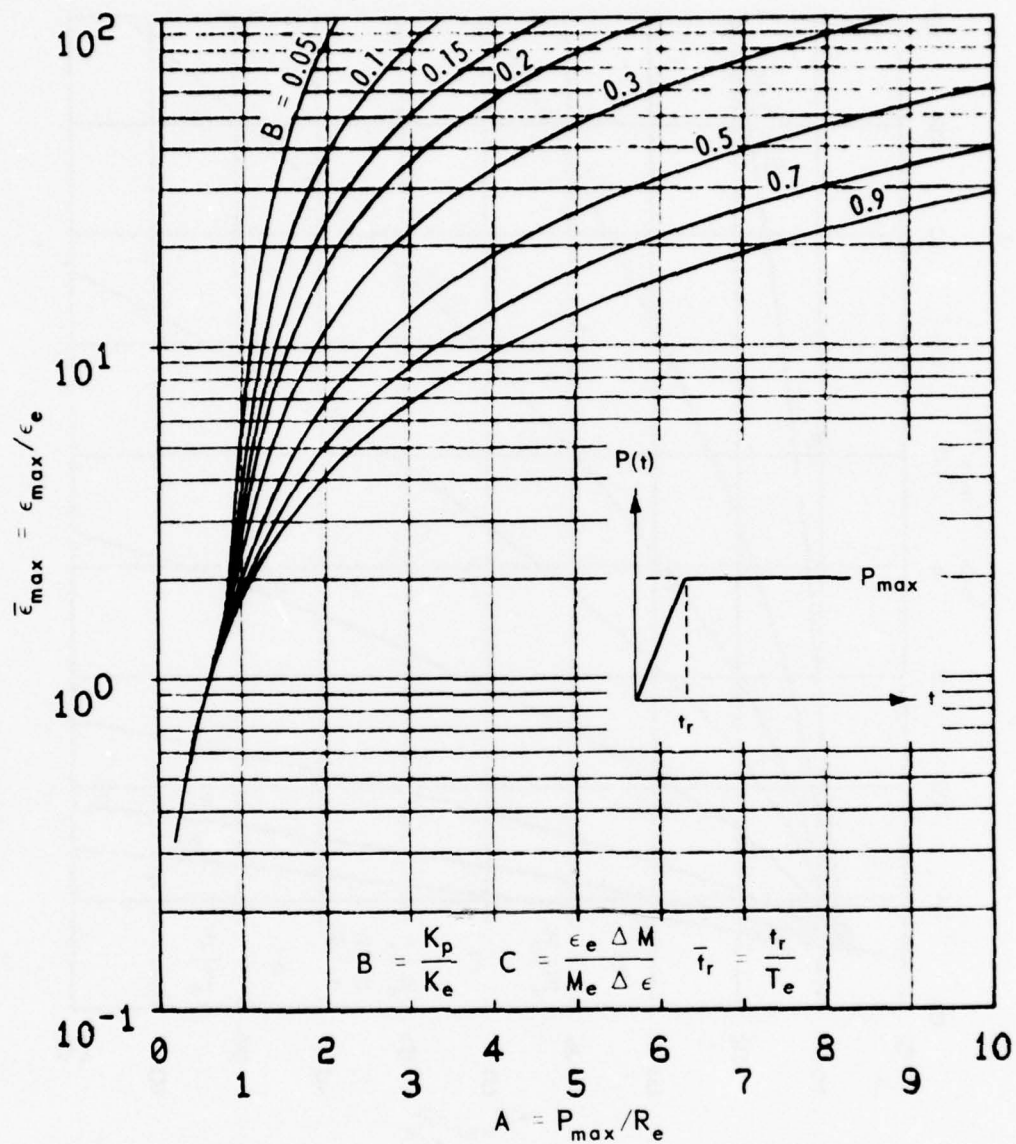


Figure A.27.  $\bar{\epsilon}_{\max}$  curves for tunnel-liner systems with  $C = 0.5$  and  $\bar{t}_r = 0.5$ .

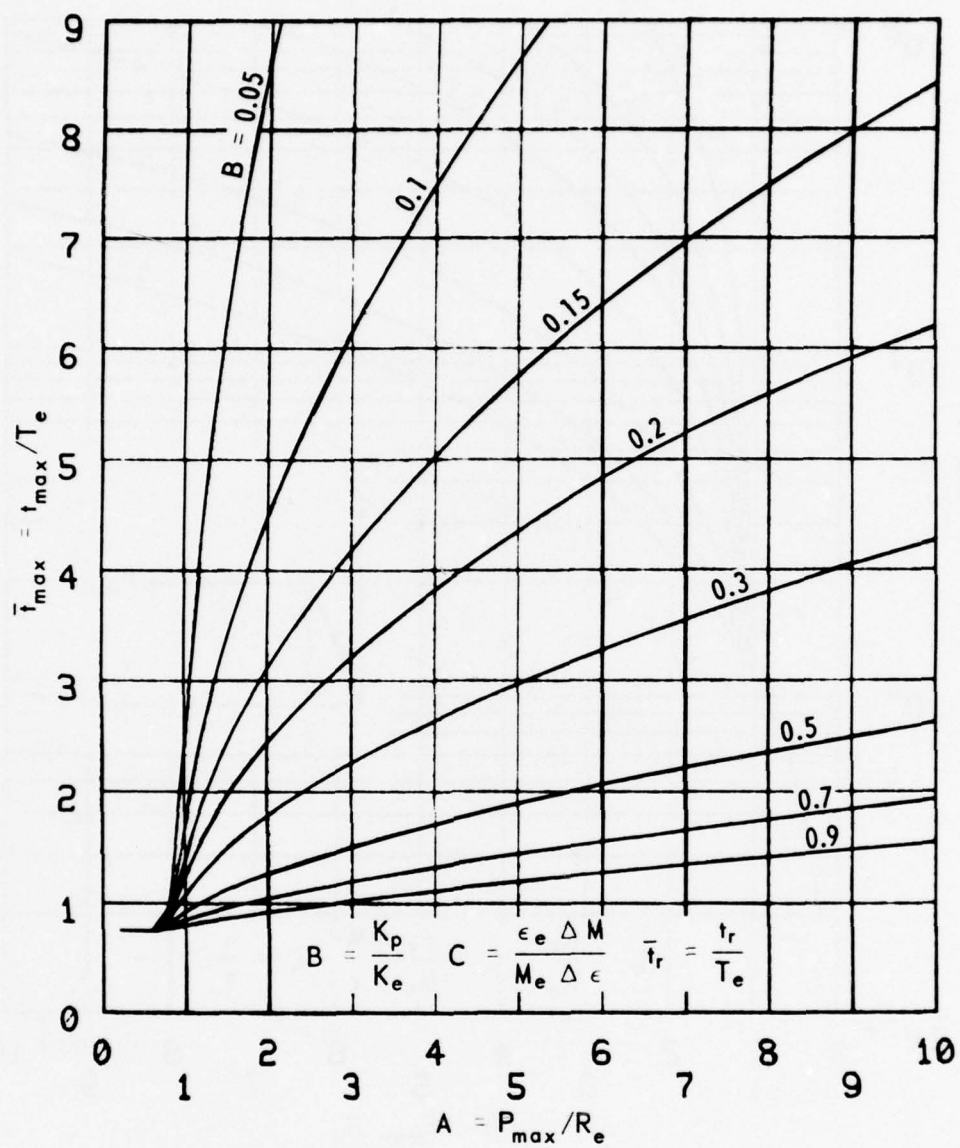


Figure A.28.  $\bar{t}_{\max}$  curves for tunnel-liner systems with  $C = 0.5$  and  $\bar{t}_r = 0.5$ .

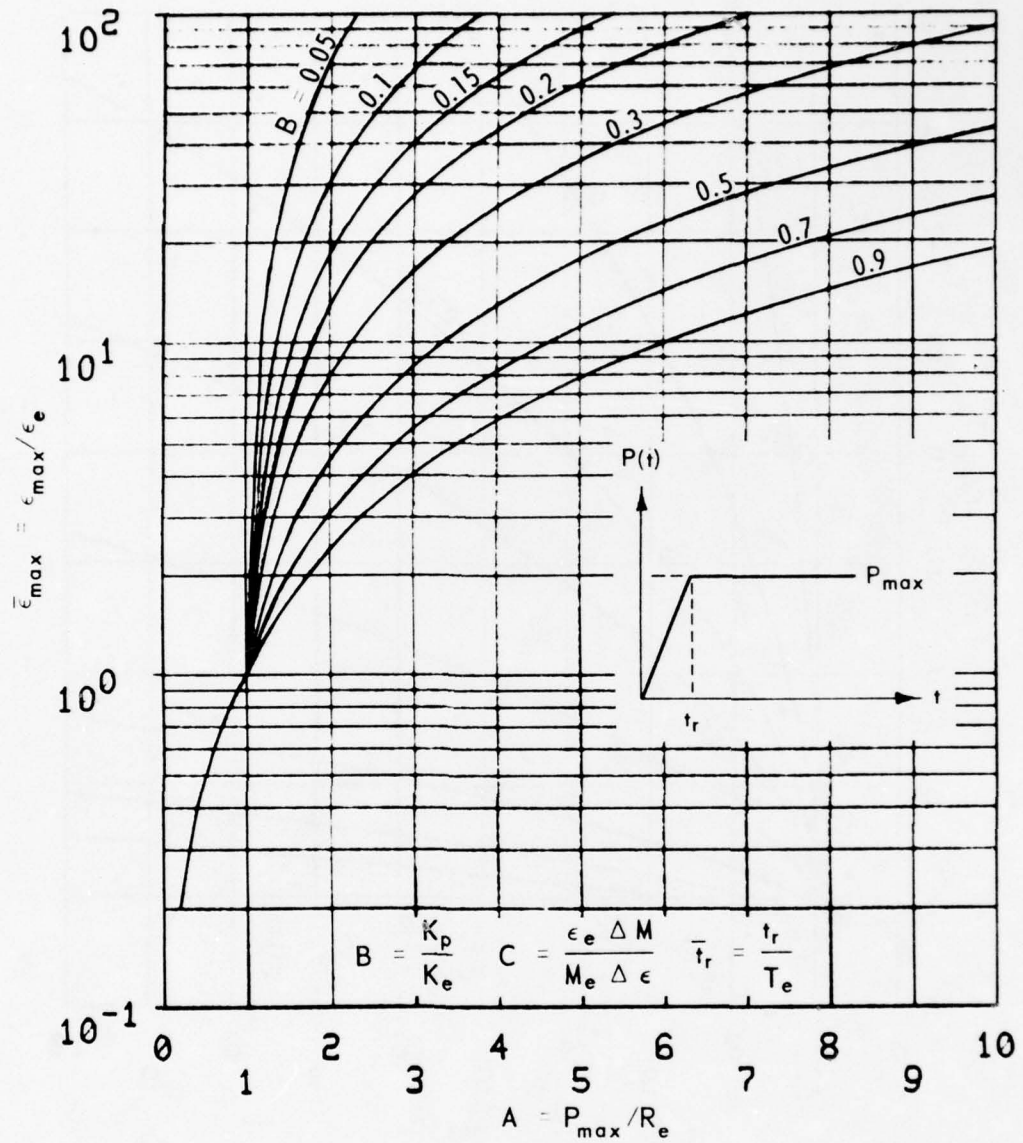


Figure A.29.  $\bar{\epsilon}_{\max}$  curves for tunnel-liner systems with  $C = 0.5$  and  $\bar{t}_r = 1$ .



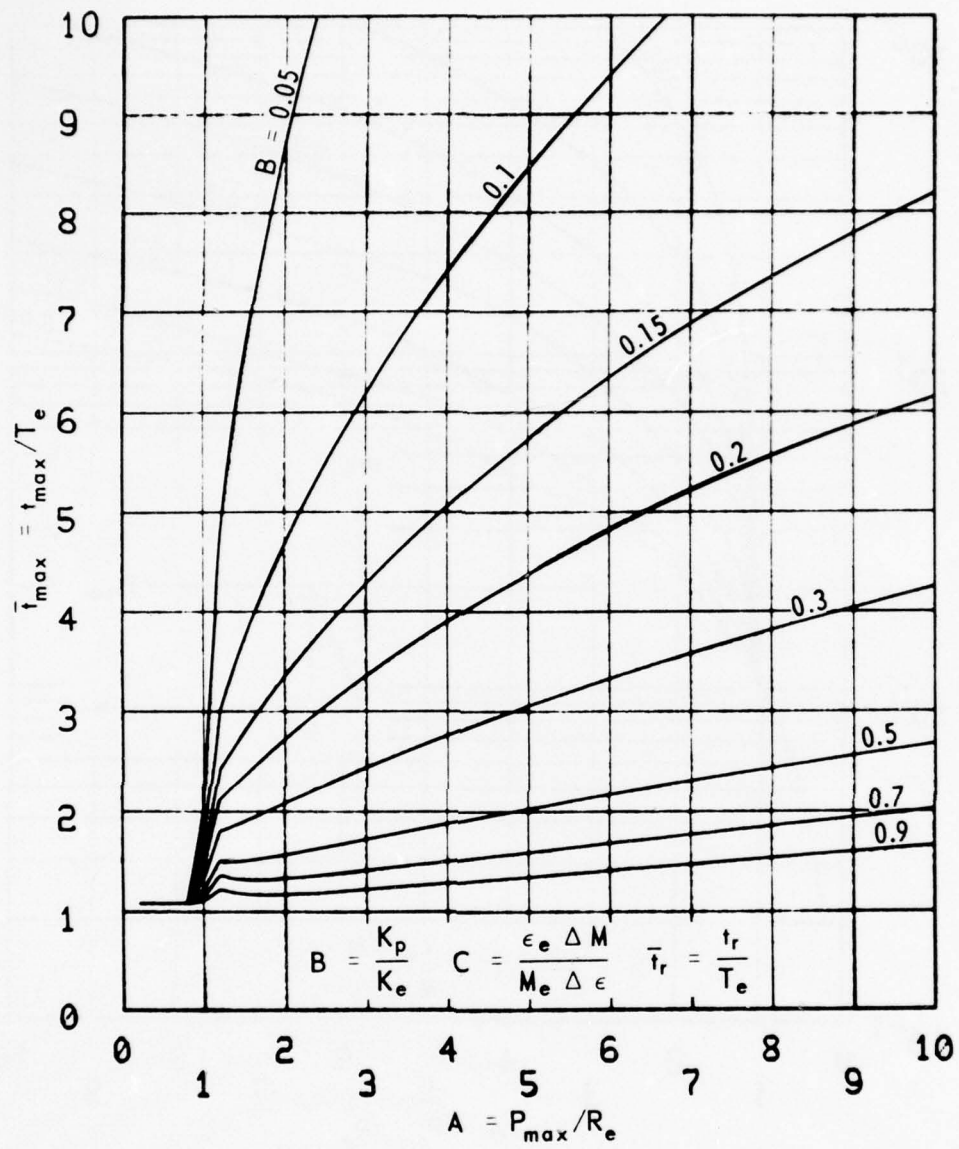


Figure A.30.  $\bar{t}_{\max}$  curves for tunnel-liner systems with  $C = 0.5$  and  $\bar{t}_r = 1$ .

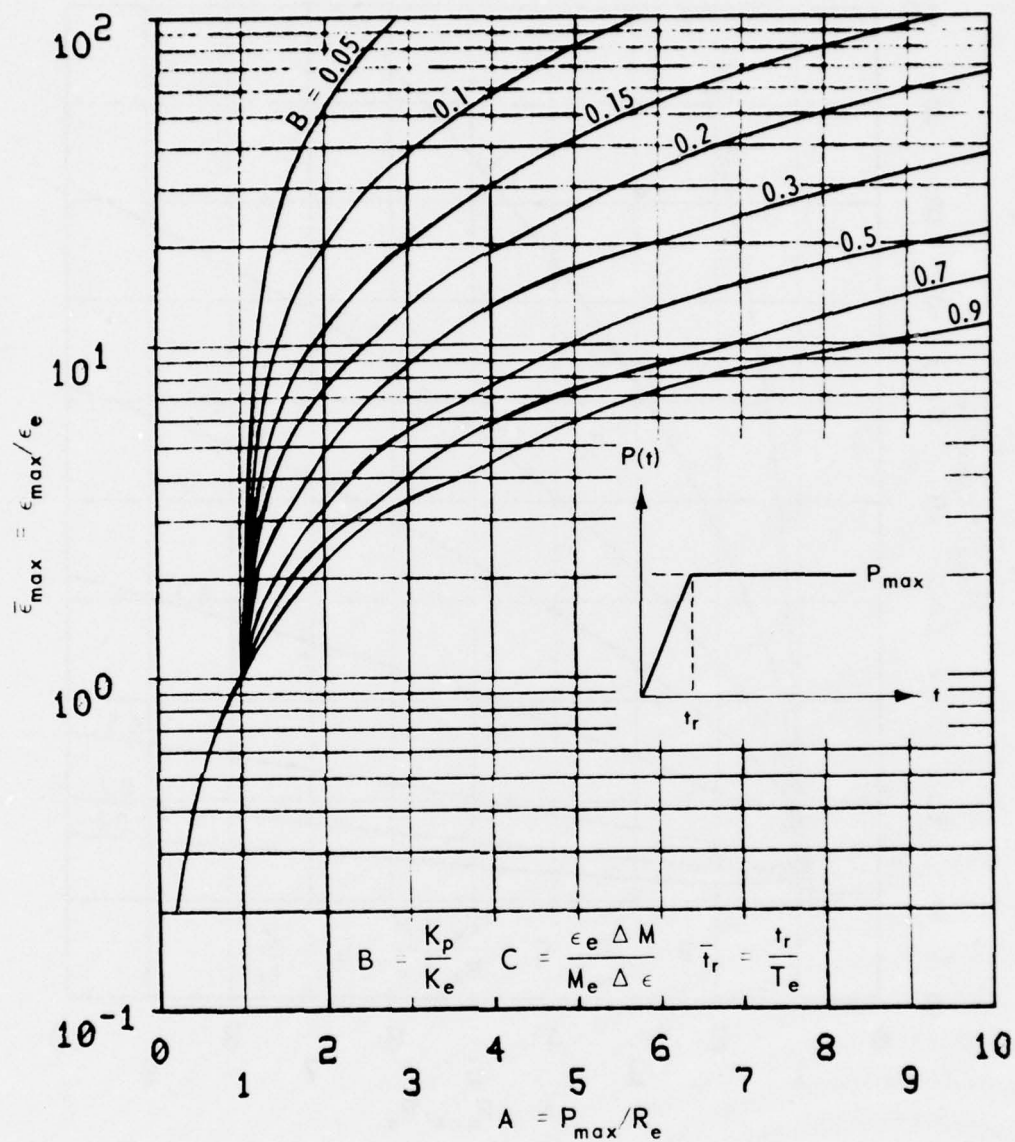


Figure A.31.  $\bar{\epsilon}_{\max}$  curves for tunnel-liner systems with  $C = 0.5$  and  $\bar{t}_r = 5$ .

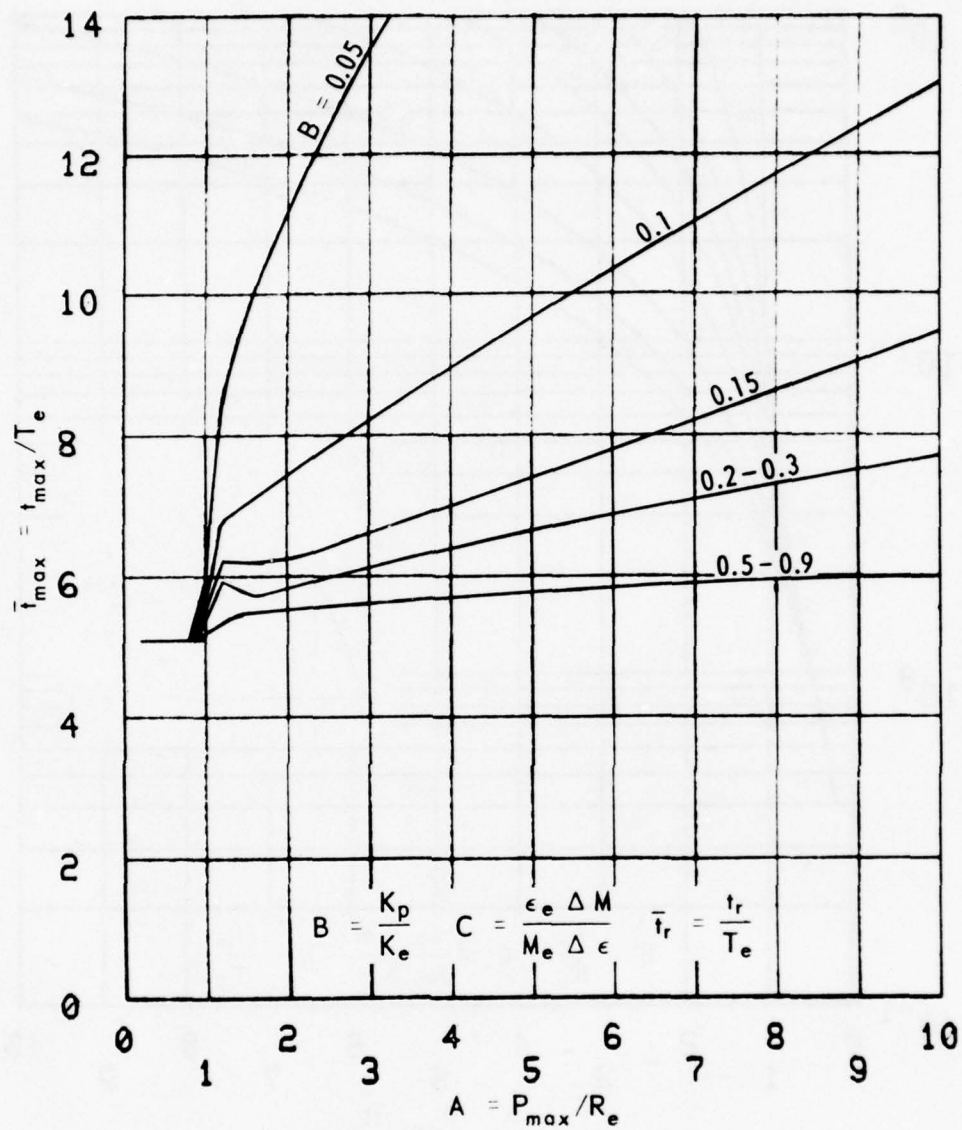


Figure A.32.  $\bar{t}_{\max}$  curves for tunnel-liner systems with  $C = 0.5$  and  $\bar{t}_r = 5$ .

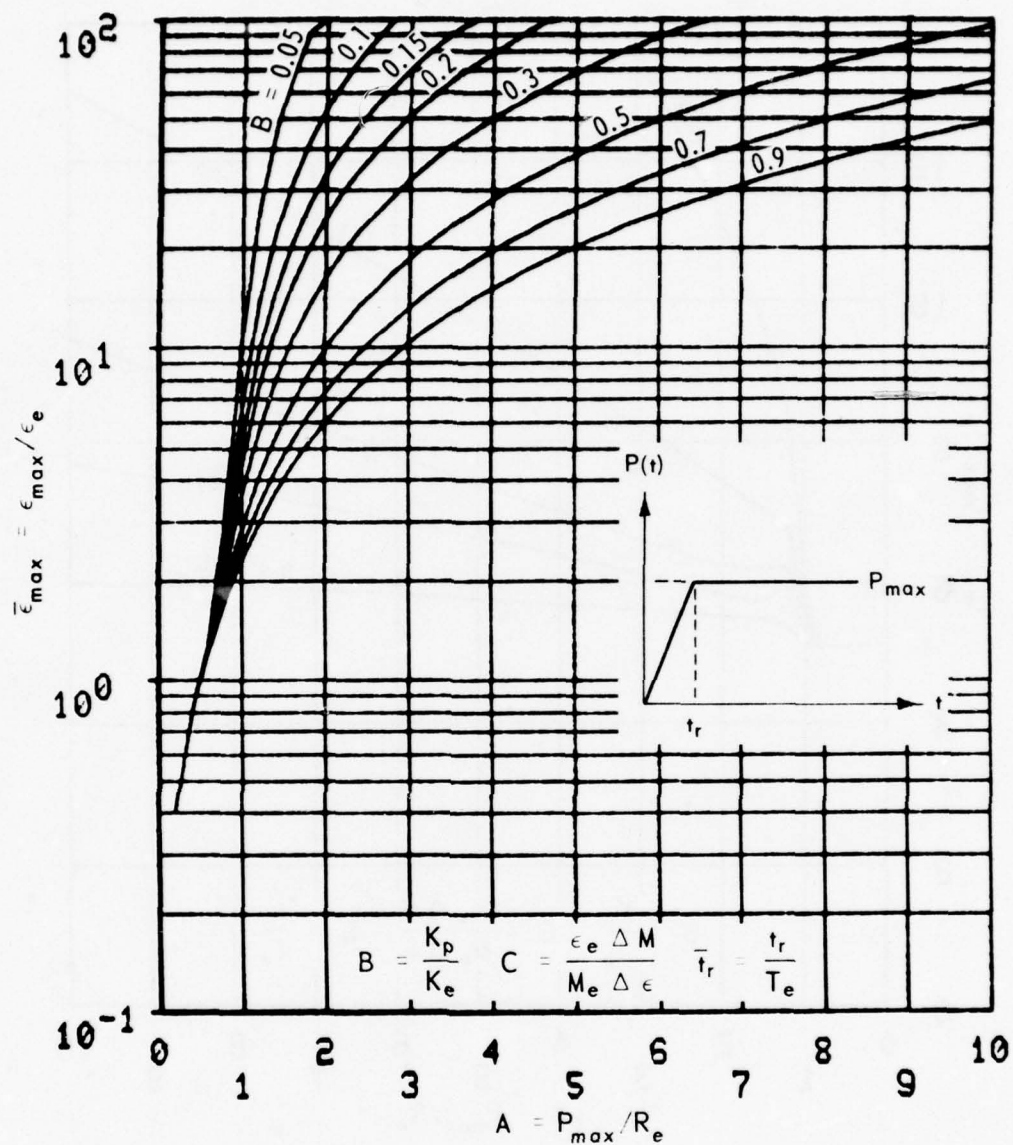


Figure A.33.  $\bar{\epsilon}_{max}$  curves for tunnel-liner systems with  $C = 0.7$  and  $\bar{t}_r = 0$ .



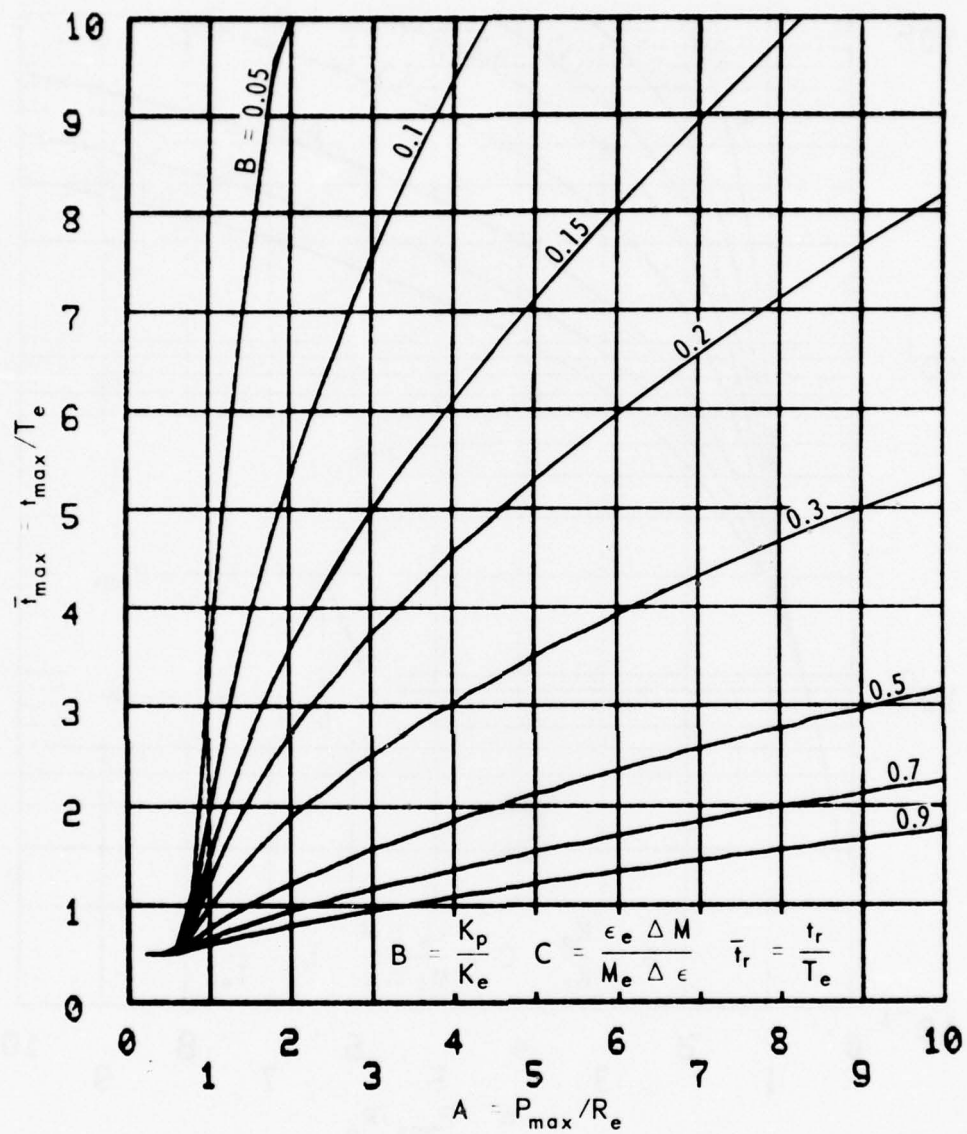


Figure A.34.  $\bar{t}_{\max}$  curves for tunnel-liner systems with  $C = 0.7$  and  $\bar{t}_r = 0$ .

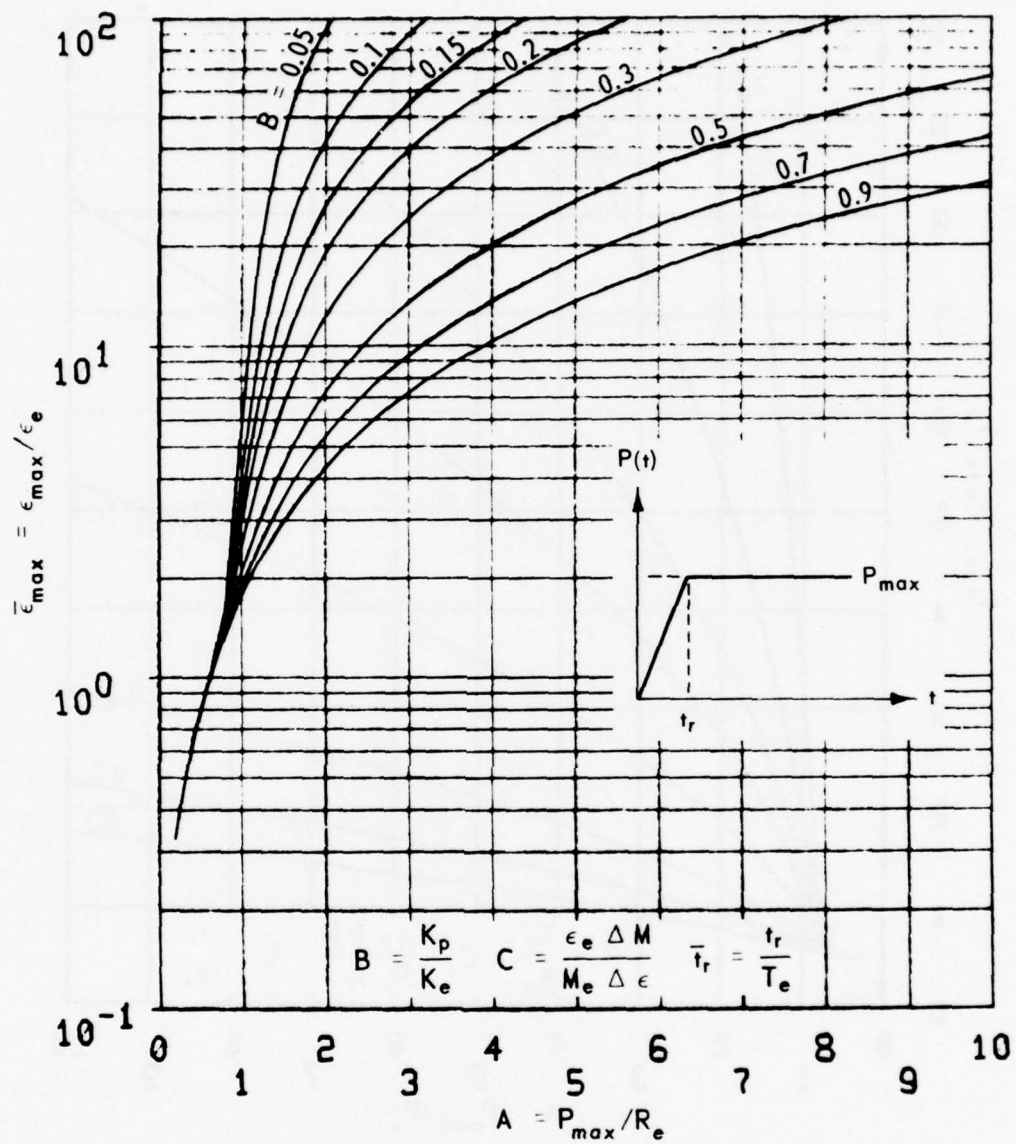


Figure A.35.  $\bar{\epsilon}_{max}$  curves for tunnel-liner systems with  $C = 0.7$  and  $\bar{t}_r = 0.5$ .

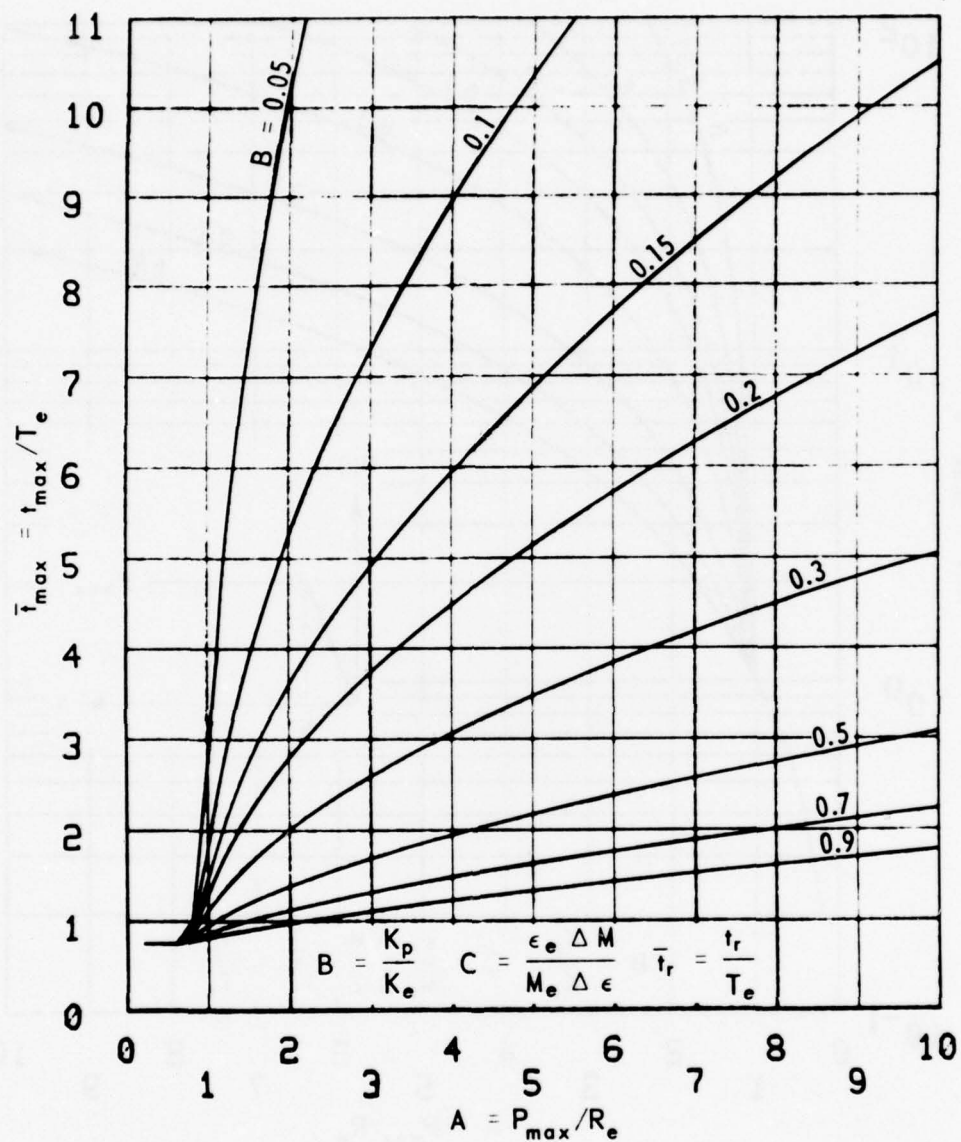


Figure A.36.  $\bar{t}_{\max}$  curves for tunnel-liner systems with  $C = 0.7$  and  $\bar{t}_r = 0.5$ .

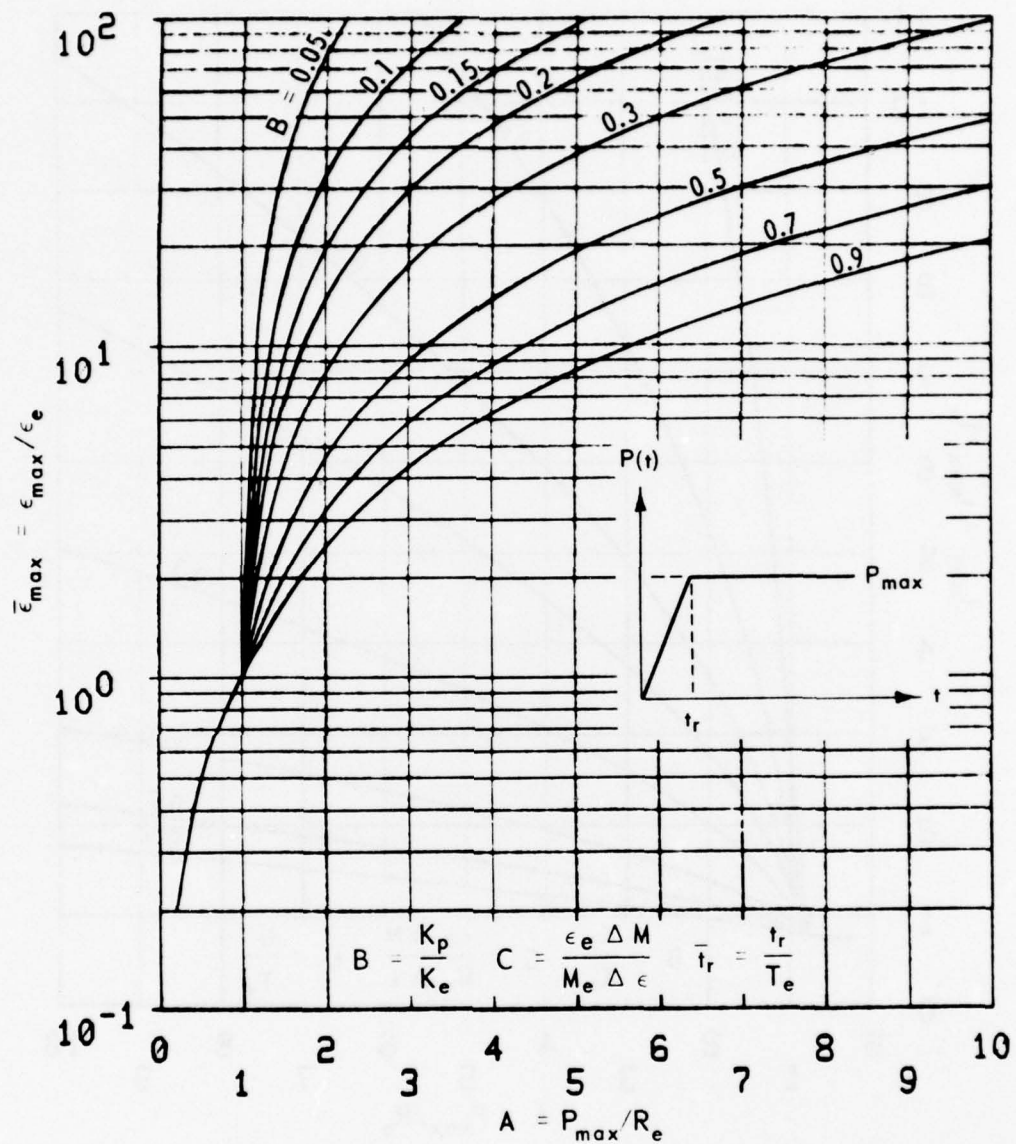


Figure A.37.  $\bar{\epsilon}_{\max}$  curves for tunnel-liner systems with  $C = 0.7$  and  $\bar{t}_r = 1$ .



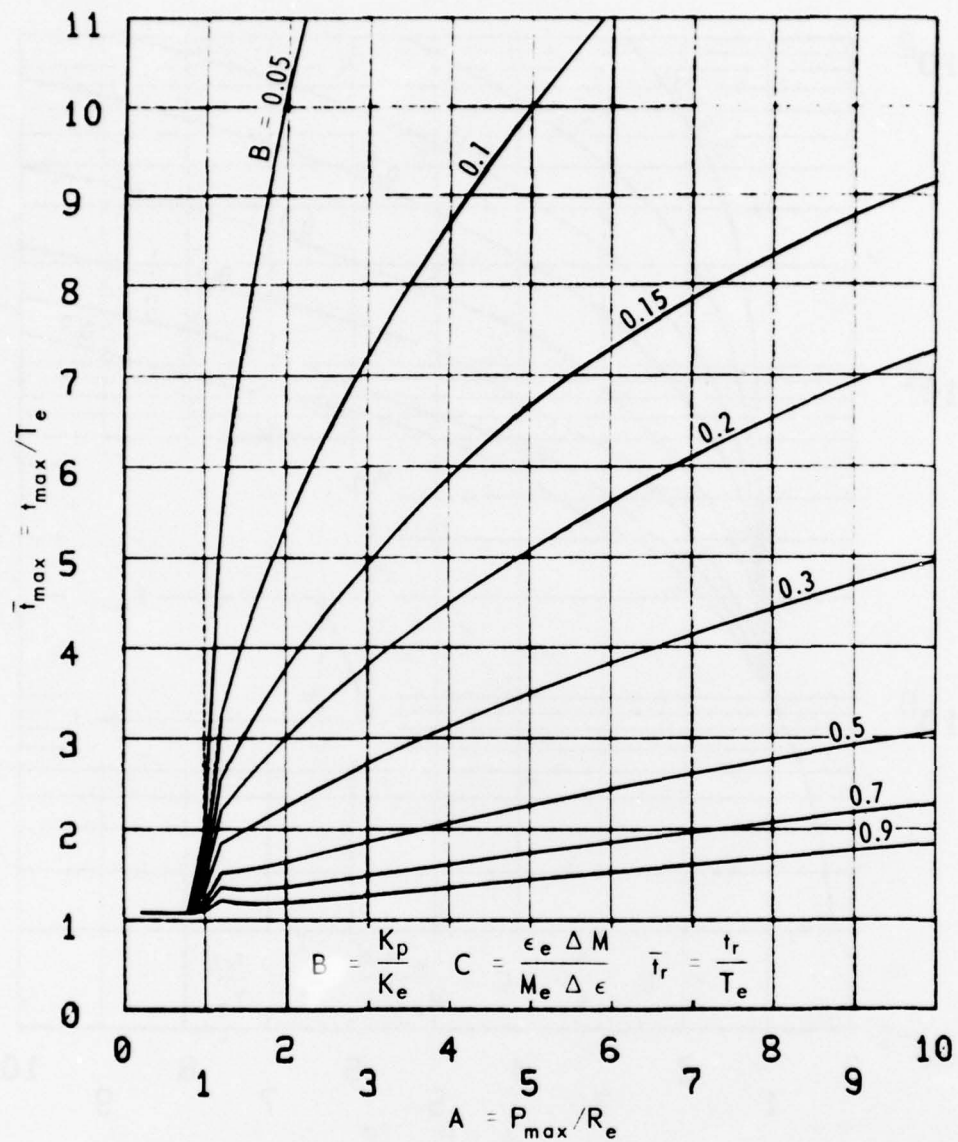


Figure A.38.  $\bar{t}_{\max}$  curves for tunnel-liner systems with  $C = 0.7$  and  $\bar{t}_r = 1$ .

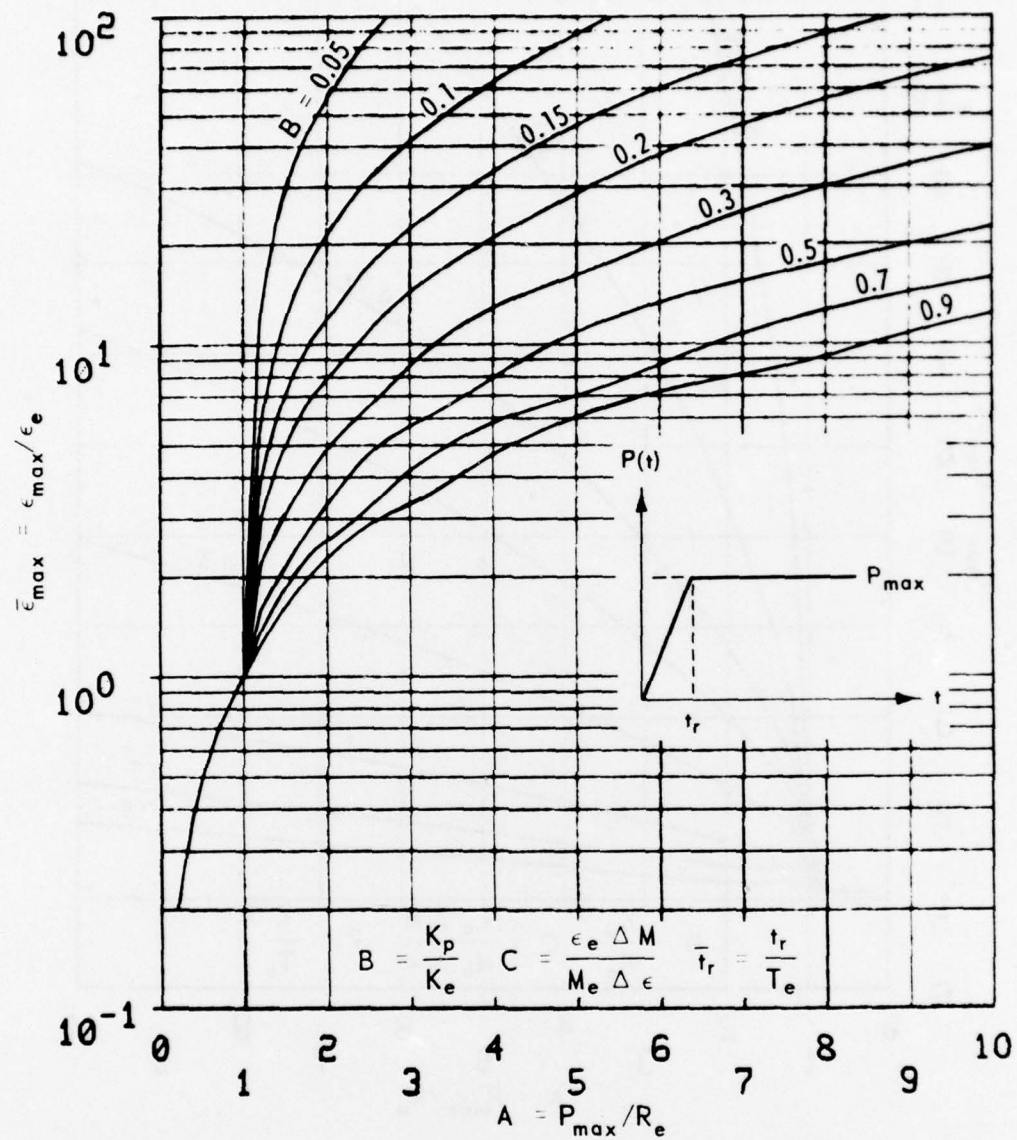


Figure A.39.  $\bar{\epsilon}_{\max}$  curves for tunnel-liner systems with  $C = 0.7$  and  $\bar{t}_r = 5$ .

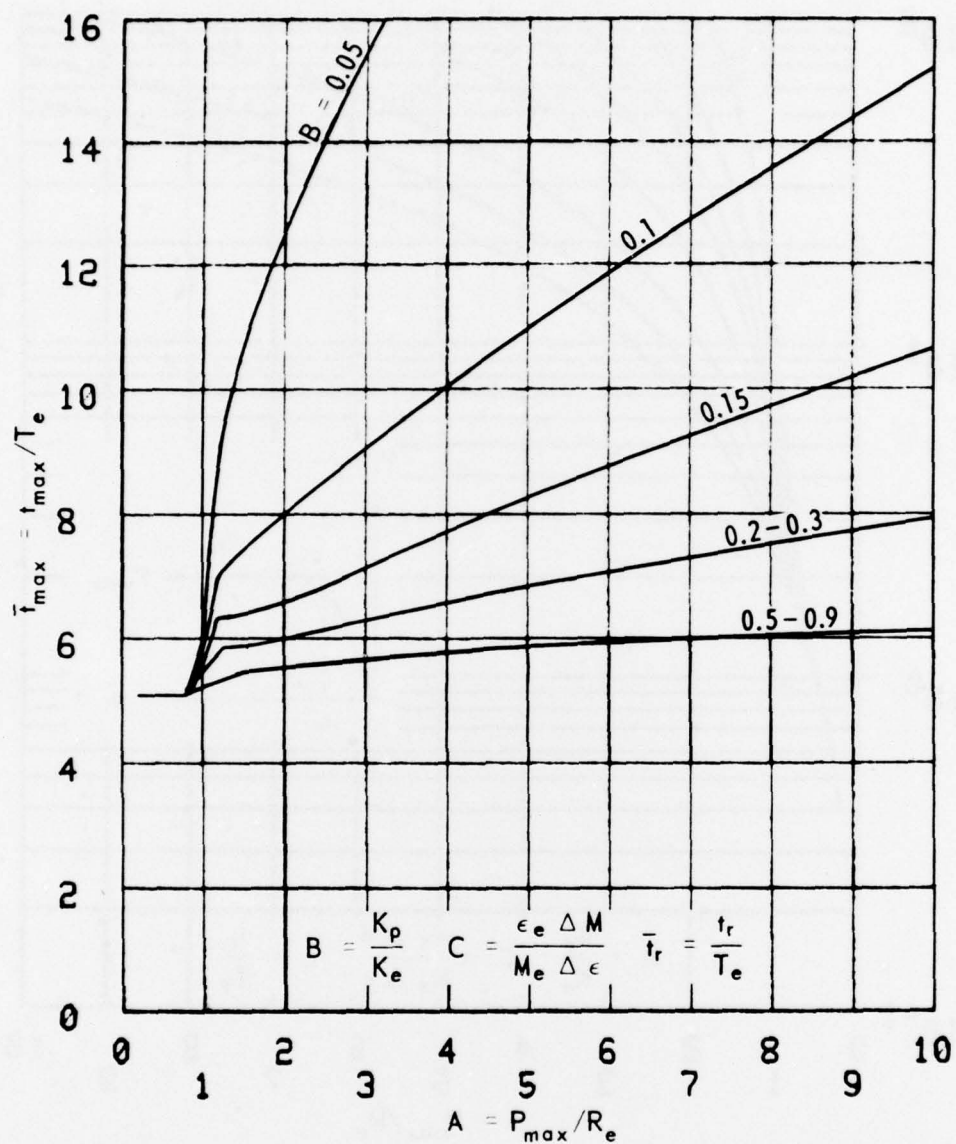


Figure A.40.  $\bar{t}_{\max}$  curves for tunnel-liner systems with  $C = 0.7$  and  $\bar{t}_r = 5$ .

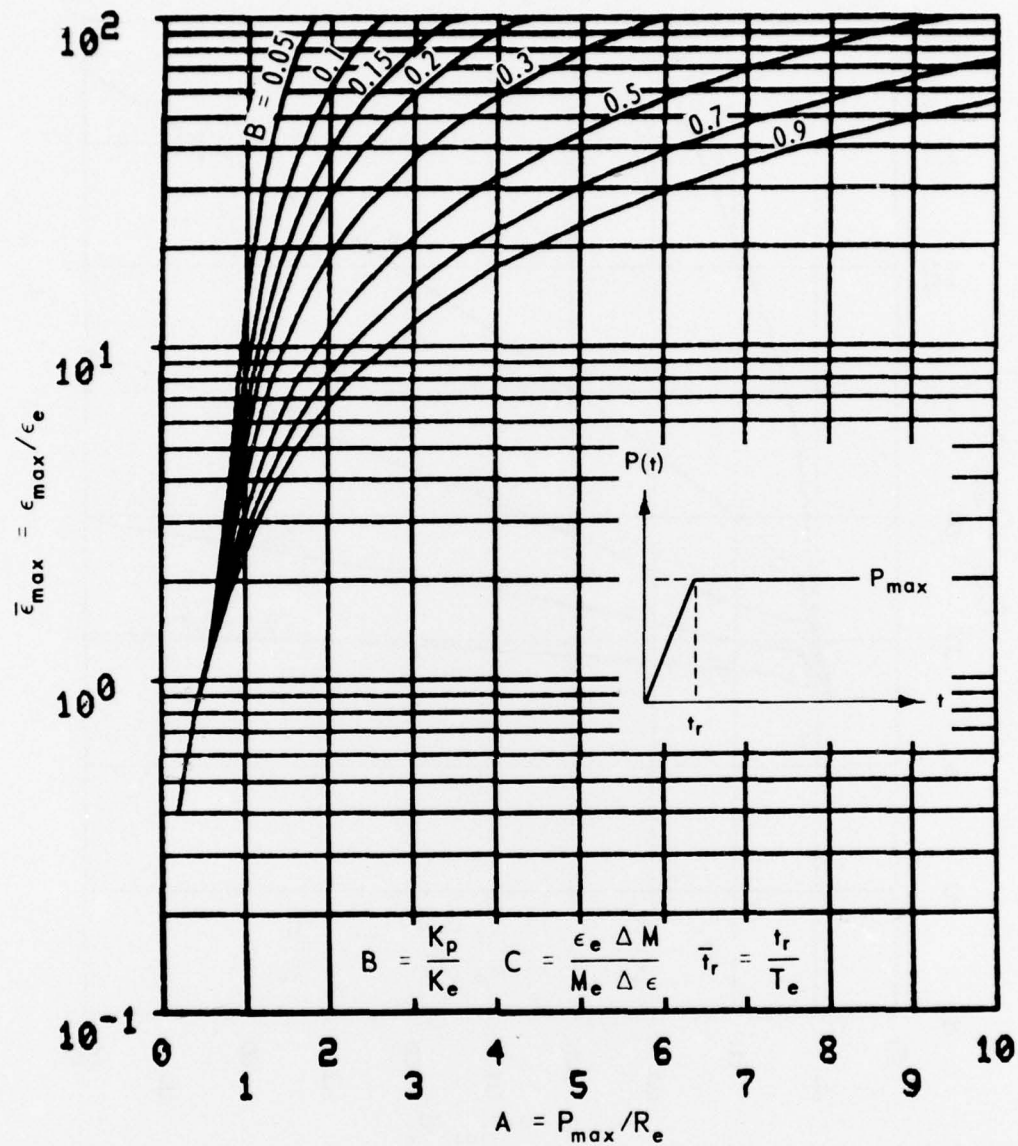


Figure A.41.  $\bar{\epsilon}_{\max}$  curves for tunnel-liner systems with  $C = 1$  and  $\bar{t}_r = 0$ .



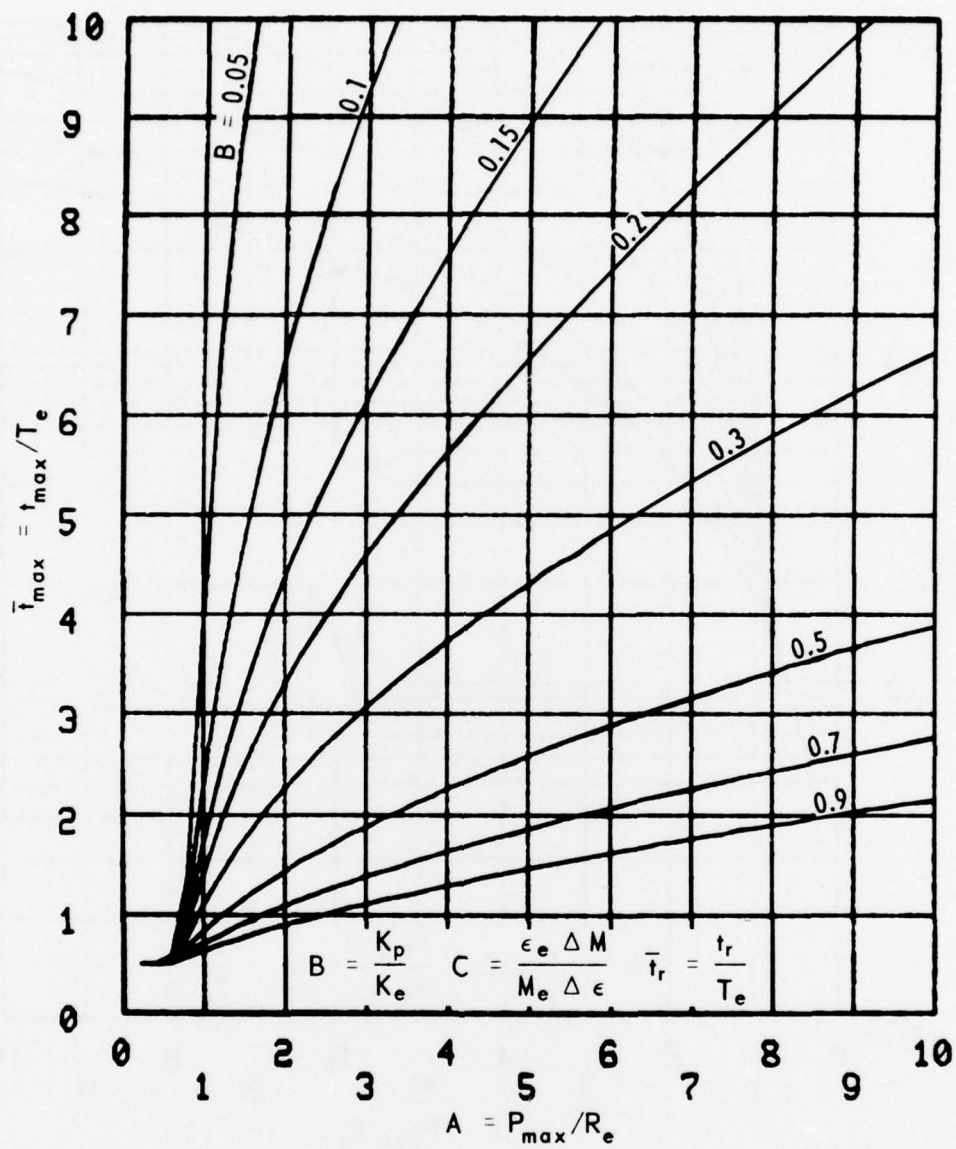


Figure A.42.  $\bar{t}_{\max}$  curves for tunnel-liner systems with  $C = 1$  and  $\bar{t}_r = 0$ .

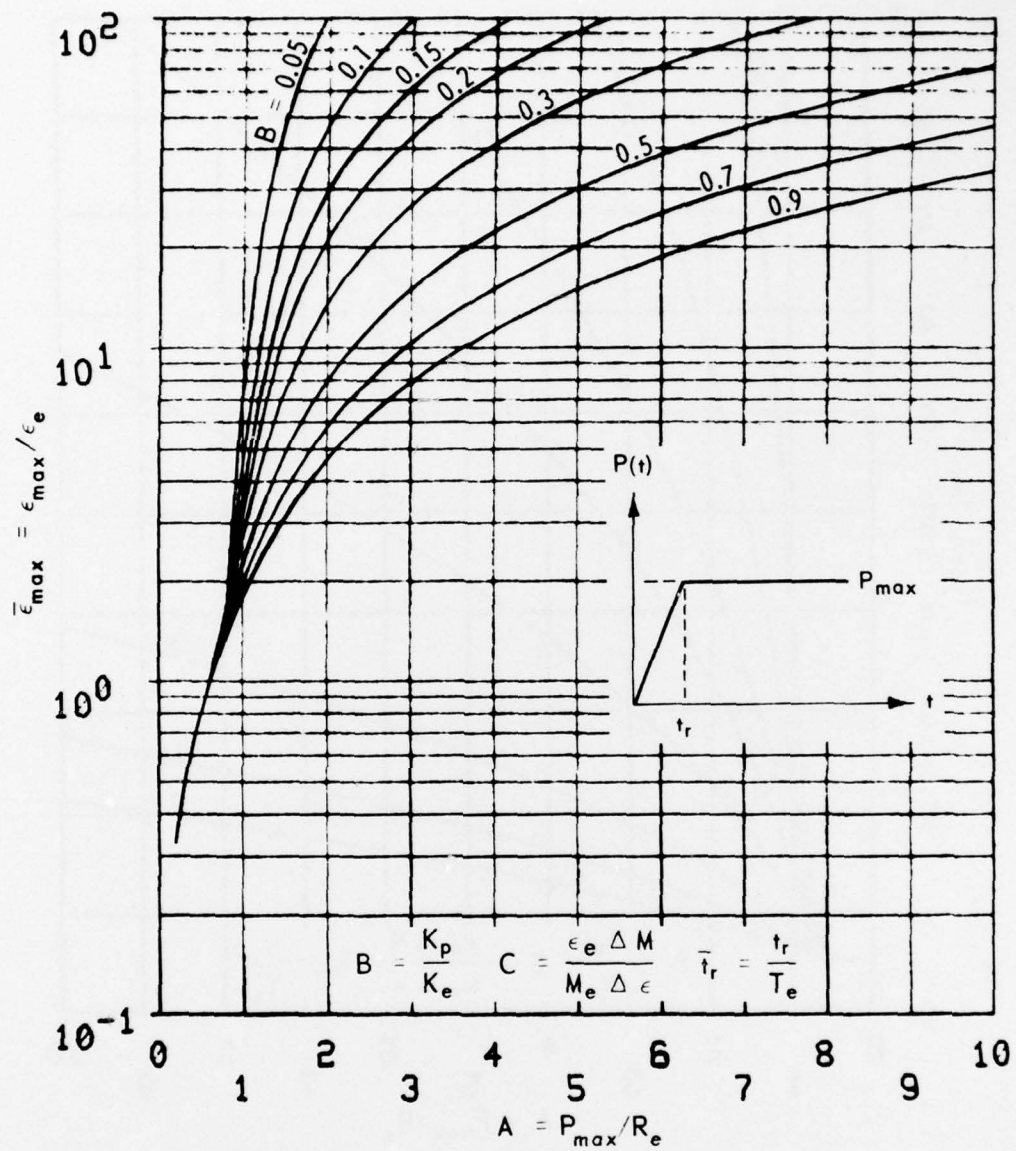


Figure A.43.  $\bar{\epsilon}_{\max}$  curves for tunnel-liner systems with  $C = 1$  and  $\bar{t}_r = 0.5$ .

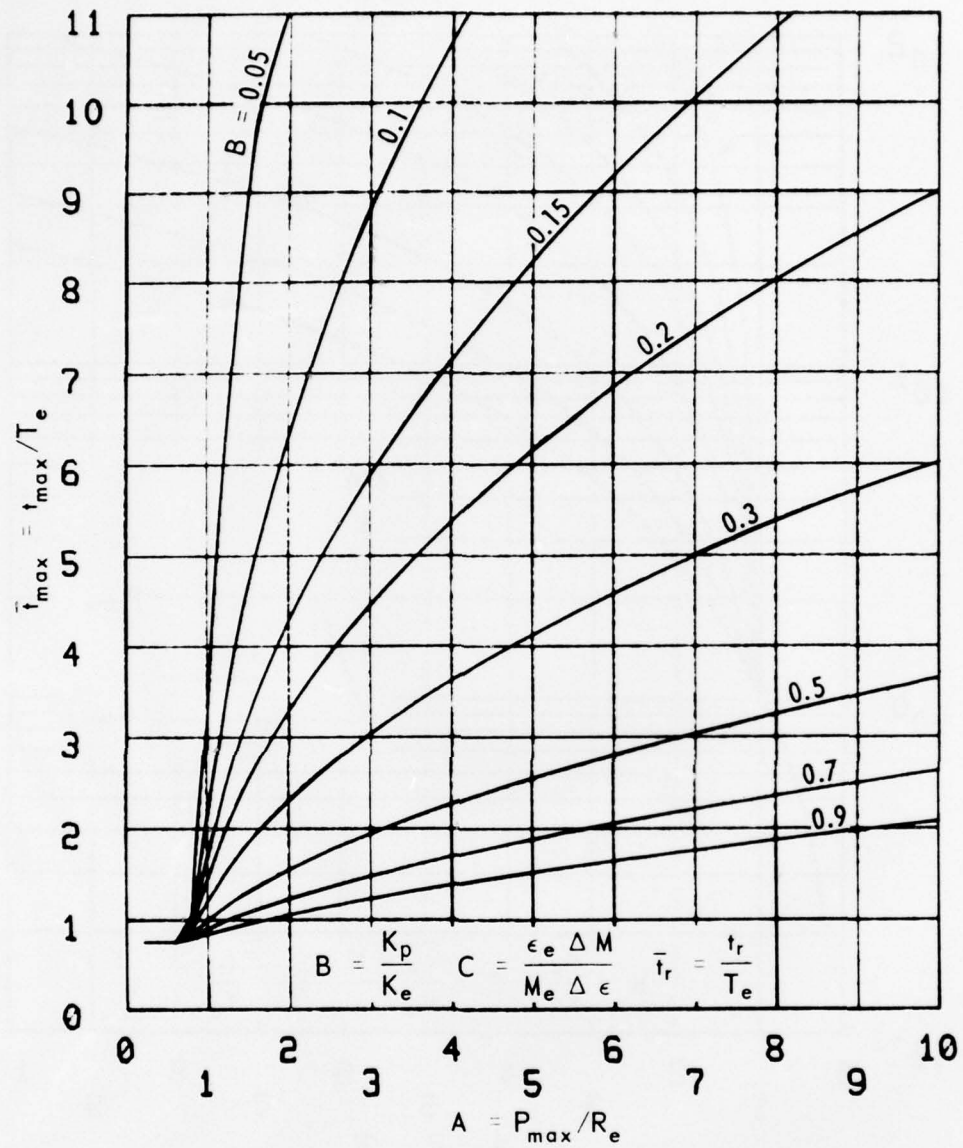


Figure A.44.  $\bar{t}_{\max}$  curves for tunnel-liner systems with  $C = 1$  and  $\bar{t}_r = 0.5$ .

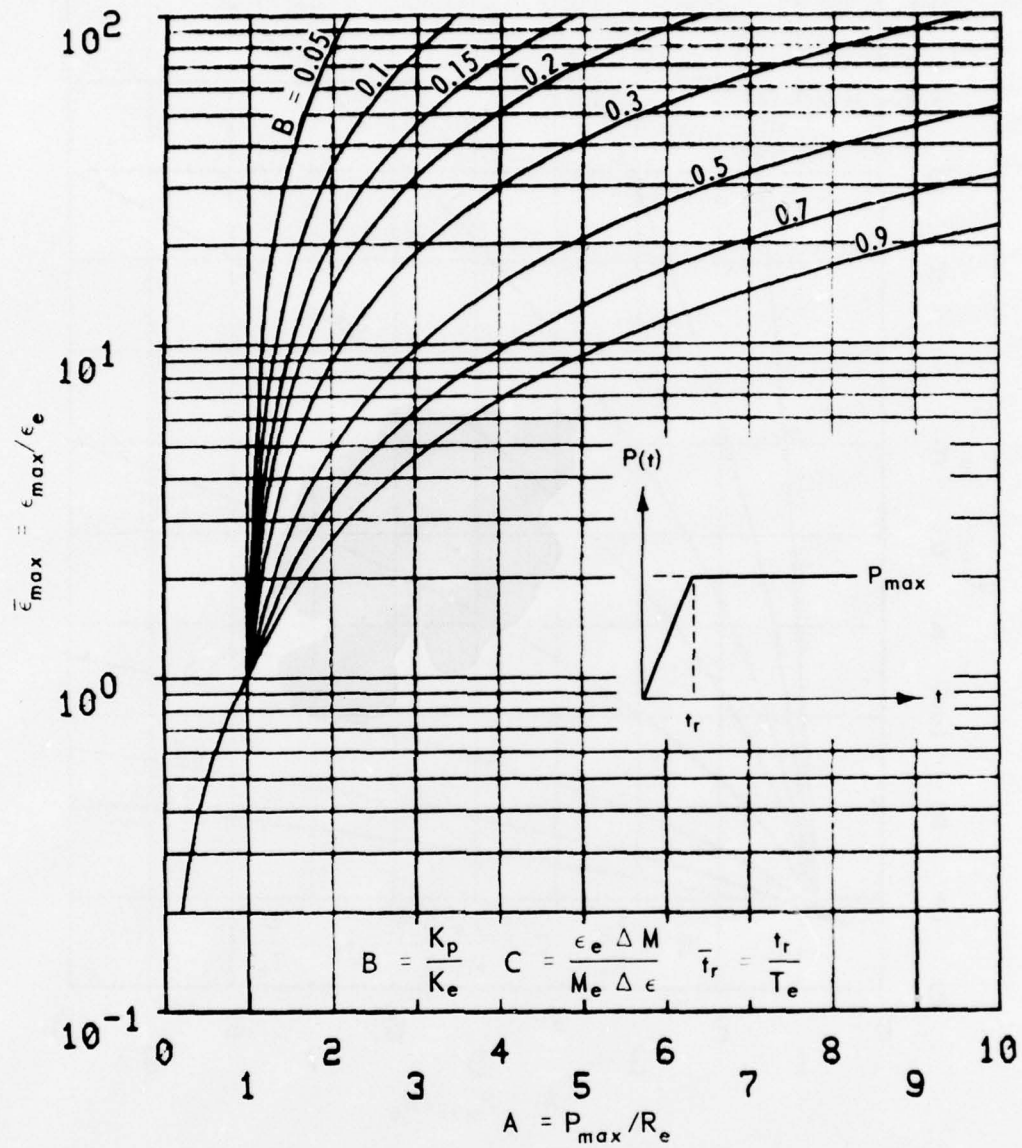


Figure A.45.  $\bar{\epsilon}_{\max}$  curves for tunnel-liner systems with  $C = 1$  and  $\bar{t}_r = 1$ .



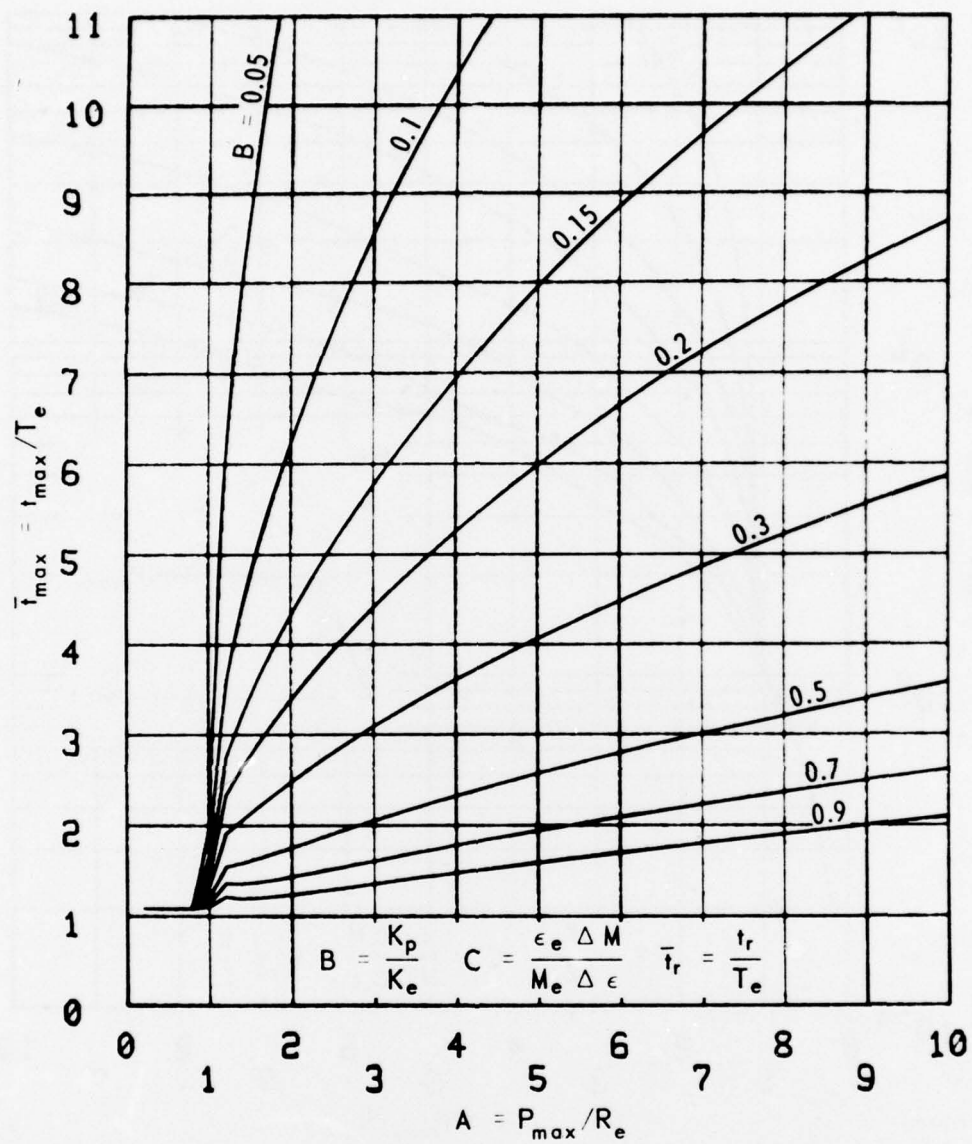


Figure A.46.  $\bar{t}_{\max}$  curves for tunnel-liner systems with  $C = 1$  and  $\bar{t}_r = 1$ .

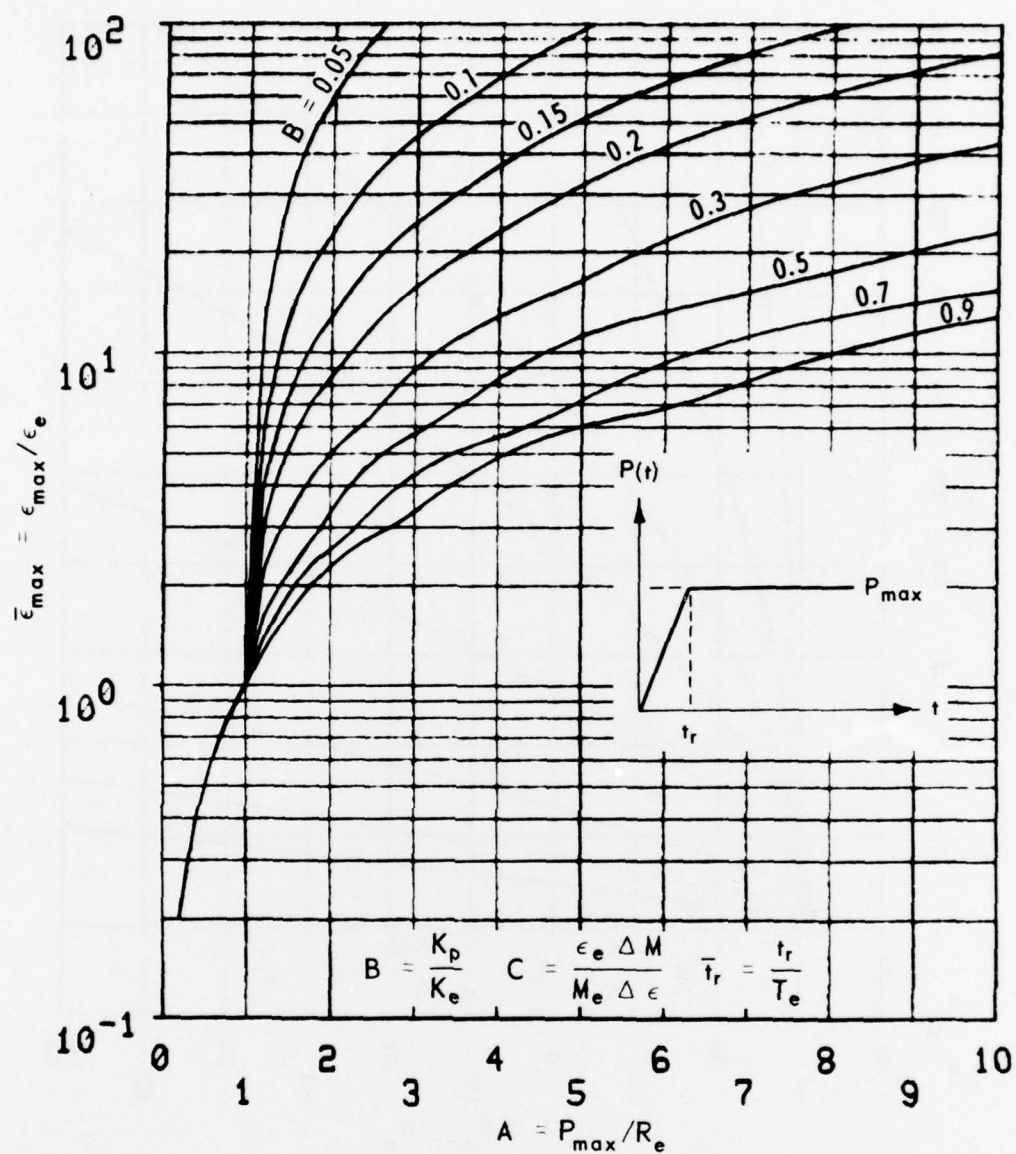


Figure A.47.  $\bar{\epsilon}_{\max}$  curves for tunnel-liner systems with  $C = 1$  and  $\bar{t}_r = 5$ .

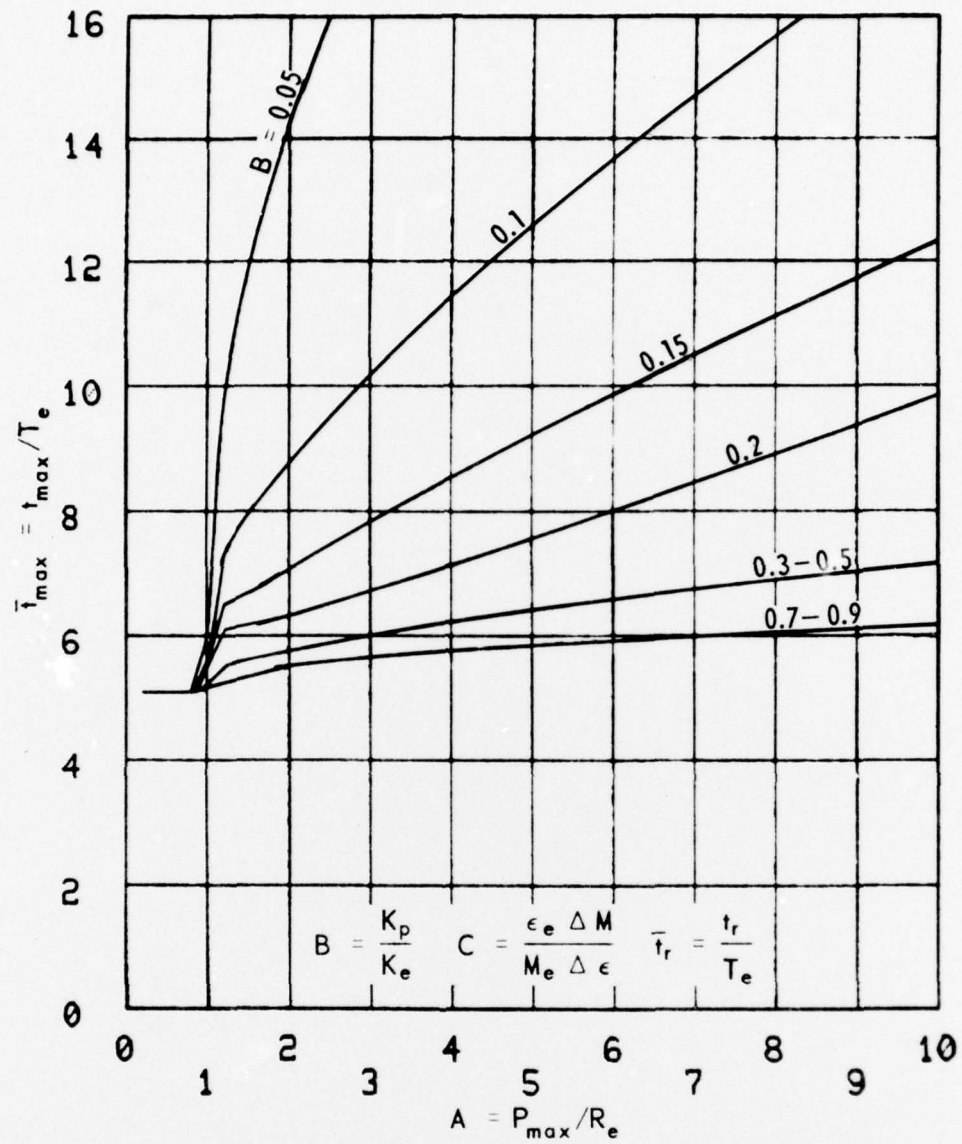


Figure A.48.  $\bar{t}_{\max}$  curves for tunnel-liner systems with  $C = 1$  and  $\bar{t}_r = 5$ .

## APPENDIX B

## NOTATION

$A = P_{\max}/R_e$	Dimensionless peak free-field stress
$B = K_p/K_e$	Dimensionless plastic stiffness
$C = (\epsilon_e/M_e)(\Delta M/\Delta \epsilon)$	Dimensionless rate of change of $\bar{M}(\bar{\epsilon})$ in plastic deformation
$E$	Young's modulus
$G_i$	Shear modulus
$i$	Subscript referring to a component material layer
$K_e$	Effective elastic stiffness
$K_i$	Effective elastic stiffness of layer $i$
$K_p = \Delta R/\Delta \epsilon$	Effective plastic stiffness
$m$	Number of material layers in liner-rock system
$M$	Effective mass (mass/length)
$M_e$	Effective mass (mass/length) in elastic deformation
$\bar{M}(\bar{\epsilon}) = M/M_e$	Dimensionless effective mass (mass/length)
$n_i$	$2 \sin \phi_i / (1 - \sin \phi_i)$
$P_{\max}$	Peak value of $P(t)$
$P(t)$	External load (or free-field stress)
$q_i$	Cohesion
$r_i$	Radius to inside of material layer $i$
$r_{pi}$	Radius to the outer boundary of the plastic region of material layer $i$
$R_e = K_e \epsilon_e$	Maximum elastic resistance
$R(\epsilon)$	Internal load-resistance
$\bar{R}(\bar{\epsilon}) = R(\epsilon)/R_e$	Dimensionless resistance
$t$	Time
$\bar{t} = t/T_e$	Dimensionless time
$t_{\max}$	Time at which $\epsilon_{\max}$ occurs
$\bar{t}_{\max} = t_{\max}/T_e$	Dimensionless time at maximum strain
$t_r$	Rise time of external load
$\bar{t}_r = t_r/T_e$	Normalized rise time of $P(t)$
$T_e = 2\pi\sqrt{M_e/K_e}$	Effective elastic period



$Y_i$	Effective plastic resistance of layer $i$
$\epsilon$	Diametrical strain, positive inward
$\bar{\epsilon} = \epsilon/\epsilon_e$	Normalized diametrical strain
$\epsilon_e$	Elastic limit, maximum elastic strain
$\epsilon_{\max}$	Maximum value of diametrical strain $\epsilon(t)$
$\bar{\epsilon}_{\max} = \epsilon_{\max}/\epsilon_e$	Normalized maximum strain
$\epsilon_{\max}^c$	$\epsilon_{\max}$ corrected for compressibility
$\bar{\epsilon}_{\max}^c$	$\bar{\epsilon}_{\max}$ corrected for compressibility
$\nu_i$	Poisson's ratio
$\rho_i$	Density of material layer numbered $i$
$\sigma_u$	Unconfined compressive strength
$\phi_i$	Angle of internal friction

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